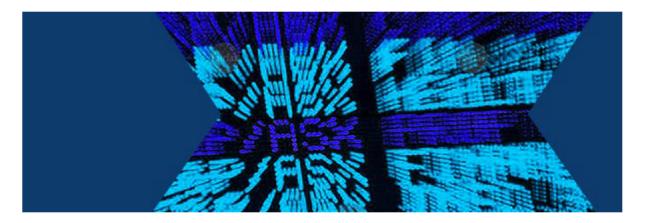
Compliance Updates





Listed@ASX

Compliance Update 12 July 2018 Update no 06/18

1. Price sensitivity and cross release indication of announcements lodged on ASX Online - anticipated 'go-live' date

As previously advised, ASX will be introducing two additional functionalities to ASX Online to allow a listed entity to provide an indication as to whether an announcement lodged for release to the market:

- · is 'market sensitive' or 'non-sensitive';
- materially relates to or affects another listed entity and therefore should be crossreleased against the name of that entity on the Market Announcements Platform.

The anticipated 'go-live' date has been postponed. The new functionalities will now be installed and should be made available over the weekend of 4 - 5 August with an anticipated 'go-live' date of Monday, 6 August 2018.

The price sensitivity indication is intended to assist Market Announcements Officers in forming a view on the materiality of the announcement which will lead to accuracy in classifying announcements as either 'price sensitive' or 'non-sensitive'. For reasons of maintaining consistency and integrity, the Market Announcements Office will make the final assessment of sensitivity prior to the release of the announcement.

A further additional function to ASX Online will also enable a listed entity to indicate whether the announcement lodged also materially relates to or affects another listed entity and therefore should be cross-released against the name of that entity on the Market Announcements Platform. The information provided will again assist the Market Announcements Office in more accurately identifying instances where an announcement may be relevant to multiple listed entities. For reasons of maintaining consistency and

integrity, the Market Announcements Office will again make the final assessment of whether the submitted announcement should be cross-released.

If you have any queries, please contact your Listings Compliance Adviser.

Updates to Listing Rule Guidance Notes

ASX Listing Rules Guidance Note 1 (section 3.20) and Guidance Note 4 (section 3.2) have been updated to set out ASX's expectation that the listing prospectus or PDS for an entity established outside Australia will include a summary of any taxes or duties payable in its place of incorporation, registration or establishment by an investor in relation to the acquisition, holding or disposal of securities in the entity or, if there are no such taxes or duties, a statement to that effect.

3. Periodic report due date reminder

Listed entities are reminded of upcoming deadlines for periodic reports:

- Quarterly Reports for Mining and Commitments Test Entities Tuesday 31 July 2018
- Preliminary final reports (June year-end) Friday 31 August 2018
- Statutory half year financial reports (except mining exploration entities) (December year-end) – Friday 31 August 2018
- Statutory half year financial reports for mining exploration entities (December yearend) – Thursday 13 September 2018
- Statutory audited annual accounts (June year-end) Friday 28 September 2018
- Annual reports (June year-end) Wednesday 31 October 2018
- Next Quarterly Reports for Mining and Commitments Test Entities Wednesday 31 October 2018

Listed entities are also reminded that a failure to lodge the relevant documents on time will result in an automatic suspension of the entity's securities (refer to Listing Rule 17.5).

4. Subscribe to Listed@ASX - Compliance Update

Listed@ASX Compliance Update is a free publication for listed entities and their advisers about ASX rules and requirements. To subscribe, email <u>Listed@ASX</u> or download the free Listed@ASX app from the <u>Apple app</u> store and <u>Google Play</u>.