



ASX Investment Products

ETP Update - August 2017

1. Issuer fees

ASX is changing the timing of invoices issued for fees relating to the admission of AQUA Product Issuers and AQUA Products. Previously, ASX applied the following admission fees once the AQUA Product Series had been admitted to Trading Status:

- 06250002: New Fund or Structured Product Issuer (\$14,500 + GST)
- 06250005: Existing Fund issuer with new Fund (\$5,000 + GST)
- 06250022: Existing Fund issuer with new Fund(s) linked to a single asset, maturing or expiring underlying instrument - PDS review (\$5,000 + GST)
- 06250023: Existing Fund Issuer with new Fund(s) linked to a single asset, maturing or expiring underlying instrument - per series (\$2,000 + GST)

From 1 October 2017, ASX will apply these fees once an application has been received for the approval as an AQUA Product Issuer and/or for an AQUA Product Series to be admitted to Trading Status. These changes align the application of fees to products admitted to the Official List under the Listing Rules.

The invoices for the fees will be issued prior to ASX providing the admission decision for the approval as an AQUA Product Issuer and/or for the AQUA Product Series to be admitted to Trading Status.

The details of the fees impacted are available [here](#).

The full fee schedules of AQUA traded products can be found below:

- [ASX Trade: Markets Participant and Trading Schedule of Fees](#)
- [Clearing, Settlement and Issuer Administration Services Schedule of Fees](#)

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