



6 October 2020

Australian Securities and Investments Commission  
Mr Nathan Bourne  
Senior Executive Leader, Market Infrastructure  
Level 5, 100 Market Street  
SYDNEY NSW 2000

ASX Market Announcements Office  
ASX Limited  
20 Bridge Street  
SYDNEY NSW 2000

### **APPENDIX 3Y – CHANGE OF DIRECTOR’S INTEREST NOTICE – DOMINIC STEVENS**

Attached is an Appendix 3Y (Change of Director’s Interest Notice) for Mr Dominic Stevens.

Release of market announcement authorised by:  
Daniel Csillag  
Company Secretary

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	ASX Limited
<b>ABN</b>	98 008 624 691

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Dominic John Stevens
<b>Date of last notice</b>	10 September 2020

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	11,500 ordinary shares held by DSES Super Pty Ltd as trustee for the Emandom Superannuation Fund.
<b>Date of change</b>	6 October 2020 (in respect of the partial vesting of Performance Rights awarded in 2016 under the ASX Limited Long Term Incentive Plan (LTIP))
<b>No. of securities held prior to change</b>	Indirect interest in 11,500 ordinary shares held by DSES Super Pty Ltd as trustee for the Emandom Superannuation Fund.  Direct interest in 47,468 ordinary shares allocated under ASX's STI Deferred Equity Plan.
<b>Class</b>	Ordinary shares.

+ See chapter 19 for defined terms.

## Appendix 3Y Change of Director's Interest Notice

<b>Number acquired</b>	Direct interest in 10,444 ordinary shares (partial vesting of Performance Rights awarded in 2016 under the LTIP).
<b>Number disposed</b>	Not applicable.
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	Nil.
<b>No. of securities held after change</b>	Indirect interest in 11,500 ordinary shares held by DSES Super Pty Ltd as trustee for the Emandom Superannuation Fund.  Direct interest in 57,912 ordinary shares comprised of: <ul style="list-style-type: none"> <li>• 47,468 ordinary shares allocated under ASX's STI Deferred Equity Plan</li> <li>• 10,444 ordinary shares (partial vesting of Performance Rights awarded in 2016 under the LTIP).</li> </ul>
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Partial vesting of Performance Rights awarded in 2016 under the LTIP.

### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	Executive Services Agreement dated 1 August 2016.
<b>Nature of interest</b>	Subject to Executive Service Agreement, Performance Rights awarded under LTIP.
<b>Name of registered holder (if issued securities)</b>	ASX Limited Long Term Incentive Plan Trust.
<b>Date of change</b>	30 September 2020 (award of Performance Rights under the LTIP) and 6 October 2020 (partial lapse of Performance Rights awarded under the LTIP)

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

<p><b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed</p>	<p>2016 Performance Rights: 20,889 2017 Performance Rights: 18,975 2018 Performance Rights: 15,843 2019 Performance Rights: 12,281</p> <p>The number of ASX Limited shares to which the interest relates is subject to the satisfaction of performance criteria.</p> <p>Assuming satisfaction of the performance criteria, each vested performance right entitles Mr Stevens to receive one ASX Limited share.</p>
<p><b>Interest acquired</b></p>	<p>Award of 12,091 performance rights pursuant to the ASX LTIP (2020 Performance Rights).</p> <p>The number of ASX Limited shares to which the interest relates is subject to the satisfaction of performance criteria.</p> <p>Assuming satisfaction of the performance criteria, each vested performance right entitles Mr Stevens to receive one ASX Limited share.</p>
<p><b>Interest disposed</b></p>	<p>10,445 Performance Rights awarded in 2016 under the LTIP lapsed on 6 October 2020 as the performance conditions for vesting of those Performance Rights were not met.</p> <p>Refer to Part 1 for details of the partial vesting of Performance Rights awarded in 2016 under the LTIP.</p>
<p><b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation</p>	<p>The number of 2020 Performance Rights awarded was calculated by dividing \$1,000,000 by the 10 day volume weighted average price of ASX Limited shares over 10 business days preceding 30 September 2020.</p>
<p><b>Interest after change</b></p>	<p>2017 Performance Rights: 18,975 2018 Performance Rights: 15,843 2019 Performance Rights: 12,281 2020 Performance Rights: 12,091</p> <p>[Note: Part 1 sets out information on ordinary shares held directly and indirectly by Mr Stevens.]</p>

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**Appendix 3Y**  
**Change of Director's Interest Notice**

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**Part 3 – +Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	No.
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	
<b>If prior written clearance was provided, on what date was this provided?</b>	

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+ See chapter 19 for defined terms.