



ASX

## AGENDA

### Business Committee Meeting

Timing: Thursday, 27 August 2015 commencing at 12.00pm

Location: ASX offices – Level 1, 20 Bridge Street, Sydney

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| <b>1) Introduction with lunch served on arrival</b>   | 12.00pm – 12.15pm |
| <b>2) Service Performance</b>   | 12.15pm – 12.25pm |
| The Business Committee will be provided with a report on the operational performance of cash market clearing and settlement services.   |                   |
| <b>3) Management Accounts for Cash Market Clearing &amp; Settlement</b>   | 12.25pm – 12.35pm |
| Presentation of the management accounts for cash market clearing and settlement services for the year ended 30 June 2015.   |                   |
| <b>4) Business Matters</b>  |                   |
| a) Principal-to-principal clearing arrangements   | 12.35pm – 12.40pm |
| The Business Committee will be provided with an update on the outcome of the principal-to-principal clearing review.  |                   |
| b) Update on T+2 implementation   | 12.40pm – 1.00pm  |
| The Business Committee will be provided with an update on implementation of a T+2 settlement cycle, including technical readiness and go/no go decision frameworks.             |                   |
| c) Update on FATCA and CHES Release 9   | 1.00pm – 1.10pm   |
| The Business Committee will be provided with an update on the roadmap for FATCA and an overview of the key elements of CHES Release 9 to be delivered in November 2015.         |                   |
| d) Roadmap for post-trade service infrastructure  | 1.10pm – 1.15pm   |
| The Business Committee will be provided with a verbal update on the roadmap for post-trade service infrastructure, including CHES replacement.                                  |                   |
| <b>5) Regulatory Updates</b>  |                   |
| a) Council of Financial Regulators  | 1.15pm – 1.20pm   |
| The Business Committee will be provided with an update on the operation of the Code of Practice, subject to any available information from the Council of Financial Regulators. |                   |

**6) Administration**

1.20pm – 1.30pm

- a) Business Committee membership
- b) Forward work program updated
- c) Minutes from the 27 May 2015 meeting
- d) Other matters

**7) Next Meeting**

1.30pm

The next meeting of the Business Committee is scheduled for Thursday, 26 November 2015, commencing at 4.00pm followed by an invitation to drinks with ASX management and staff.

## ATTENDEES

MEMBERS		
Company	Name	Job Title
ASX	Mr Peter Hiom	Business Committee Chair Deputy CEO, ASX
ABN AMRO Clearing	Mr Sean Lawrence	Managing Director
ANZ	Mr Andrew Koudrin	
APX	Mr David Lawrence	Chief Operating Officer & Company Secretary
Bell Potter Securities	Mr Dean Surkitt	Managing Director Retail
BNP Paribas	Mr David Braga	Head of Securities Services
Chi-X Australia	Mr Jamie Crank	Head of Product
Citi	Mr Miles O'Connor	Director, Direct Custody & Clearing Securities & Funds Services
Commonwealth Bank of Australia	Mr Sheridan Thompson	Head of Strategic Development, CommSec
Deutsche Bank AG	Mr Russell Deal	Chief Operating Officer
Goldman Sachs	Mr Jeremy Follett	Executive Director Operations
HSBC	Mr Andrew Bastow	Head of Securities Services
J.P. Morgan	Mr Suneet Jain	Sales Business Manager, Markets & Investor Services
Macquarie Group	Mr James Indge	Cash Equities Business Manager
Morgan Stanley	Mr Craig McGuire	Head of Operations
Morgans	Ms Sue Gye	Manager Operations
National Australia Bank	Mr Nathan Walsh	General Manager, Self Directed Wealth Products & Markets
NSX	Mr Emlyn Scott	Chief Executive Officer
Patersons Securities	Mr Sam Budiselik	Chief Operating Officer
UBS	Mr Conor Foley	Chief Operating Officer

ASX Management	
Name	Job Title
Mr Tim Hogben	Group Executive, Operations
Mr Ramy Aziz	Chief Financial Officer
Mr Daniel Moran	Deputy General Counsel Corporate
Mr Marcin Firek	General Manager, Company Secretariat
Mr Brendan Luscombe	General Manager, Clearing Risk Management
Mr Rodd Kingham	Senior Manager, Clearing Services
Mr Gary Hobourn	Senior Economic Analyst, Regulatory & Public Policy

## APOLOGIES

MEMBERS		
Company	Name	Job Title
ANZ	Mr Peter Mullin	Managing Director, Pensions & Investments
Bank of America Merrill Lynch	Mr Anatoly Kirievsky	Head of Compliance
Credit Suisse	Mr Andrew Farran	Chief Operating Officer
Morgans	Mr Peter Chisholm	Chief Operating Officer
Pershing Securities	Mr Rob Forbes	Chief Executive Officer
ASX	Ms Amanda Harkness	Group General Counsel & Company Secretary