

ETP Updates



ASX Investment Products

ETP Update - March 2023

Updated AQUA Product Application Checklist for AQUA Product Issuers, exchange traded funds (ETFs), exchange traded managed fund (ETMFs), structured products and mFund products.

ASX has updated the following application forms and checklists that must be submitted by entities applying to be approved as an AQUA Product Issuer, or the admission of AQUA Products to Trading Status or for settlement through the ASX Managed Fund Settlement Service.

- AQUA Product Issuer Checklist
- ETF Checklist
- Exchange Traded Managed Fund Checklist
- Structured Product Checklist
- mFund Checklist

The updated forms are available on the <u>ASX Compliance Downloads</u> page on the ASX website under the heading "Investment product issuers". The updated forms all have an effective date of 3 March 2023.

Any entity applying for approval as an AQUA Product Issuer or admission of an AQUA Product on or after 6 March 2023 must use an updated AQUA Product Admission Checklist. If ASX receives an application with an old AQUA Product Admission Checklist, ASX will request that the applicant completes and submits an updated AQUA Product Admission Checklist.

If you have any questions in the meantime please don't hesitate to contact the <u>Investment Products team</u>.

Regards,
ASX Investment Products



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