

Adviser, Listings Compliance



Key purpose of the role

Join the team that is responsible for the supervision of ASX's listed market. You will engage with listed entities and their advisers on the full range of legal and regulatory issues involved in their operations, transactions and governance. Bring your experience and judgement to decisions as a high performer in a fast-paced and collegiate environment with a strong work-life balance and a genuine sense of purpose.

Team	Number of reports
Listings Compliance	N/A
Manager	Location
Manager	Bridge Street, Sydney
Relationships	Special requirements
You will routinely interact with listed entities, their advisers, and key teams within ASX including Legal, Market Operations, Listings and Surveillance	N/A



Your team

Work within the team responsible for supervision of ASX's listed market. Our team's performance is critical to ASX's success in operating a market of the highest integrity and meeting the expectations of our customers and the wider Australian community.



Your responsibilities

- Communicate clearly and effectively with listed entities and their advisers.
- Apply your strong analytical, communication and interpersonal skills to advise and supervise a portfolio of listed entities. Oversee compliance by your listed entities with the Listing Rules and related requirements, and take action where appropriate.
- You will take monitoring and enforcement actions in relation to a range of issues including continuous disclosure, capital raising and capital management, governance and integrity. You will also assess and advise on applications for admission to ASX's listed market.
- Contribute to our culture of insight, enquiry and proactivity, effective communication and record-keeping, and continuous development and improvement.
- Engage directly with ASIC as ASX's regulator and as a key stakeholder with a strong shared interest in market integrity and effective regulation.
- Learn new skills and collaborate with other teams within ASX Compliance including enforcement, admissions, investment products and the transformation and operational performance team.



Your experience and qualifications

Must have

- At least 3 years of relevant industry or professional experience (including at least 2 years PQE).
- Good problem solving skills and the ability to analyse and assess complex corporate structures, governance and transaction documents and financial and similar reports for compliance with requirements.
- Demonstrated strong oral and written communication skills, including the ability to communicate complex issues simply and clearly.
- Enthusiasm and proactivity.

Nice to have

- A tertiary degree in law is an advantage. Strong candidates with tertiary qualifications in finance or accounting are also encouraged.
- Experience in capital markets and corporate governance is an advantage. Strong candidates with other backgrounds (such as financial markets regulation or litigation) are also encouraged.
- Good knowledge of the Listing Rules and Corporations Act and their application to listed entities is an advantage.

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ASX values

We put the market first

We stand up for what's right

We achieve more together

We drive positive change