

# CASH MARKET MARGINING

EFFECTIVE 8 MAY 2020

## Appendix 1 – All Parameter Changes

| RCG ID                                               | Description              | Current Flat Rate | New Flat Rate | Direction |
|------------------------------------------------------|--------------------------|-------------------|---------------|-----------|
| <b>Individual Security Flat Rates - ASX Top 200</b>  |                          |                   |               |           |
| RCG 581                                              | ASX 200 - Flat APT       | 22.0%             | 42.0%         | ↑         |
| RCG 658                                              | ASX 200 - Flat COL       | 7.0%              | 10.0%         | ↑         |
| RCG 624                                              | ASX 200 - Flat URW       | 7.0%              | 12.0%         | ↑         |
| <b>Individual Security Flat Rates - ASX Next 300</b> |                          |                   |               |           |
| RCG 659                                              | Next 300 - Flat ALG      | 13.0%             | 40.0%         | ↑         |
| RCG 663                                              | Next 300 - Flat AQR      | 7.0%              | 10.0%         | ↑         |
| RCG 665                                              | Next 300 - Flat CRN      | 9.0%              | 14.0%         | ↑         |
| RCG 666                                              | Next 300 - Flat ED1      | 9.0%              | 16.0%         | ↑         |
| RCG 680                                              | Next 300 - Flat IAP      | 7.0%              | 11.0%         | ↑         |
| RCG 685                                              | NEXT 300 - Flat ITG      | 17.0%             | 22.0%         | ↑         |
| RCG 637                                              | Next 300 - Flat JMS      | 11.0%             | 13.0%         | ↑         |
| RCG 671                                              | Next 300 - Flat RDC      | 7.0%              | 18.0%         | ↑         |
| RCG 673                                              | Next 300 - Flat VTH      | 7.0%              | 10.0%         | ↑         |
| <b>Interest Rate Securities</b>                      |                          |                   |               |           |
| RCG 36                                               | Interest Rate Securities | 7.0%              | 10.0%         | ↑         |
| <b>Warrants</b>                                      |                          |                   |               |           |
| RCG 33 P                                             | Put Warrants             | 46.0%             | 49.0%         | ↑         |
| RCG 33 C                                             | Call Warrants            | 46.0%             | 49.0%         | ↑         |
| RCG 35                                               | Other Warrants           | 13.0%             | 14.0%         | ↑         |
| RCG 32                                               | Instalment Warrants      | 15.0%             | 16.0%         | ↑         |
| RCG 34 P                                             | Barrier Put Warrants     | 27.0%             | 31.0%         | ↑         |
| RCG 34 C                                             | Barrier Call Warrants    | 27.0%             | 31.0%         | ↑         |
| <b>Non-All Ordinaries Buckets</b>                    |                          |                   |               |           |
| RCG 44                                               | Greater than \$10.00     | 7.0%              | 10.0%         | ↑         |
| RCG 43                                               | \$5.00 - \$10.00         | 7.0%              | 10.0%         | ↑         |
| RCG 42                                               | \$1.00 - \$5.00          | 7.0%              | 10.0%         | ↑         |
| RCG 41                                               | \$0.50 - \$1.00          | 9.0%              | 10.0%         | ↑         |
| RCG 40                                               | \$0.10 - \$0.50          | 15.0%             | 16.0%         | ↑         |
| RCG 31                                               | \$0.05 - \$0.10          | 15.0%             | 16.0%         | ↑         |