Risk and Compliance Manager, ASX Technology (AS11579)

Sydney, New South Wales, Australia (Hybrid)

ASX: Powering Australia's financial markets

Why join the ASX?

When you join ASX, you're joining a company with a strong purpose – to power a stronger economic future by enabling a fair and dynamic marketplace for all.

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We are more than a securities exchange!

The ASX team brings together talented people from a diverse range of disciplines.

We run critical market infrastructure, with 1 in 3 people employed within technology. Yet we have a unique complexity of roles across a range of disciplines such as operations, program delivery, financial products, investor engagement, risk and compliance.

We're proud of the diversity of our organisation and the culture of inclusion that all our people help to build every day. Our employee–led groups are known for celebrating cultural and religious events, championing LGBTIQ+ inclusion, inspiring giving and volunteering, promoting gender equality, and wellbeing. We are an Employer of Choice for Gender Equality (WGEA) and a member of the Champions of Change Coalition for the advancement of gender equality in Australia.

About the team:

The Technology division at ASX plays a pivotal role in shaping our enterprise technology strategy and ensuring the seamless operation of IT environments across all divisions and lines of business. As the largest division within ASX, comprising of half our workforce, we are dedicated to fostering a culture of innovation and excellence. The Technology Risk Management team serves as a crucial pillar within the Technology division, providing expert operational risk support to identify, manage and mitigate risks effectively. Aligned with ASX's risk frameworks, our team facilitates control testing, governance of issues, regulatory advice, risk assessments and risk profile management

What you will be doing:

- Lead the planning, execution and reporting of controls testing, including for IT general controls.
- Oversee issue identification, risk assessments, and remediation activities for Technology teams, ensuring risks are mitigated effectively and sustainably.

- As a trusted advisor, offer expert guidance on technology risk management best practices, risk assessments and mitigation strategies.
- Prepare and deliver reports and presentations for Technology stakeholders and forums to communicate risk insights and recommendations effectively.
- Contribute to Management and Board reporting.
- Collaborate with technical SMEs, leadership teams and cross-functional stakeholders to drive risk mitigation plans and uplift risk culture across the Technology division

What you will bring:

Must have

- Strong experience in operational risk management with a focus on technology risk.
- Strong analytical skills and the ability to identify and assess risk effectively.
- Knowledge or risk management frameworks and best practices (e.g. NIST, COBIT, ASD E8).
- Ability to build and maintain effective relationships with various stakeholders, including influencing and challenges at various levels.
- Proven hands-on experience leading IT control testing programs, including IT General Controls.
- A forward-thinking approach to risk management, with the ability to anticipate emerging risks, challenge the status quo and drive continuous improvement.
- Excellent written and verbal communication skills.

Nice to have

- Experience working in a highly regulated sector like finance, banking or insurance.
- Qualification/industry accreditation in technology (e.g. degree in IT/computer science, CISA, CRISC, CISSP, AWS certifications, etc.)
- Familiarity with cloud platforms (AWS) and associated risks and controls.
- Experience with control automation.
- Familiarity with tools like PowerBI and Excel dashboards, or similar software for basic data visualisation and reporting.
- Experience with the use of a GRC solution to manage risk and issues, tracking and reporting.
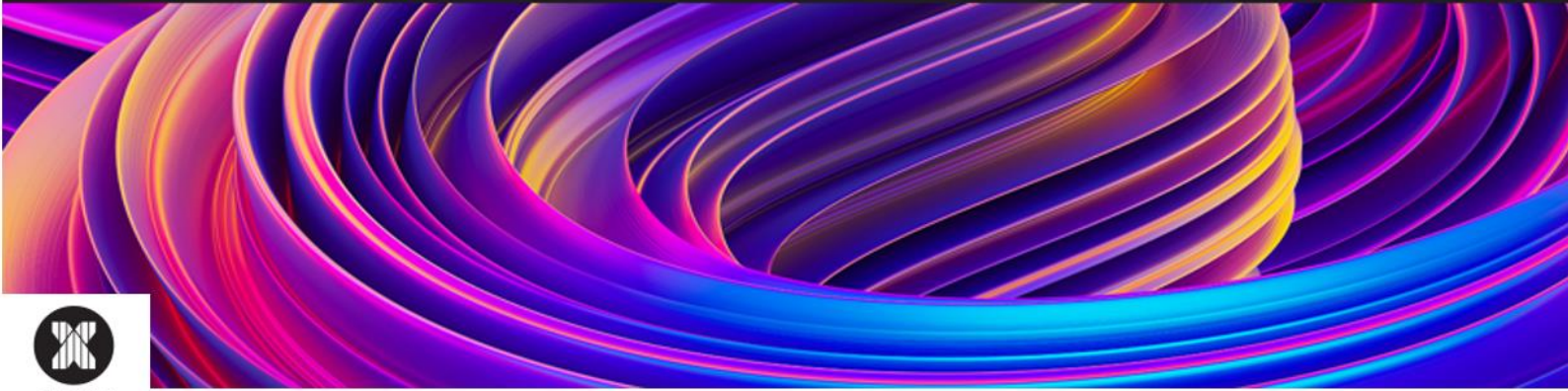
We make hiring decisions based on your skills, capabilities and experience, and how you'll help us to live our values. We encourage you to apply even if you don't meet all the criteria of this role. If you need any adjustments during the application or interview process to help you present your best self, please let us know.

At ASX Group, our diverse workforce is essential to build and maintain a fair and dynamic marketplace. We support flexible working and offer hybrid working options. Even if our roles are advertised as full-time, we encourage you to apply if you are interested in part-time or other flexible working arrangements.

We will arrange for successful candidates to have background checks, including reference and police checks completed as part of the on-boarding process.

Recruitment Agencies: ASX does not accept any unsolicited agency resumes and will not be responsible for any fees related to unsolicited resumes.