

# Agenda

# **Business Committee**

Date	28 March 2018	Time	12.00pm – 2.00pm
Location	ASX offices – Level 1, 20 Bridge Street, Sydney		

#### 1. Service Performance

a) Cash Market Clearing and Settlement - Operating Performance

### 2. CHESS Replacement Project

a) DLT update

An update on the work following ASX's announcement that it would be proceeding with a DLT-based system.

b) Business Requirements Update

An update on the consultation process surrounding business requirements and the structure of future work to implement CHESS replacement.

c) Corporate Action STP Phase 2 Update

An update on the proposed work program to progress Phase 2 of corporate action STP enhancements.

d) ISO 20022 Technical Committee Report and Minutes

Members will receive reports from the 21 November 2017 and 6 February 2018 meetings.

#### 3. Administration

- a) Minutes from the 30 November 2017 Business Committee Meeting
- b) Forward work program and BC Meeting dates for 2018
- c) Other matters

## 4. Next Meeting - 5 July 2018

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# **Business Committee Members**

HSBC Peter Snodgrass Head of Direct Custody and Clearing  J.P. Morgan Jonathan Evans Head of Custody and Markets Operations  Macquarie Group James Indge Cash Equities Business Manager  Morgans Daniel Spokes Manager, Transactional Services & Peter Chishol (delegate) Settlement Systems  Morgan Stanley Craig McGuire Head of Operations  NAB Greg Bowrey General Manager, Self-Directed Wealth Products & Markets  NSX Ann Bowering Managing Director  Pershing Securities Leigh Conder Chief Operating Officer  SAFAA Andrew Green Chief Executive Officer  Sydney Stock Exchange Tony Sacre Chief Executive Officer	Company	Name	Job Title	Apologies
AFMA David Lynch Chief Executive Officer  ANZ Andrew Koudrin (delegate) Office  ASX Peter Hiom Business Committee Chair, Deputy CEO  Bank of America Merrill Rhys Cahill Global Markets COO  Bell Potter Securities Dean Surkitt Managing Director Retail  BNP Paribas David Braga Head of Securities Services  Chi-X Australia Mike Aikins Chief Operating Officer By Phone  Citi Miles O'Connor Director, Direct Custody & Clearing Securities & Funds Services  CBA Sheridan Thompson Head of Strategic Development, CommSec  Credit Suisse Winston Loke Australia Equities COO  Deutsche Bank AG Geoffrey Plaisted Chief Operating Officer, Equities  Goldman Sachs Yen Le (delegate)  HSBC Peter Snodgrass Head of Direct Custody and Clearing  J.P. Morgan Jonathan Evans Head of Custody and Markets Operations  Macquarie Group James Indge Cash Equities Business Manager  Morgans Daniel Spokes (delegate)  Morgans Daniel Spokes (delegate)  Morgan Stanley Craig McGuire Head of Operations  NAB Greg Bowrey General Manager, Self-Directed Wealth Products & Markets  NSX Ann Bowering Managing Director  Pershing Securities Leigh Conder Chief Operating Officer  Sydney Stock Exchange Tony Sacre Chief Executive Officer	ABN AMRO Clearing	Barry Parker	Chief Executive Officer	
Andrew Koudrin (delegate) Office  ASX Peter Hiom Business Committee Chair, Deputy CEO  Bank of America Merrill Rhys Cahill Global Markets COO  Lynch  Bell Potter Securities Dean Surkitt Managing Director Retail  BNP Paribas David Braga Head of Securities Services  Chi-X Australia Mike Aikins Chief Operating Officer By Phone  Citi Miles O'Connor Director, Direct Custody & Clearing Securities & Funds Services  CBA Sheridan Thompson Head of Strategic Development, CommSec  Credit Suisse Winston Loke Australia Equities COO  Deutsche Bank AG Geoffrey Plaisted Chief Operating Officer, Equities  Goldman Sachs Yen Le (delegate)  HSBC Peter Snodgrass Head of Direct Custody and Clearing  J.P. Morgan Jonathan Evans Head of Custody and Markets Operations  Macquarie Group James Indge Cash Equities Business Manager  Morgans Daniel Spokes (delegate)  Morgan Stanley Craig McGuire Head of Operations  NAB Greg Bowrey General Manager, Self-Directed Wealth Products & Markets  NSX Ann Bowering Managing Director  Pershing Securities Leigh Conder Chief Operating Officer  Sydney Stock Exchange Tony Sacre Chief Executive Officer  Chief Executive Officer  Chief Executive Officer	ACSA	Rob Brown	Chief Executive Officer	
(delegate) Office   ASX	AFMA	David Lynch	Chief Executive Officer	
Bank of America Merrill Lynch  Bell Potter Securities Dean Surkitt Managing Director Retail  BNP Paribas David Braga Head of Securities Services  Chi-X Australia Mike Aikins Chief Operating Officer By Phone  Citi Miles O'Connor Director, Direct Custody & Clearing Securities & Funds Services  CBA Sheridan Thompson Head of Strategic Development, CommSec  Credit Suisse Winston Loke Australia Equities COO  Deutsche Bank AG Geoffrey Plaisted Chief Operating Officer, Equities  Goldman Sachs Yen Le (delegate) HSBC Peter Snodgrass Head of Direct Custody and Clearing J.P. Morgan Jonathan Evans Head of Custody and Markets Operations  Macquarie Group James Indge Cash Equities Business Manager  Morgans Daniel Spokes (delegate) Settlement Systems  Morgan Stanley Craig McGuire Head of Operations  Manager, Self-Directed Wealth Products & Markets  NSX Ann Bowering Managing Director  Chief Operating Officer  SAFAA Andrew Green Chief Executive Officer	ANZ			Peter Mullin
Lynch Bell Potter Securities Dean Surkitt Managing Director Retail BNP Paribas David Braga Head of Securities Services Chi-X Australia Mike Aikins Chief Operating Officer By Phone Citi Miles O'Connor Director, Direct Custody & Clearing Securities & Funds Services  CBA Sheridan Thompson Head of Strategic Development, CommSec Credit Suisse Winston Loke Australia Equities COO Deutsche Bank AG Geoffrey Plaisted Chief Operating Officer, Equities Goldman Sachs Yen Le (delegate) HSBC Peter Snodgrass Head of Direct Custody and Clearing J.P. Morgan Jonathan Evans Head of Custody and Markets Operations Macquarie Group James Indge Cash Equities Business Manager Morgans Daniel Spokes (delegate) Settlement Systems Morgan Stanley Craig McGuire Head of Operations NAB Greg Bowrey General Manager, Self-Directed Wealth Products & Markets NSX Ann Bowering Managing Director  Pershing Securities Leigh Conder Chief Operating Officer SAFAA Andrew Green Chief Executive Officer Sydney Stock Exchange Tony Sacre Chief Executive Officer	ASX	Peter Hiom	Business Committee Chair, Deputy CEO	
BNP Paribas David Braga Head of Securities Services  Chi-X Australia Mike Aikins Chief Operating Officer By Phone  Citi Miles O'Connor Director, Direct Custody & Clearing Securities & Funds Services  CBA Sheridan Thompson Head of Strategic Development, CommSec  Credit Suisse Winston Loke Australia Equities COO  Deutsche Bank AG Geoffrey Plaisted Chief Operating Officer, Equities  Goldman Sachs Yen Le (delegate)  HSBC Peter Snodgrass Head of Direct Custody and Clearing  J.P. Morgan Jonathan Evans Head of Custody and Markets Operations  Macquarie Group James Indge Cash Equities Business Manager  Morgans Daniel Spokes (delegate) Settlement Systems  Morgan Stanley Craig McGuire Head of Operations  NAB Greg Bowrey General Manager, Self-Directed Wealth Products & Markets  NSX Ann Bowering Managing Director  Pershing Securities Leigh Conder Chief Operating Officer  SAFAA Andrew Green Chief Executive Officer  Sydney Stock Exchange Tony Sacre Chief Executive Officer		Rhys Cahill	Global Markets COO	
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Deutsche Bank AG Geoffrey Plaisted Chief Operating Officer, Equities  Goldman Sachs Yen Le (delegate)  HSBC Peter Snodgrass Head of Direct Custody and Clearing  J.P. Morgan Jonathan Evans Head of Custody and Markets Operations  Macquarie Group James Indge Cash Equities Business Manager  Morgans Daniel Spokes (Manager, Transactional Services & Peter Chishol (delegate) Settlement Systems  Morgan Stanley Craig McGuire Head of Operations  NAB Greg Bowrey General Manager, Self-Directed Wealth Products & Markets  NSX Ann Bowering Managing Director  Pershing Securities Leigh Conder Chief Operating Officer  SAFAA Andrew Green Chief Executive Officer  Sydney Stock Exchange Tony Sacre Chief Executive Officer	СВА	Sheridan Thompson	Head of Strategic Development, CommSec	
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Macquarie GroupJames IndgeCash Equities Business ManagerMorgansDaniel Spokes (delegate)Manager, Transactional Services & Peter Chishol Settlement SystemsMorgan StanleyCraig McGuireHead of OperationsNABGreg BowreyGeneral Manager, Self-Directed Wealth Products & MarketsNSXAnn BoweringManaging DirectorPershing SecuritiesLeigh ConderChief Operating OfficerSAFAAAndrew GreenChief Executive OfficerSydney Stock ExchangeTony SacreChief Executive Officer	HSBC	Peter Snodgrass	Head of Direct Custody and Clearing	
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Products & Markets  NSX Ann Bowering Managing Director  Pershing Securities Leigh Conder Chief Operating Officer  SAFAA Andrew Green Chief Executive Officer  Sydney Stock Exchange Tony Sacre Chief Executive Officer	Morgan Stanley	Craig McGuire	Head of Operations	
Pershing Securities Leigh Conder Chief Operating Officer  SAFAA Andrew Green Chief Executive Officer  Sydney Stock Exchange Tony Sacre Chief Executive Officer	NAB	Greg Bowrey		
SAFAA Andrew Green Chief Executive Officer Sydney Stock Exchange Tony Sacre Chief Executive Officer	NSX	Ann Bowering	Managing Director	
Sydney Stock Exchange Tony Sacre Chief Executive Officer	Pershing Securities	Leigh Conder	Chief Operating Officer	
· ·	SAFAA	Andrew Green	Chief Executive Officer	
LIBS Conor Foley Chief Operating Officer	Sydney Stock Exchange	Tony Sacre	Chief Executive Officer	
Controlley Chief Operating Officer	UBS	Conor Foley	Chief Operating Officer	

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# **ASX Management**

Name	Job Title	Apologies
Tim Hogben	Chief Operating Officer	
Daniel Moran	General Counsel	
Hamish Treleaven	Chief Risk Officer	
Eloise Wett	Executive General Manager, Operations	
Cliff Richards	Executive General Manager, Equity Post Trade Services	
Sally Palmer	Deputy General Counsel	
Karen Webb	Senior Manager, Equity Post Trade Services	
Keith Purdie	Senior Manager, Equity Post Trade Services	
Gary Hobourn Senior Economic Analyst, Regulatory and Public Policy		

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