



BUSINESS COMMITTEE AGENDA

Date	Time	Location
Thursday, 3 August 2017	12.00pm – 2.00pm	ASX Offices – Level 1, 20 Bridge Street, Sydney

Agenda Item		Indicative Time
1.	Lunch served on arrival	12.00pm – 12.15pm
2.	Service Performance a) Operating Performance Report A report on the operational performance of cash market clearing and settlement services.	12.15pm – 12.30pm
3.	Clearing and Settlement Infrastructure a) CHES replacement - Business requirements working groups Working group 2: Corporate action processes – Update b) Corporate Action STP – Phase 2 An update on ASX’s thinking on a possible roadmap and timing for delivering ‘Phase 2’ corporate action processing c) CHES replacement process An updated timeline for incorporating stakeholder input into ASX’s consideration of CHES replacement. d) Technical Committee Report Members will receive a report from the 6 June 2017 meeting.	12.30pm – 1.30pm
4.	Administration a) Minutes from the 22 June 2017 meeting b) Forward work program c) Other matters	1.30pm – 1.45pm
5.	Next Meeting – Wednesday, 4 October 2017	



Members			
Company	Name	Job Title	Apologies
ABN AMRO Clearing	Barry Parker	Chief Executive Officer	
AFMA	David Lynch	Chief Executive Officer	
ANZ	Andrew Koudrin (delegate)	Head of Execution Assurance and Middle Office	Peter Mullin
ASX	Peter Hiom	Business Committee Chair, Deputy CEO	
Bank of America Merrill Lynch	Rhys Cahill	Global Markets COO	
Bell Potter Securities	Dean Surkitt	Managing Director Retail	
BNP Paribas	Luc Renard	Head of Clearing & Custody	
Chi-X Australia	Mike Aikins	Chief Operating Officer	
Citi	Miles O'Connor	Director, Direct Custody & Clearing Securities & Funds Services	
Commonwealth Bank of Australia	Sheridan Thompson	Head of Strategic Development, CommSec	
Credit Suisse	Winston Loke	Australia Equities COO	
Deutsche Bank AG	Geoffrey Plaisted	Chief Operating Officer, Equities	
Goldman Sachs	Jeremy Follett	Executive Director Operations	
HSBC	Peter Snodgrass	Head of Direct Custody and Clearing	Peter Snodgrass
J.P. Morgan	Jonathan Evans	Head of Custody and Markets Operations	
Macquarie Group	James Indge	Cash Equities Business Manager	
Morgans	Daniel Spokes (Delegate)	Manager, Transactional Services & Settlement Systems	Peter Chisholm
Morgan Stanley	Craig McGuire	Head of Operations	
National Australia Bank	Greg Bowrey	General Manager, Self-Directed Wealth Products & Markets	
NSX	Ann Bowering	Managing Director	
Pershing Securities	Rob Forbes	Chief Executive Officer	
Stockbrokers and Financial Advisers Assoc	Andrew Green	Chief Executive Officer	
Sydney Stock Exchange	Tony Sacre	Chief Executive Officer	
UBS	Conor Foley	Chief Operating Officer	



ASX Management		
Name	Job Title	Apologies
Tim Hogben	Chief Operating Officer	
Hamish Treleaven	Chief Risk Officer	
Eloise Wett	Executive General Manager, Operations	
Cliff Richards	Executive General Manager, Equity Post Trade Services	
Nick Wiley	Deputy General Counsel, Post-Trade	
Diane Lewis	Senior Manager, Regulatory and Public Policy	
Gary Hobourn	Senior Economic Analyst, Regulatory and Public Policy	
Rodd Kingham	Senior Manager, Equity Post Trade Services	
Karen Webb	Manager, Equity Post Trade Services	