

Agenda

Business Committee

Date	26 June 2024	Time	12:00pm-2:00pm
Location	ASX offices, 20 Bridge Street, Sydney/MS Teams		

1. Meeting Opening	Action	Presenter(s)	Time	Page
a. Welcome, apologies and Acknowledgement of Country	Noting	Chair	5 mins	
b. Minutes of 20 March 2024 meeting	Approval	Chair		
ITEMS FOR PRESENTATION			100 min	Page
2. BC Member initiated item(s)				
a. Call for member initiated items	Discussion	BC members	15 min	
3. Clearing & Settlement Services, Issuer Services				
a. T+1 Update	Discussion	Andrew Jones, Lisa Briggs	20 min	
b. Cash Equities Clearing and Settlement Services and Issuer Services Pricing Policy - Consultation	Discussion	Stephen Hammon	10 min	
c. CHES Roadmap Update	Discussion	Andrew Jones	5 min	
4. CHES replacement project				
a. Project update	Discussion	Andrew Jones, Tim Whiteley	15 min	
b. CHES Replacement Public Consultation Update	Discussion	Andrew Jones	15 min	
c. Approach to Interoperability	Discussion	Chris Boyes	15 min	
QUESTIONS SESSION – ITEMS NOT FOR PRESENTATION			10 min	
	Action	Attendee(s)	Time	Page
5. Clearing & Settlement Services, Issuer Services				
a. Operational Performance: Cash Market Clearing and Settlement	Noting	Suzy Munro		
b. Maintaining the stability of existing CHES	Noting	Sabra Mott		
c. eStatements take-up	Noting	Karen Webb		

d. Australian Carbon Exchange initiative – update	Noting	Karen Webb		
e. ASX Cash Equities Clearing and Settlement Code of Practice update	Noting	Con Korkofigas		
f. ASX Business Committee Charter update	Noting	Con Korkofigas		
g. BC Membership policy update	Noting	Andrew Jones		
6. CHESS replacement project				
a. Partnership Program update	Noting	Andrew Jones		
7. Meeting closing	Action	Presenter(s)	10 min	Page
a. Open actions	Approval	BC Secretariat		
b. Forward work program and items to be kept in view	Discussion	BC Secretariat		
c. Any other business	Discussion	Chair		
d. Next meeting – 18 September 2024	Noting	Chair		

Business Committee Members (42)

Chair (1)

Company	Name	Job Title	Apologies
	Paul Rayson	Independent Chair	

Clearing & Settlement Participants (25)

Company	Name	Job Title	Apologies
ABN AMRO CLEARING	Adrian Rubin	Head of Operations	
AUSIEX	*Patrick Salis	Chief Executive Officer	Peter Robinson
BANK OF AMERICA	*Stephen Hacker	Director, Head of Operations	Neal Stringer
BARRENJOEY MARKETS	Louise Boreham	Head of Operations	
BNP PARIBAS	Mark Wootton	Head of Custody Product Aust & NZ	
BURRELL & CO HOLDINGS	Saskia Jo	Associate Director – Finance	
CITI	Christopher Moore	Manager – Direct Custody & Clearing	
CMC	Andrew Rogers	Director of Broking Business	
COMMSEC	Sheridan Thompson	Executive Manager, Industry Engagement & Operational Resilience	
EUROZ HARTLEYS	Anthony Brittain	Executive Director, Chief Operating & Financial Officer	
FINCLEAR SERVICES	Leigh Conder	Chief Operating Officer	
FNZ CUSTODIANS (AUSTRALIA)	Paul Beal	Head of Capital Markets	
GOLDMAN SACHS	Yen Le	Executive Director	
INSTINET AUSTRALIA	Phil Penrose	Head of Australian Operations	

J.P. MORGAN	Craig Twentyman	Custody Product Head Australia & New Zealand, Executive Director	
MACQUARIE GROUP	*James Indge	Cash Equities Business Manager	Paul Bragg
MORGANS FINANCIAL	Daniel Spokes	Director, Client Support Services	
MORGAN STANLEY	Carrie Barrack	Country Business Manager	
MORRISON SECURITIES	William Slack	Chief Executive Officer	
OPENMARKETS AUSTRALIA	Rob Forbes	Chief Operating Officer	
RBC SECURITIES AUSTRALIA	Daniel Gray	Managing Director, Head of Business & Client Services, APAC	
STATE ONE STOCKBROKING	Geoff Reilly	Chief Executive Officer	
THIRD PARTY PLATFORM	Lee Muco	Chief Executive Officer	
UBS	Conor Foley	Senior Business Manager	
WEALTHHUB SECURITIES	Anita Mead	Head of High Networth, Investment Platforms	

Settlement Only Participants (3)

Company	Name	Job Title	Apologies
CERTANE CT	Rose O'Rourke	Operations Manager	
CHIMAERA CAPITAL	Rochelle Pattison	Director	
HSBC	Toni Icevski	Head of Client Services, Securities Services	

Approved Market Operators (4)

Company	Name	Job Title	Apologies
ASX	Jamie Crank	General Manager, Trading, Markets	
CBOE	John Williams	Director Australian Equity Operations	
NATIONAL STOCK EXCHANGE (NSX)	Chan Arambewela	Chief Operating Officer	
SYDNEY STOCK EXCHANGE (SSX)	Steven Larkins	General Manager, SSX Market Operations	

Industry Associations (6)

Company	Name	Job Title	Apologies
The Australian Financial Markets Association (AFMA)	Damian Jeffree	Senior Director of Policy	
Australasian Investor Relations Association (AIRA)	Ian Matheson	Chief Executive Officer	
Australian Custodial Services Association (ACSA)	Andrew Gibson	ACSA Director and Executive Sponsor, ACSA Operations Working Group	
Australian Shareholders' Association (ASA)	Fiona Balzer	Policy & Advocacy Manager	
Governance Institute of Australia (GIA)	Megan Motto	Chief Executive Officer	
Stockbrokers and Investment Advisers Association (SIAA)	Judith Fox	Chief Executive Officer	

Registries (3)

Company	Name	Job Title	Apologies
BOARDROOM	Tharun Kuppanda	Head of Risk, Compliance and Regulatory Partnerships	
COMPUTERSHARE	Scott Hudson	General Manager – Market Liaison	
LINK MARKET SERVICES	Peter Jackson	Chief Operating Officer	

* Delegate

ASX Management

Name	Job Title	Apologies
Clive Triance	Group Executive, Securities & Payments	
Andrew Jones	General Manager, Equities, Securities & Payments	
Hamish Treleaven	Chief Risk Officer	
Tim Whiteley	Chief Information Officer, Chair CHES Replacement Technical Committee	
Sally Palmer	Deputy General Counsel	
Con Korkofigas	Senior Legal Counsel and Senior Manager	
Diane Lewis	General Manager, Regulatory Strategy and Executive Advisor	
Suzy Munro	General Manager, Securities & Payments Operations	
Sabra Mott	General Manager, Securities & Payments Technology	
Karen Webb	Head of, Issuer Services, Securities & Payments	
Stephen Hammon	General Manager, Business Management, Securities & Payments	
Triona Quinlan	General Manager, Technology Delivery, ASX Technology Delivery	
Chris Boyes	Senior Product Manager, Equities, Securities & Payments	
Lisa Briggs	Senior Manager, Equities, Securities & Payments	

Ernst & Young

Name	Job Title	Apologies
Roberto Fitzgerald	Partner, Financial Services	Juan Carlos Martin
Laura West	Senior Manager, Business Consulting	

Observers

Name	Job Title	Apologies
Benjamin Cohn-Urbach	Acting Senior Executive Leader, Market Infrastructure (ASIC)	
Dodie Green	Senior Manager, Market Infrastructure (ASIC)	
Liang Chen	Analyst, Market Infrastructure (ASIC)	
Derek Scott	Senior Specialist, Market Infrastructure Markets (ASIC)	
Kylie Stewart	Senior Manager, Financial Market Infrastructure, Domestic and Payments (RBA)	
Elizabeth Kandelas	Manager, Financial Market Infrastructure, Domestic and Payments (RBA)	