

Agenda

Business Committee

Date	29 November 2018	Time	4:00pm – 6:30pm
Location	ASX offices – Level 1, 20 Bridge Street, Sydney		

1. Service Performance	4:00pm-4:30pm
a) Cash Market Clearing and Settlement - Operating Performance	
b) ASX Technology Governance and Operational Risk Management	
2. CHES Replacement Project	4:30pm-5:15pm
a) CHES Replacement	
– Software development update	
– Customer engagement activities	
b) Update on Corporate Action STP Phase 2	
c) Report on the Sydney meeting of the Securities Market Practice Group	
d) ISO 20022 Technical Committee Report and Minutes	
Members will receive reports from the September, October & November meetings.	
3. Administration	5:15pm-5:30pm
a) Minutes from the 28 September 2018 Business Committee Meeting	
b) Forward work program	
c) Other matters	
4. Next Meeting - 4 April 2019	
5. End of Year Drinks	5:30 – 6:30pm
Business Committee Members are invited to stay for end-of-year drinks to thank members for participating throughout 2018.	

Business Committee Members

Company	Name	Job Title	Apologies
ABN AMRO Clearing	Matt McMahon	Head of Operations	Barry Parker
ACSA	Rob Brown	Chief Executive Officer	
AFMA	David Lynch	Chief Executive Officer	
ASX	Tim Hogben	Business Committee Chair, Chief Operating Officer	Peter Hiom
Bank of America Merrill Lynch	Rhys Cahill	Global Markets COO	
Bell Potter Securities	Rodd Kingham	Head of Operations – Transformation	
BNP Paribas	Mark Wootton	Head of Custody Product Australia & New Zealand	
Chi-X Australia	Mike Aikins	Chief Operating Officer	
Citi	Miles O'Connor	Director, Direct Custody & Clearing Securities & Funds Services	
CMC Markets	Matthew Lewis	Head of Asia-Pacific	
Commonwealth Bank of Australia	Sheridan Thompson	Head of Strategic Development, CommSec	
Credit Suisse	Winston Loke	Australia Equities COO	
Deutsche Bank AG	Geoffrey Plaisted	Chief Operating Officer, Equities	
Goldman Sachs	Yen Le	Executive Director	
HSBC	Peter Snodgrass	Head of Direct Custody and Clearing	
J.P. Morgan	Jonathan Evans	Head of Custody and Markets Operations	
Macquarie Group	James Indge	Cash Equities Business Manager	
Morgan Stanley	Craig McGuire	Head of Operations	
Morgans	Peter Chisholm	Manager, Transactional Services & Settlement Systems	
National Australia Bank	Greg Bowrey	General Manager, Self-Directed Wealth Products & Markets	
NSX	Ann Bowering	Managing Director	
Pershing Securities	Leigh Conder	Chief Operating Officer	
Stockbrokers and Financial Advisers Assoc	Andrew Green	Chief Executive Officer	
Sydney Stock Exchange	Antony Tolfts	Listings Director	
UBS	Conor Foley	Chief Operating Officer	

ASX Management

Name	Job Title	Apologies
Daniel Moran	General Counsel	
Hamish Treleaven	Chief Risk Officer	
Eloise Wett	Executive General Manager, Operations	
Cliff Richards	Executive General Manager, Equity Post Trade Services	
Dan Chesterman	Chief Information Officer	
Sally Palmer	Deputy General Counsel	
Katie McDermott	General Manager, Equity Post Trade Services	
Gary Hobourn	Senior Economic Analyst, Regulatory and Public Policy	
Karen Webb	Senior Manager, Equity Post Trade Services	
Keith Purdie	Senior Manager, Equity Post Trade Services	