

CASH MARKET MARGINING

EFFECTIVE 25 OCTOBER 2021

Appendix 1 – All Flat Rate Parameters

RCG ID	Description	Current Flat Rate	New Flat Rate	Direction
Individual Security Flat Rates - ASX Top 200				
RCG 581	ASX 200 - Flat APT	42.0%	24.0%	↓
RCG 706	ASX 200 - Flat UMG	13.0%	10.0%	↓
RCG 723	ASX 200 - Flat PBH	10.0%	34.0%	↑
RCG 737	ASX 200 - Flat TYR	10.0%	28.0%	↑
RCG 750	ASX 200 - Flat DRR	50.0%	10.0%	↓
RCG 795	ASX 200 - Flat NXL	10.0%	14.0%	↑
RCG 803	ASX 200 - Flat EDV	10.0%	10.0%	-
RCG 810	ASX 200 - Flat CLW	-	10.0%	New
RCG 811	ASX 200 - Flat CNI	-	13.0%	New
RCG 812	ASX 200 - Flat COL	-	10.0%	New
RCG 813	ASX 200 - Flat DHG	-	14.0%	New
RCG 814	ASX 200 - Flat ING	-	13.0%	New
RCG 815	ASX 200 - Flat NIC	-	13.0%	New
RCG 816	ASX 200 - Flat NWL	-	13.0%	New
RCG 817	ASX 200 - Flat URW	-	13.0%	New
RCG 818	ASX 200 - Flat UWL	-	13.0%	New
RCG 819	ASX 200 - Flat VEA	-	14.0%	New
Individual Security Flat Rates - ASX Next 300				
RCG 685	Next 300 - Flat ITG	22.0%	21.0%	↓
RCG 710	Next 300 - Flat AMX	10.0%	17.0%	↑
RCG 711	Next 300 - Flat AT1	16.0%	27.0%	↑
RCG 712	Next 300 - Flat CBR	10.0%	33.0%	↑
RCG 713	Next 300 - Flat DTC	10.0%	21.0%	↑
RCG 714	Next 300 - Flat ECF	10.0%	10.0%	-
RCG 716	Next 300 - Flat FCL	10.0%	14.0%	↑
RCG 717	Next 300 - Flat HMC	10.0%	24.0%	↑
RCG 718	Next 300 - Flat LME	10.0%	14.0%	↑
RCG 719	Next 300 - Flat MAD	10.0%	11.0%	↑
RCG 720	Next 300 - Flat MME	10.0%	21.0%	↑
RCG 721	Next 300 - Flat NTO	10.0%	17.0%	↑
RCG 724	Next 300 - Flat PFT	16.0%	23.0%	↑

RCG ID	Description	Current Flat Rate	New Flat Rate	Direction
RCG 725	Next 300 - Flat PGL	10.0%	28.0%	↑
RCG 726	Next 300 - Flat PWG	10.0%	12.0%	↑
RCG 729	Next 300 - Flat SPT	10.0%	31.0%	↑
RCG 733	Next 300 - Flat VGI	10.0%	15.0%	↑
RCG 734	Next 300 - Flat VVA	10.0%	22.0%	↑
RCG 735	Next 300 - Flat WSP	10.0%	24.0%	↑
RCG 736	Next 300 - Flat SZL	10.0%	29.0%	↑
RCG 745	Next 300 - Flat ASM	50.0%	36.0%	↓
RCG 749	Next 300 - Flat SSR	50.0%	10.0%	↓
RCG 759	Next 300 - Flat HDN	16.0%	10.0%	↓
RCG 761	Next 300 - Flat PPH	11.0%	14.0%	↑
RCG 765	Next 300 - Flat IAP	11.0%	10.0%	↓
RCG 770	Next 300 - Flat 4DX	10.0%	25.0%	↑
RCG 771	Next 300 - Flat ABB	10.0%	13.0%	↑
RCG 772	Next 300 - Flat ABY	10.0%	10.0%	-
RCG 773	Next 300 - Flat ARX	10.0%	11.0%	↑
RCG 775	Next 300 - Flat BKG	10.0%	10.0%	-
RCG 777	Next 300 - Flat CSX	10.0%	14.0%	↑
RCG 779	Next 300 - Flat CTT	10.0%	21.0%	↑
RCG 780	Next 300 - Flat DBI	10.0%	10.0%	-
RCG 781	Next 300 - Flat DOC	10.0%	17.0%	↑
RCG 783	Next 300 - Flat HMY	10.0%	16.0%	↑
RCG 784	Next 300 - Flat HPG	10.0%	13.0%	↑
RCG 785	Next 300 - Flat IMR	10.0%	20.0%	↑
RCG 786	Next 300 - Flat LBY	10.0%	18.0%	↑
RCG 787	Next 300 - Flat LFG	10.0%	10.0%	-
RCG 788	Next 300 - Flat MGH	10.0%	14.0%	↑
RCG 789	Next 300 - Flat MYD	10.0%	14.0%	↑
RCG 792	Next 300 - Flat OPY	10.0%	43.0%	↑
RCG 793	Next 300 - Flat PLT	10.0%	12.0%	↑
RCG 794	Next 300 - Flat UNI	10.0%	11.0%	↑
RCG 799	Next 300 - Flat JNO	50.0%	29.0%	↓
RCG 800	Next 300 - Flat PLL	10.0%	14.0%	↑
RCG 802	Next 300 - Flat CNQ	10.0%	30.0%	↑
RCG 820	Next 300 - Flat 360	-	19.0%	New
RCG 821	Next 300 - Flat ABR	-	22.0%	New
RCG 822	Next 300 - Flat AD8	-	23.0%	New

RCG ID	Description	Current Flat Rate	New Flat Rate	Direction
RCG 823	Next 300 - Flat ADN	-	23.0%	New
RCG 824	Next 300 - Flat ADT	-	22.0%	New
RCG 825	Next 300 - Flat ALG	-	23.0%	New
RCG 826	Next 300 - Flat ANO	-	23.0%	New
RCG 827	Next 300 - Flat AQR	-	10.0%	New
RCG 828	Next 300 - Flat ASG	-	22.0%	New
RCG 829	Next 300 - Flat BTH	-	24.0%	New
RCG 830	Next 300 - Flat BUB	-	24.0%	New
RCG 831	Next 300 - Flat BVS	-	22.0%	New
RCG 832	Next 300 - Flat COF	-	12.0%	New
RCG 833	Next 300 - Flat CRN	-	20.0%	New
RCG 834	Next 300 - Flat DXC	-	14.0%	New
RCG 835	Next 300 - Flat ELO	-	20.0%	New
RCG 836	Next 300 - Flat EMV	-	22.0%	New
RCG 837	Next 300 - Flat EOF	-	24.0%	New
RCG 838	Next 300 - Flat HE8	-	24.0%	New
RCG 839	Next 300 - Flat JLG	-	17.0%	New
RCG 840	Next 300 - Flat JMS	-	16.0%	New
RCG 841	Next 300 - Flat M7T	-	22.0%	New
RCG 842	Next 300 - Flat MAF	-	22.0%	New
RCG 843	Next 300 - Flat MMM	-	22.0%	New
RCG 844	Next 300 - Flat NEW	-	12.0%	New
RCG 845	Next 300 - Flat OBM	-	24.0%	New
RCG 846	Next 300 - Flat PDN	-	23.0%	New
RCG 847	Next 300 - Flat PFP	-	14.0%	New
RCG 848	Next 300 - Flat PPE	-	23.0%	New
RCG 849	Next 300 - Flat RDC	-	28.0%	New
RCG 850	Next 300 - Flat RDY	-	20.0%	New
RCG 851	Next 300 - Flat SGLLV	-	18.0%	New
RCG 852	Next 300 - Flat SM1	-	14.0%	New
RCG 853	Next 300 - Flat ST1	-	23.0%	New
RCG 854	Next 300 - Flat TIE	-	23.0%	New
RCG 855	Next 300 - Flat TLX	-	22.0%	New
RCG 856	Next 300 - Flat VUL	-	24.0%	New
RCG 857	Next 300 - Flat WGN	-	22.0%	New
RCG 858	Next 300 - Flat WGX	-	20.0%	New
RCG 859	Next 300 - Flat ZNO	-	23.0%	New

RCG ID	Description	Current Flat Rate	New Flat Rate	Direction
Individual Security Flat Rates - Outside Top 500				
RCG 683	Non - Flat GDY	7.0%	10.0%	↑
RCG 801	Non - Flat FIXD	10.0%	10.0%	-
RCG 806	Non - Flat BBUS	10.0%	10.0%	-
Interest Rate Securities				
RCG 36	Interest Rate Securities	10.0%	10.0%	-
Warrants				
RCG 32	Instalment Warrants	16.0%	16.0%	-
RCG 33 C	Call Warrants	49.0%	49.0%	-
RCG 33 P	Put Warrants	49.0%	49.0%	-
RCG 34 C	Barrier Call Warrants	31.0%	18.0%	↓
RCG 34 P	Barrier Put Warrants	31.0%	19.0%	↓
RCG 35	Other Warrants	14.0%	11.0%	↓
Non-All Ordinaries Buckets				
RCG 30	Less than \$0.05	50.0%	25.0%	↓
RCG 31	\$0.05 - \$0.10	16.0%	17.0%	↑
RCG 40	\$0.10 - \$0.50	16.0%	16.0%	-
RCG 41	\$0.50 - \$1.00	10.0%	12.0%	↑
RCG 42	\$1.00 - \$5.00	10.0%	10.0%	-
RCG 43	\$5.00 - \$10.00	10.0%	10.0%	-
RCG 44	Greater than \$10.00	10.0%	10.0%	-