



Listed@ASX Compliance Update no. 01/23

12 January 2023

1. **Reminder: automatic suspension for failing to lodge periodic reports on due date – strict enforcement of lodgement deadlines from 31 January 2023**

Under Listing Rule 17.5, if an entity fails to give ASX the documents required under rules 4.2A, 4.3A, 4.4A, 4.5, 4.7B, 4.7C, 4.12, 5.1, 5.2, 5.3, 5.4 or 5.5 or the annual report required under rule 4.7, ASX will suspend its securities from quotation on the trading day after the date on which the documents were due. ASX will not waive this rule.

As outlined in [Listed@ASX Compliance Update- 09/22](#) and [Listed@ASX Compliance Update- 10/22](#), listed entities are again reminded that, with effect from 31 January 2023, ASX will apply the rules strictly to late lodgement of periodic reports. Any periodic reports with a due date on or after 31 January 2023 must be lodged before the market announcements office closes on the business day when the report is due, or the entity's securities will be suspended from trading on the next trading day. If the report is lodged between the closure of the market announcements office and the imposition of the suspension, the entity's securities will normally be reinstated to quotation on the next trading day after the suspension is imposed.

This means that the December quarterly reports for mining, oil and gas and commitments test entities must be lodged no later than 8:30pm AEDT on Tuesday 31 January 2023.

Similarly, investment entities must provide the net tangible asset backing of their quoted securities as at 31 January 2023 immediately it is available for release to the market and in any event no later than 8:30pm AEDT on Tuesday 14 February 2022 or trading in their securities will be suspended.

Listed entities are encouraged to contact their Adviser if they have any queries.
