

Agenda

Business Committee

Date	5 July 2018	Time	12:00pm – 2:00pm
Location	ASX offices – Level 1, 20 Bridge Street, Sydney		
1. Service Performance			12:00pm-12:30pm
a) Cash Market Clearing and Settlement - Operating Performance b) Market Events (verbal update) <ul style="list-style-type: none"> • Accidental Activation of Gas Fire Suppression System at ALC • Unibail-Rodamco (URW) acquisition of Westfield Corporation (WFD) 			
2. Regulatory Expectations			12:30pm-12:45pm
a) Regulatory Expectations – External Audit Members will updated on the upcoming external audit of ASX’s arrangements benchmarked against the Regulatory Expectations.			
3. CHESSE Replacement Project			12:45pm-1:45pm
a) CHESSE Replacement ASX will provide a project update. This will include an initial summary of feedback received to the CHESSE replacement consultation paper, in advance of ASX’s formal response.			
b) Corporate Action STP Phase 2 Update An update on the Phase 2 of corporate action STP enhancements project.			
c) ISO 20022 Technical Committee Report and Minutes Members will receive reports from the February, April & May 2018 meetings.			
4. Administration			1:45pm-2:00pm
a) Minutes from the 28 March 2018 Business Committee Meeting b) Forward work program c) Other matters			
5. Next Meeting	- 19 September 2018		



Business Committee Members

Company	Name	Job Title	Apologies
ABN AMRO Clearing	Barry Parker	Chief Executive Officer	
ACSA	Rob Brown	Chief Executive Officer	
AFMA	David Lynch	Chief Executive Officer	
ANZ	Andrew Kourdin (delegate)	Head of Execution Assurance and Middle Office	Peter Mullin
ASX	Peter Hiom	Business Committee Chair, Deputy CEO	
Bank of America Merrill Lynch	Rhys Cahill	Global Markets COO	
Bell Potter Securities	Dean Surkitt	Managing Director Retail	
BNP Paribas	David Braga	Head of Securities Services	
Chi-X Australia	Mike Aikins	Chief Operating Officer	
Citi	Miles O'Connor	Director, Direct Custody & Clearing Securities & Funds Services	
CBA	Sheridan Thompson	Head of Strategic Development, CommSec	
Credit Suisse	Winston Loke	Australia Equities COO	
Deutsche Bank AG	Geoffrey Plaisted	Chief Operating Officer, Equities	Geoffrey Plaisted
Goldman Sachs	Yen Le	Executive Director	
HSBC	Conrad Tsang (delegate)	Head of Global Banking & Markets IT, Australia & New Zealand	Peter Snodgrass
J.P. Morgan	Jonathan Evans	Head of Custody and Markets Operations	
Macquarie Group	James Indge	Cash Equities Business Manager	
Morgans	Daniel Spokes (delegate)	Manager, Transactional Services & Settlement Systems	Peter Chisholm
Morgan Stanley	Craig McGuire	Head of Operations	
NAB	Greg Bowrey	General Manager, Self-Directed Wealth Products & Markets	
NSX	Ann Bowering	Managing Director	
Pershing Securities	Leigh Conder	Chief Operating Officer	
SAFAA	Andrew Green	Chief Executive Officer	
Sydney Stock Exchange	Tony Sacre	Chief Executive Officer	
UBS	Conor Foley	Chief Operating Officer	

ASX Management

Name	Job Title	Apologies
Daniel Moran	General Counsel	
Hamish Treleaven	Chief Risk Officer	
Eloise Wett	Executive General Manager, Operations	
Cliff Richards	Executive General Manager, Equity Post Trade Services	
Sally Palmer	Deputy General Counsel	
Karen Webb	Senior Manager, Equity Post Trade Services	
Keith Purdie	Senior Manager, Equity Post Trade Services	
Con Korkofigas	Senior Legal Counsel	
Gary Hobourn	Senior Economic Analyst, Regulatory and Public Policy	
Boronia Morison	Senior Policy Analyst, Regulatory and Public Policy	