

Listed@ASX Compliance Update

04 May 2015

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Update no 04/15

Updated guidance on Co-operatives and Mutuals Listing, Cash Flow Reports and Management Agreements

On 30 April 2015, ASX released revised versions of ASX Listing Rules Guidance Notes 3 Co-operatives and Mutuals Listing on ASX, 23 Appendix 4C Cash Flow Reports and 26 Management Agreements. The release is part of ASX's ongoing project to refresh all of its Listing Rule Guidance Notes. The revised Guidance Notes are available on the ASX Website at: http://www.asx.com.au/regulation/rules/asx-listingrules.htm.

ASX has also withdrawn Listing Rules Guidance Note 25 The Exercise of ASX Discretions. The guidance it contains is outdated and has largely been replaced by more specific guidance in the updates ASX has made to other Guidance Notes as part of their refresh.

2. ASX Online Companies: external notification functionality

ASX Online Companies offers users the ability to nominate any third party to receive an email notifying them that the listed entity has released an announcement and enclosing a copy of the announcement. The notification to third parties will happen automatically upon release of the announcement and will be the same as the email acknowledgment that goes to entity's nominated ASX Online users.

A maximum of thirty individual email addresses may be added. Should a user choose, the email address can be a distribution list email address, such as "committee@company.com". Any distribution list and its component email addresses will need to be set up within the entity's email system as a single email address. It is not possible to create a separate distribution list within the external notification screen - only email addresses can be added. Users should consult their technology providers or support team if further information is required.

More detail on how to use the external notification feature was provided in ASX Listed Entities Update 04/13.

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