



# Risk and Compliance Senior Analyst, ASX Technology (AS11602)

Sydney, New South Wales, Australia (Hybrid)

ASX: Powering Australia's financial markets

## Why join the ASX?

When you join ASX, you're joining a company with a strong purpose – to power a stronger economic future by enabling a fair and dynamic marketplace for all.

In your new role, you'll be part of a leading global securities exchange with a strong brand. We are known for being a trusted market operator and an exciting data hub.

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## We are more than a securities exchange!

The ASX team brings together talented people from a diverse range of disciplines.

We run critical market infrastructure, with 1 in 3 people employed within technology. Yet we have a unique complexity of roles across a range of disciplines such as operations, program delivery, financial products, investor engagement, risk and compliance.

We're proud of the diversity of our organisation and the culture of inclusion that all our people help to build every day. Our employee-led groups are known for celebrating cultural and religious events, championing LGBTIQ+ inclusion, inspiring giving and volunteering, promoting gender equality, and wellbeing. We are an Employer of Choice for Gender Equality (WGEA) and a member of the Champions of Change Coalition for the advancement of gender equality in Australia.

## About the team:

The Technology division at ASX plays a pivotal role in shaping our enterprise technology strategy and ensuring the seamless operation of IT environments across all divisions and lines of business. As the largest division within ASX, comprising of half our workforce, we are dedicated to fostering a culture of innovation and excellence. The Technology Risk Management team serves as a crucial pillar within the Technology division, providing expert operational risk support to identify, manage and mitigate risks effectively. Aligned with ASX's risk frameworks, our team facilitates control testing, governance of issues, regulatory advice, risk assessments and risk profile management

## What you will be doing:

- Assist in coordinating and conducting control testing activities, including planning, walkthroughs and documentation.



- Support stakeholders in maintaining technology risk profiles including issues, actions, risks and obligations.
- Collaborate with team members to identify opportunities for improvements in operational risk processes.
- Support the preparation of reports and evidence for governance activities.
- Engage with SMEs across the Technology and the wider organisation to support risk management activities.

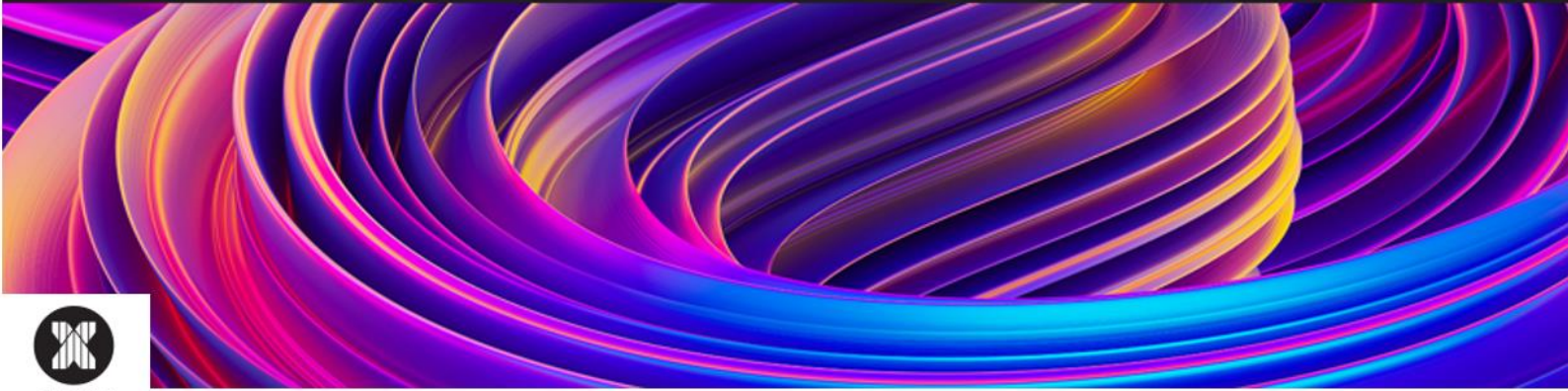
### What you will bring:

#### Must have

- Practical experience conducting and documenting control testing activities, including IT general controls.
- Experience supporting operational risk management processes with a focus on technology, within a team or project environment.
- Ability to identify and assess risks, analyse data and provide clear, actionable insights.
- Experience supporting the creation of risk reports and dashboards to assist decision-making.
- Familiarity with frameworks such as NIST, ASD E8 and COBIT.
- Ability to work effectively with team members, technical SMEs and stakeholders to support risk-related activities.
- Willingness to learn, ask questions and proactively seek guidance to support a culture of continuous improvement.

#### Nice to have

- Experience working in a highly regulated sector like finance, banking or insurance.
- Qualification/industry accreditation in technology (e.g. degree in IT/computer science, CISA, CRISC, CISSP, AWS certifications.)
- Familiarity with tools like PowerBI and Excel dashboards, or similar software for basic data visualisation and reporting.
- Exposure to Governance Risk Controls tools (GRC)



We make hiring decisions based on your skills, capabilities and experience, and how you'll help us to live our values. We encourage you to apply even if you don't meet all the criteria of this role. If you need any adjustments during the application or interview process to help you present your best self, please let us know.

At ASX Group, our diverse workforce is essential to build and maintain a fair and dynamic marketplace. We support flexible working and offer hybrid working options. Even if our roles are advertised as full-time, we encourage you to apply if you are interested in part-time or other flexible working arrangements.

We will arrange for successful candidates to have background checks, including reference and police checks completed as part of the on-boarding process.

*Recruitment Agencies: ASX does not accept any unsolicited agency resumes and will not be responsible for any fees related to unsolicited resumes.*