



MARKET ANNOUNCEMENT

6 July 2011

ASX Compliance Monthly Activity Report – June 2011

Listings

Listed Entities	June 2011
Listed entities at month start	2,244
New listings	13
De-listings	10
Listed entities at month end	2,247

Average monthly new listings for FY11 were 13 (compared to 8 listings per month on average for FY10).

New listings for the month were Allied Gold Mining PLC (ALD), Bluechiip Limited (BCT), Conto Resources Limited (CNO), Dempsey Minerals Limited (DMI), Echo Entertainment Group Limited (EGP), Mining Group Limited (MNE), MRG Metals Limited (MRQ), Naracoota Resources Limited (NRR), Netherlands Development Finance Company (NFB), Onthehouse Holdings Limited (OTH), Rumble Resources Limited (RTR), Securitised Australian Mortgage Trust 2011-1 (SAC) and Sunseeker Minerals Limited (SKM).

De-listings for the month were Ascent Pharmahealth Limited (APH), Hexima Limited (HXL), Industrial Bank of Korea (IOK), Jabiru Metals Limited (JML), Liberty Series 2007-1 SME/CMBS Trust (LIE), Mantra Resources Limited (MRU), Prime Infrastructure Holdings Limited (PIH), Prime Infrastructure Trust (PIH), Prime Infrastructure Trust 2 (PIH) and Stuart Petroleum Limited (STU).

Key Listings Statistics	June 2011
Total company announcements	9,065
Price queries ¹ by ASX	55
Other continuous disclosure queries by ASX ²	38
Aware letters ³ sent by ASX	3
Announcements made following a price query	25
Trading halts at the issuer's request	86

¹ Price queries are enquiries made by ASX (either by letter or phone) to a listed entity if it detects unusual movements in a listed entity's security price or trading volumes to ask if the listed entity is aware of any information that has not been announced which, if known, might explain the movements.

² Other continuous disclosure queries are queries (other than price queries) raised by ASX with listed entities when ASX has concerns the entity may not be in compliance with its continuous disclosure obligations under Listing Rules 3.1, 3.1A and 3.1B. Examples include a query made following a media report or an announcement lodged with ASX.

³ Aware letters are sent by ASX following an announcement by a listed entity and ask for details of when the listed entity became aware of the material information contained in the announcement.

Key Listings Statistics	June 2011
Trading halts following a query by ASX	7
Suspensions at the issuer's request	8
Suspensions imposed by ASX for breach of the Listing Rules	0

Participants

ASX Participants ⁴	June 2011
Market/Clearing/Settlement Participants at month start	133
New admissions	1
Resignations and removals	0
Market/Clearing/ Settlement Participants at month end	134

ASX 24 Participants	June 2011
Trading/Clearing Participants at month start	43
New admissions	0
Resignations and removals	0
Trading/Clearing Participants at month end	43

During the month of June, Mutual Trust Pty Ltd was admitted as an ASX Settlement Participant.

Enforcement

Referrals to ASIC ⁵	June 2011
Continuous disclosure referrals	3
Other referrals	0
Total referrals to ASIC	3

In FY11 there were a total of 59 referrals to ASIC, comprising 19 continuous disclosure referrals and 40 other referrals.

⁴ Figures exclude Special Settlement Participants set up to effect a specific corporate action as their participation is only temporary.

⁵ ASX is required, under sections 792B(2)(c) and 821B(2)(c) of the Corporations Act 2001, to refer a matter to ASIC if it has reason to suspect that a person has committed, is committing, or is about to commit a significant contravention of the ASX Group's Operating Rules or the Corporations Act. It should be noted that a single referral may relate to more than one potential contravention. It should also be noted that the fact a matter has been referred to ASIC does not necessarily mean that a contravention has been committed or that ASIC will take enforcement proceedings in relation to it.

Pre 1 August 2010 Enforcement Matters ⁶	June 2011
Open ASX enforcement matters at month start	14
New enforcement matters commenced during the month	0
Enforcement matters concluded during the month	2
Open ASX enforcement matters at month end	12
Fines imposed during the month (excl GST)	\$75,000

To date there have been no enforcement matters commenced in respect of potential contraventions of the ASX and ASX 24 Operating Rules occurring after 1 August 2010.

Two Circulars were released by the ASX Disciplinary Tribunal during the month. Copies of the Disciplinary Circulars are available online at: <http://www.asx.com.au/asx/group/disciplinaryAnnouncements.do?by=all>.

ASX Rule Changes, Waivers and Exemptions

Effective from 20 June 2011, ASX 24 Operating Rules Procedure 4800 was amended to include Renewable Energy Certificates (RECs) as an instrument against which exchange listed REC futures and options on futures contracts can be exchanged using the Exchange for Physical ("EFP") mechanism under the ASX 24 Operating Rules.

Effective from 30 June 2011, the 3 and 10 Year NZ Government Stock Futures Settlement List used for settlement price determination was updated to include the Royal Bank of Scotland plc. Also effective on 30 June 2011, Schedule 1 of the ASX Settlement Operating Rule Procedures was amended to remove the CHES Depository Interests of Unilife Corporation as a FOR⁷ Financial Product.

Details of these changes can be accessed online at: <http://www.asxgroup.com.au/rules-guidance-notes-and-waivers.htm>.

ASX Clear and ASX Settlement Operating Rule amendments to support the introduction of ASX's Trade Acceptance Service⁸ are available on <http://www.asx.com.au/clearing/trade-acceptance-service.htm>. Proposed amendments to the Procedures have also been published on the Trade Acceptance Service website.

There were 53 waivers from the ASX Listing Rules and two waivers from the ASX 24 Clear (Futures) Operating Rules granted during the month. Details of those waivers and exemptions can be accessed online at the Rules, Guidance Notes and Waivers page on the ASX website: <http://www.asxgroup.com.au/rules-guidance-notes-and-waivers.htm>.

⁶ Prior to 1 August 2010, ASX was responsible for supervising the ASX and ASX 24 markets and their participants and for monitoring and enforcing compliance with the market rules for those markets. The responsibility for supervising the ASX and ASX 24 markets and their participants was transferred from ASX to ASIC on 1 August 2010 pursuant to the Corporations Amendment (Financial Market Supervision) Act 2010, and the market rules for the ASX and ASX 24 markets were effectively split into market integrity rules administered by ASIC and Operating Rules administered by ASX. However, ASX remains responsible for enforcing any breaches of the former market rules that occurred prior to 1 August 2010.

⁷ FOR Financial Products means a class of Approved Financial Products included in Schedule 1 that are subject to aggregate foreign ownership restrictions pursuant to legislation or under the issuer's constitution.

⁸ The Trade Acceptance Service enables trades in CHES-eligible ASX-quoted securities executed on any approved market to be accepted by ASX Clear and ASX Settlement for clearing and settlement in an identical fashion to trades executed on the ASX market.