

Listed@ASX Compliance Update no. 08/22

16 September 2022

1. ASX to close on 22 September 2022 to observe National Day of Mourning- timetable implications

ASX reminds listed entities that the ASX Trade Market and ASX Settlement (CHES) will be closed on Thursday, 22 September 2022 to observe the recently announced National Day of Mourning and Public Holiday to commemorate the life of Her Majesty, Queen Elizabeth II.

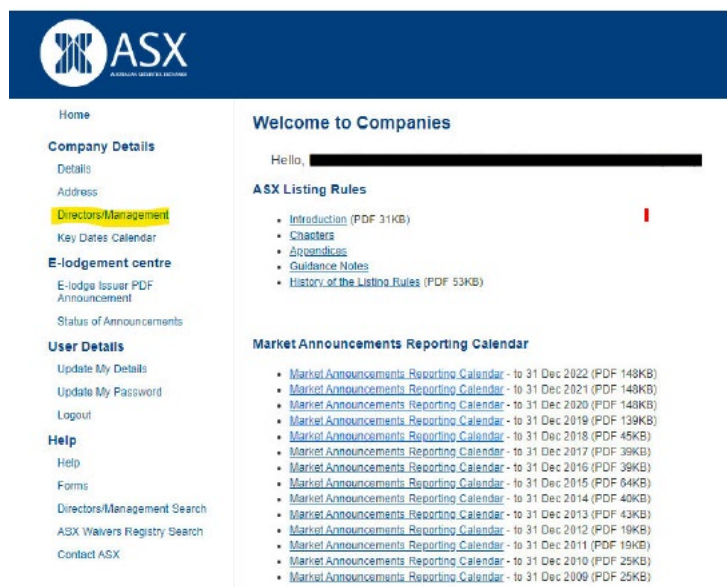
Accordingly, Thursday 22 September 2022 will no longer be a Business Day for listing and operating rule purposes and there will be no settlement or clearing activity on the day.

Should you have any concerns or queries with regard to any existing or proposed corporate action or capital event that includes this public holiday in your timetable, please contact your Listings Compliance Adviser for assistance and clarification.

2. Nominated ASX contacts: Updating ASX Online records by 30 September 2022

All ASX listed entities must appoint and at all times have at least one person responsible for communication with ASX in relation to listing rule matters (a 'nominated ASX contact').

Entities should notify ASX through ASX Online of all changes to nominated ASX contact(s), company directors and senior management. You may notify ASX of such changes through the 'Directors/Management' page, accessed through the link under the heading 'Company Details' on the left side of the page after logging into the ASX Online portal, as shown below.



The screenshot shows the ASX Online portal interface. On the left, there is a navigation menu with the following items: Home, Company Details (with sub-items: Details, Address, Directors/Management, Key Dates Calendar), E-lodgement centre (with sub-items: E-lodge Issuer PDF Announcement, Status of Announcements), User Details (with sub-items: Update My Details, Update My Password, Logout), Help (with sub-items: Forms, Directors/Management Search, ASX Walkers Registry Search, Contact ASX). The main content area is titled 'Welcome to Companies' and shows a 'Hello, [redacted]' message. Below this, there is a section for 'ASX Listing Rules' with a list of links: Introduction (PDF 31KB), Charters, Appendices, Guidance Notes, and History of the Listing Rules (PDF 53KB). Further down, there is a section for 'Market Announcements Reporting Calendar' with a list of links for various reporting periods from 2009 to 2022.

Nominated ASX contacts should be assigned the title of 'Communication Person' through ASX Online. A person may be assigned multiple titles through ASX Online. A person who is, for example, both the Company Secretary and the nominated ASX contact for an entity should be assigned both titles in ASX Online.

Entities should update their ASX Online records in addition to publicly or privately advising ASX of a change to their nominated ASX contact.

ASX encourages all entities to log into ASX Online by 30 September 2022 to confirm that the details of their nominated ASX contact are correctly recorded and if necessary, update those records.

A person who was previously appointed as a nominated ASX contact but is not currently recorded as a 'Communication Person' through ASX Online should update the details of the relevant entity (or entities) through ASX Online, and when prompted to enter a 'Start Date' for this role enter the date on which the entity previously advised ASX of such appointment.

A nominated ASX contact whose 'Start Date' was prior to 1 July 2022 is not required to complete the ASX Listing Rules compliance course (refer to item directly below for more information).

For newly appointed nominated ASX contacts, all entities should update the details of their nominated ASX contact(s) through ASX Online immediately upon the change occurring. ASX will request the entity to confirm the change in ASX Online if ASX is notified of the change in some other way. Entities should separately email their listings adviser to confirm any changes made to their nominated ASX contact on ASX Online.

3. ASX Listing Rules compliance course

As noted in [Listed@ASX Compliance Update 05/22](#), nominated ASX contacts for entities with an ASX Listing (but not an ASX Debt Listing or Foreign Exempt Listing) appointed on or after 1 July 2022 and before 1 October 2022 must complete the approved [ASX Listing Rules compliance course](#) and attain a satisfactory pass mark in the examination for that course by 14 October 2022.

From 1 October 2022, any new nominated ASX contact for an entity with an ASX Listing will need to pass the course prior to their appointment or prior to the entity's admission (or readmission), as applicable. If a nominated ASX contact has already passed the course on behalf of a different listed entity they are not required to pass it again.

The details of 'Communication Persons' in ASX Online (discussed in the item above) will be used to track compliance with this requirement. If ASX identifies that a nominated ASX contact is required to complete the course and has not completed the course, ASX will contact the relevant person to ensure the new requirements are complied with.

4. Emails circulating regarding membership or maintenance of an entity's ISIN

A number of listed entities have reported receiving invoices relating to membership or maintenance of International Securities Identification Numbers (ISINs) from third party organisations. Please be advised that ASX is the primary National Numbering Agency (NNA) for the allocation of ISINs in Australia.

ASX reports all Australian ISINs to the Association of National Numbering Agencies (ANNA) for the official registration of ISINs which is then made available worldwide in accordance with the global standards. ANNA and ASX have no dealings or affiliation with any third party offering commercial services for assisting to obtain or maintain ISINs in their database. Any threats from these organisation to purge a valid ISIN from their systems if membership and/or maintenance fees are not paid should be viewed with scepticism.

5. ASX Market Announcements closes one hour later during daylight saving

Daylight saving commences in New South Wales, the Australian Capital Territory, Victoria, Tasmania and South Australia at 2:00 a.m. AEST on Sunday 2 October 2022, and will end at 3:00 a.m. on Sunday 2 April 2023. Daylight saving is not observed in Queensland, Western Australia or the Northern Territory.

As WA will be 3 hours behind Sydney time during daylight saving, ASX Market Announcements will stay open until 8.30 p.m. AEDT (5.30 p.m. AWST) starting on Monday 3 October 2022. ASX Market Announcements will revert to its usual 7.30 pm AEST closing time when daylight saving has ended.

A further Listed@ASX Compliance Update reminding listed entities of the change will be released closer to that time.
