



ASX Investment Products

ETP Update - July 2018

1. Updates to Legal Certification and Legal Supporting Analysis for applications to admit an exchange traded product under the AQUA rules

ASX recently updated the [Legal Certification](#) and [Legal Supporting Analysis](#) which is to be provided by AQUA Product Issuers proposing to admit an exchange traded product under the AQUA rules.

These minor updates are based on existing AQUA Rules that Issuers would have previously confirmed elsewhere in their product application:

- The Proposed AQUA Product is/is not an OTC Derivatives Based ETF or OTC Derivatives Based Managed Fund
- The Proposed AQUA Product is/is not seeking equal treatment relief against ASIC Class Order 13/721
- If the Proposed AQUA Product is a Managed Fund Product, the requirements in ASX Operating Rule Schedule 10A.3.4 are satisfied.

2. Price sensitivity and cross release indication of announcements lodged on ASX Online - anticipated 'go-live' date

As previously advised in [ETP Update March 2018](#), ASX will be introducing two additional functionalities for entities lodging announcements for release to the market:

- An entity to indicate its view on whether the submitted announcement is "market sensitive" or "non-sensitive";
- A non-mandatory function for an entity to indicate if the announcement lodged materially relates to or affects another listed entity and therefore should be cross-released against the name of that entity on the Market Announcements Platform.

The new functionalities will be installed and should be made available over the weekend of 4 - 5 August with an anticipated 'go-live' date of Monday, 6 August 2018.

For ETP Issuers announcing units on issue or distributions for multiple ETPs in the same PDF announcement, ASX advises to complete the template for up to 5 ETPs (although your announcement may refer to more than 5 ETPs). Once submitted, MAO will review the announcement and the cross-release indication and cross-release as appropriate to all affected ETPs.

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