



MARKET ANNOUNCEMENT

4 March 2011

ASX Compliance Monthly Activity Report – February 2011

Listings

Listed Entities	February 2011
Listed entities at month start	2,220
New listings	16
De-listings	7
Listed entities at month end	2,229

Average monthly new listings for FY11 year-to-date stand at 14 (compared to 8 listings per month on average for FY10).

The 16 new listings for the month were Alligator Energy Limited (AGE), Allmine Group Limited (AZG), Anatolia Minerals Development Limited (AQG), Australian Social Infrastructure Fund (AZF), Bella Trust Series 2010-2 (BNH), Black Mountain Resources Limited (BMZ), Blackgold International Holdings Limited (BGG), Broken Hill Prospecting Limited (BPL), Condor Blanco Mines Limited (CDB), Core Exploration Limited (CXO), Eclipse Uranium Limited (EUL), Epic Resources Limited (EPC), Metal Bank Limited (MBK), Straits Resources Limited (SRQ), Torrens Series 2010-3 Trust (TOA) and Ventnor Resources Limited (VRX).

De-listings for the month were Apollo Gas Limited (AZO), Astra Capital Limited (AKR), Avoca Resources Limited (AVO), Dominion Mining Limited (DOM), Challenger Wine Trust (CWT), Clever Communications Australia Limited (CVA) and Series 2006-1 REDS EHP Trust (REJ).

Key Listings Statistics	February 2011
Total company announcements	10,540
Price queries ¹ by ASX	75
Other continuous disclosure queries by ASX ²	40
Aware letters ³ sent by ASX	1

¹ Price queries are enquiries made by ASX (either by letter or verbally) to a listed entity if it detects unusual movements in the listed entity's security price or trading volumes to ask if the listed entity is aware of any information that has not been announced which, if known, might explain the movements.

² Other continuous disclosure queries are queries (other than price queries) raised by ASX with listed entities when ASX has concerns the entity may not be in compliance with its continuous disclosure obligations under Listing Rules 3.1, 3.1A and 3.1B. Examples include a query made following a media report or an announcement lodged with ASX.

³ Aware letters are sent by ASX following an announcement by a listed entity and ask for details of when the listed entity became aware of the material information contained in the announcement.

Announcements made following a price query	61
Trading halts at the issuer's request	122
Trading halts following a query by ASX	3
Suspensions at the issuer's request	8
Suspensions imposed by ASX for breach of the Listing Rules	1

Participants

ASX Participants ⁴	February 2011
Market/Clearing/Settlement Participants at month start	134
New admissions	0
Resignations and removals	0
Market/Clearing/ Settlement Participants at month end	134

ASX 24 Participants	February 2011
Trading/Clearing Participants at month start	43
New admissions	0
Resignations and removals	1
Trading/Clearing Participants at month end	42

National Australia Bank Limited resigned as an ASX 24 Participant during the month.

Enforcement

Referrals to ASIC ⁵	February 2011
Continuous disclosure referrals	2
Other referrals	4
Total referrals to ASIC	6

⁴ Figures exclude Special Settlement Participants set up to effect a specific corporate action as their participation is only temporary.

⁵ ASX is required, under section 792B(2)(c) of the Corporations Act 2001, to refer a matter to ASIC if it has reason to suspect that a person has committed, is committing, or is about to commit a significant contravention of the ASX Group's operating rules or the Corporations Act. It should be noted that a single referral may relate to more than one potential contravention. It should also be noted that the fact a matter has been referred to ASIC does not necessarily mean that a contravention has been committed or that ASIC will take enforcement proceedings in relation to it.

Pre 1 August 2010 Enforcement Matters ⁶	February 2011
Open ASX enforcement matters at month start	22
New enforcement matters commenced during the month	0
Enforcement matters concluded during the month	4 ⁷
Open ASX enforcement matters at month end	17
Fines imposed during the month (excl GST)	\$295,000

To date there have been no enforcement matters commenced in respect of potential contraventions of the ASX and ASX 24 Operating Rules that have occurred after 1 August 2010.

There were six referrals made to ASIC during the month. Two referrals relate to possible continuous disclosure breaches of the Corporations Act 2001, two to possible trust breaches, one to a possible breach of licence obligations regarding order records, and one to a possible breach of the Participant's obligation to provide a Financial Services Guide to a retail client.

Three Circulars were released by the ASX Disciplinary Tribunal during the month. Copies of the Disciplinary Circulars are available online at: <http://www.asx.com.au/asx/research/disciplinaryAnnouncements.do?by=all>.

ASX Rule Changes, Waivers and Exemptions

Participants were informed of a technical change made to ASX Operating Rules Procedure on Trading Halts on 24 February 2011. This change, which does not change the existing operation of trading halts, was to better reflect the session state into which ASX places the trading platform with respect to a cash market product which is subject to a trading halt.

Appendix 2.82 of the ASX 24 Operating Rules was amended to include the four new ASX CFDs that were quoted on 22 February 2011.

Further details of these ASX and ASX 24 Operating Rule changes can be accessed online at: <http://www.asxgroup.com.au/rules-guidance-notes-and-waivers.htm>.

There were 53 ASX Listing Rules waivers and one exemption from the ASX Clear (Futures) Operating Rules granted during February. Details of waivers and exemptions can be accessed online at the Rules, Guidance Notes and Waivers page on the ASX Group website: <http://www.asxgroup.com.au/rules-guidance-notes-and-waivers.htm>.

⁶ Prior to 1 August 2010, ASX was responsible for supervising the ASX and ASX 24 markets and their participants and for monitoring and enforcing compliance with the market rules for those markets. The responsibility for supervising the ASX and ASX 24 markets and their participants was transferred from ASX to ASIC on 1 August 2010 pursuant to the Corporations Amendment (Financial Market Supervision) Act 2010, and the market rules for the ASX and ASX 24 markets were effectively split into market integrity rules administered by ASIC and Operating Rules administered by ASX. However, ASX remains responsible for enforcing any breaches of the former market rules that occurred prior to 1 August 2010.

⁷ Two matters were combined and concluded by the Tribunal as one matter