

# Risk and Compliance Manager



## Key purpose of the role

This role is in the Technology Risk Management team, which advises the ASX Technology division on managing operational risks relating to systems throughout their lifecycle, and on the related controls, issues and actions. The focus of this role will be the identification and assessment of emerging risks and issues.



## Your responsibilities

- Lead regular meetings with technology management teams to review risk data in the GRC tool (ERICA), and discuss emerging risks and issues, issues and actions due in the near future, and other risk management activities
- Manage the end-to-end process for the quarterly ASX Technology Risk Working Group meetings
- Provide advice on identification, recording, and assessment of risks and related issues and actions, including alignment with the technology risk taxonomy
- Support identification, recording, and testing of technology controls
- Support uplift of technology controls, including alignment with the technology controls taxonomy
- As part of One ASX, collaborate with risk professionals in the three lines of defence across the organisation on optimising risk management processes to align with the Board Risk Appetite Statement.



## Your experience and qualifications

### Must have

- Post graduate risk management qualification
- Experience in risk management, audit or a related function in a regulated technology environment
- Control testing experience or exposure
- Technology risk and controls framework understanding

### Nice to have

- Experience writing Board and/or management papers
- Conducted workshops, training or similar where key messages have been clearly delivered and feedback responded to

## ASX values

We put the market first

We stand up for what's right

We achieve more together

We drive positive change