

Agenda

Business Committee

Date	28 September 2018	Time	12:00pm – 2:00pm
Location	ASX offices – Level 1, 20 Bridge Street, Sydney		
1. Service Performance			12:00pm-12:15pm
a) Cash Market Clearing and Settlement - Operating Performance			
2. Regulatory Expectations			12:15pm-12:45pm
a) Annual Cash Equities Clearing and Settlement Reports			
– Report on cash equities clearing and settlement services developments			
– Audit report on ASX's compliance with the Regulatory Expectations.			
3. CHES Replacement Project			12:45pm-1:45pm
a) CHES Replacement			
– Software development update			
– Overview of new Day 1 scope and implementation plan following the consultation			
– Customer engagement – upcoming activities			
b) ISO 20022 Technical Committee Report and Minutes			
Members will receive reports from the June, July & September meetings.			
4. Administration			1:45pm-2:00pm
a) Minutes from the 5 July 2018 Business Committee Meeting			
b) Forward work program			
c) Other matters			
5. Next Meeting - 29 November 2018			

Business Committee Members

Company	Name	Job Title	Apologies
ABN AMRO Clearing	Tapos Thakur (delegate)	Chief Risk Officer, APAC	Barry Parker
ACSA	Rob Brown	Chief Executive Officer	
AFMA	David Lynch	Chief Executive Officer	
ANZ	Peter Mullin	Managing Director, Pensions & Investments	Peter Mullin
ASX	Peter Hiom	Business Committee Chair, Deputy CEO	
Bank of America Merrill Lynch	Andree Hindmarsh (delegate)	Director Global Markets Technology	Rhys Cahill
Bell Potter Securities	Rodd Kingham	Head of Operations – Transformation	
BNP Paribas	Mark Wootton	Head of Custody Product, Aust & NZ	
Chi-X Australia	Mike Aikins	Chief Operating Officer	
Citi	Swaminath Nagarajan (delegate)	Vice President Business Unit Manager	Miles O'Connor
Commonwealth Bank of Australia	Sheridan Thompson	Head of Strategic Development, CommSec	
Credit Suisse	Winston Loke	Australia Equities COO	Winston Loke
Deutsche Bank AG	Geoffrey Plaisted	Chief Operating Officer, Equities	
Goldman Sachs	Yen Le	Executive Director	
HSBC	Peter Snodgrass	Head of Direct Custody and Clearing	
J.P. Morgan	Jonathan Evans	Head of Custody and Markets Operations	
Macquarie Group	James Indge	Cash Equities Business Manager	
Morgan Stanley	Craig McGuire	Head of Operations	
Morgans	Peter Chisholm	Manager, Transactional Services & Settlement Systems	
National Australia Bank	Greg Bowrey	General Manager, Self-Directed Wealth Products & Markets	
NSX	Ann Bowering	Managing Director	
Pershing Securities	Leigh Conder	Chief Operating Officer	Leigh Conder
Stockbrokers and Financial Advisers Association	Andrew Green	Chief Executive Officer	
Sydney Stock Exchange	Antony Tolfts	Listings Director	
UBS	Scott Hanlon (delegate)	Head of Investment Bank Operations	Conor Foley

ASX Management

Name	Job Title	Apologies
Tim Hogben	Chief Operating Officer	
Daniel Moran	General Counsel	
Hamish Treleaven	Chief Risk Officer	
Cliff Richards	Executive General Manager, Equity Post Trade Services	
Sally Palmer	Deputy General Counsel	
Con Korkofigas	Senior Manager and Senior Legal Counsel	
Gary Hobourn	Senior Economic Analyst, Regulatory and Public Policy	
Karen Webb	Senior Manager, Equity Post Trade Services	
Keith Purdie	Senior Manager, Equity Post Trade Services	
Suzy Munro	General Manager, Post Trade Operations	