



ASX Investment Products ETP Update - December 2019

1. Updated AQUA Product Application Forms and Checklist for exchange traded funds (ETFs), exchange traded managed fund (ETMFs) and structured products

ASX has updated the following application forms and checklists for each new AQUA Product that is applying to be admitted to Trading Status for the purposes of ASX Operating Rule Schedule 10A.3.3(a).

- AQUA Admission Application and Agreement
- ETF Checklist
- Exchange Traded Managed Fund Checklist
- Structured Product Checklist

The updated forms are available on the <u>ASX Compliance Downloads page</u> on the ASX website under the heading "AQUA Products (mFund and Traded Market)". The updated forms all have an effective date of 1 September 2019.

If you have any queries, please contact the Investment Products team.

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