



Listed@ASX

Compliance Update 6 May 2019

Update no 04/19

1. Final reminder to register for ASX Corporate Governance Roadshow and livestream access

The [Corporate Governance Principles and Recommendations 4th edition](#) national roadshow will take place over the following two weeks. Participants will be informed of the changes made in the latest edition and will have the opportunity to ask questions relating those changes.

This is a final reminder to please register via the following links if you haven't already done so:

- [Register for Adelaide](#)
Date: Tuesday 7 May 2019, 9am to 10am
Venue: Adelaide Convention Centre, North Terrace, Adelaide
- [Register for Perth](#) or contact your Listings Adviser
Date: Wednesday 8 May 2019, 9am to 10am
Venue: Parmelia Hilton Hotel, 14 Mill Street, Perth
- [Register for Sydney](#)
Date: Thursday 9 May 2019, 11am to 12noon
Note: 9am session is booked out
Venue: 20 Bridge Street, Sydney
- [Register for Melbourne](#)
Date: Friday 10 May 2019, 9am to 10am
Venue: State Library, 328 Swanston Street Melbourne
- [Register for Brisbane](#)

Date: Wednesday, 15 May 2019, 9am to 10am
Venue: Mercure Hotel, 85-87 North Quay, Brisbane

For those who are unable to attend, ASX will [livestream](#) the Sydney event on Thursday 9 May 2019 from 9am to 10am AEST.

ASX will also make the presentation available via the [Presentations and webinars page](#) on the ASX website shortly following the livestream.

If you have questions please contact ASX at asxerp@asx.com.au.

2. Release of a revised version of ASX Listing Rules Guidance Note 33 Removal of Entities from the ASX Official List

On 15 April 2019, [ASX released a revised version of ASX Listing Rules Guidance Note 33 Removal of Entities from the ASX Official List](#) (GN 33). Changes to GN 33 were foreshadowed in ASX's consultation paper [Simplifying, clarifying and enhancing the integrity and efficiency of the ASX Listing Rules](#) issued on 28 November 2018.

ASX has released the revised version of GN 33 earlier than foreshadowed in the consultation paper to:

- incorporate immediately some of the feedback received in the consultation including, in particular, a change to section 2.7 of GN 33 to require a special resolution rather than an ordinary resolution to approve a voluntary de-listing; and
- give the market advance notice of the deferral of the effective date for the changes to ASX's long term suspended entity policy in section 3.4 of GN 33.

The changes to ASX's long term suspended entity policy will now take effect on Monday 3 February 2020, rather than the date originally proposed in the consultation paper of 1 July 2019. This is to allow currently suspended entities more time to pursue a transaction that could lead to them being reinstated to trading. All of the other changes in GN 33 take effect immediately.

To assist readers to identify the changes, ASX has also released mark-ups comparing the new GN 33 to [the version in force prior to 15 April 2019](#) and [the proposed version in ASX's consultation paper](#).

3. Subscribe to Listed@ASX - Compliance Update

Listed@ASX Compliance Update is a free publication for listed entities and their advisers about ASX rules and requirements. To subscribe, email Listed@ASX or download the free Listed@ASX app from the [Apple app](#) store and [Google Play](#).

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