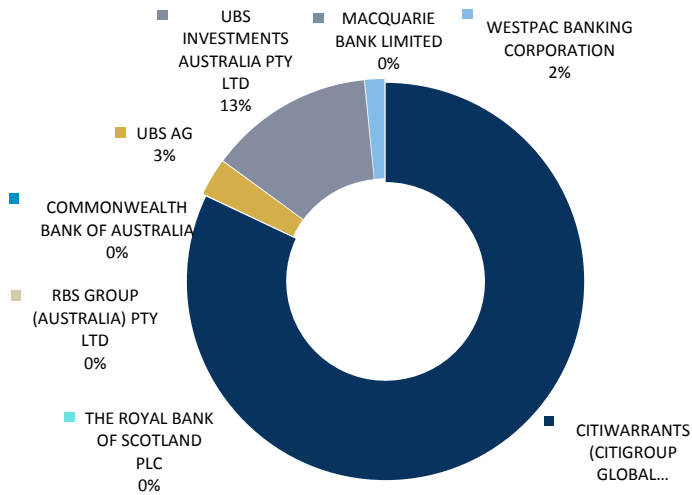


# Warrants Monthly Update - October 2017

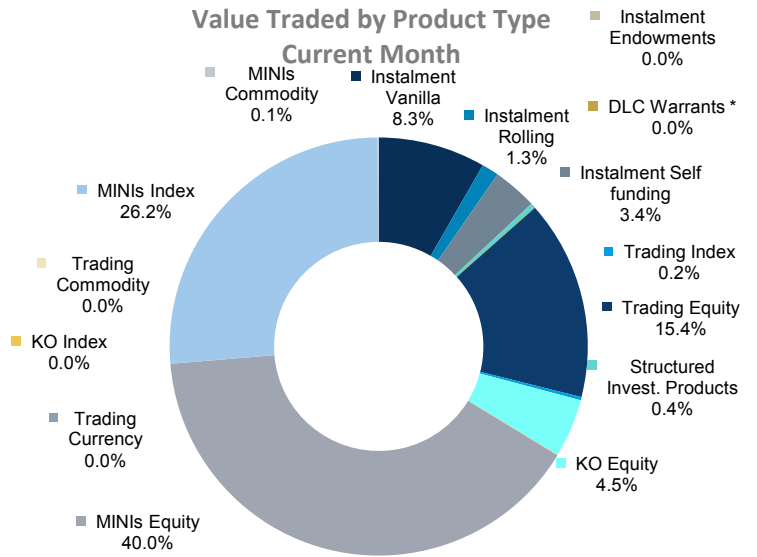


Month of: Oct-17      Trading Days: 22      Period Ending: 31-Oct

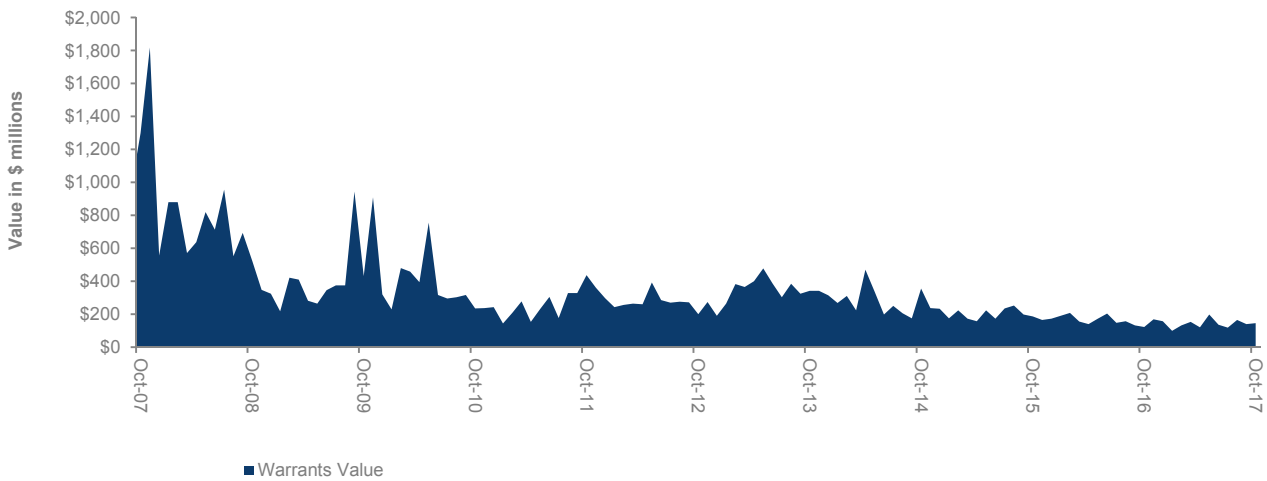
Value Traded by Issuer  
Current Month



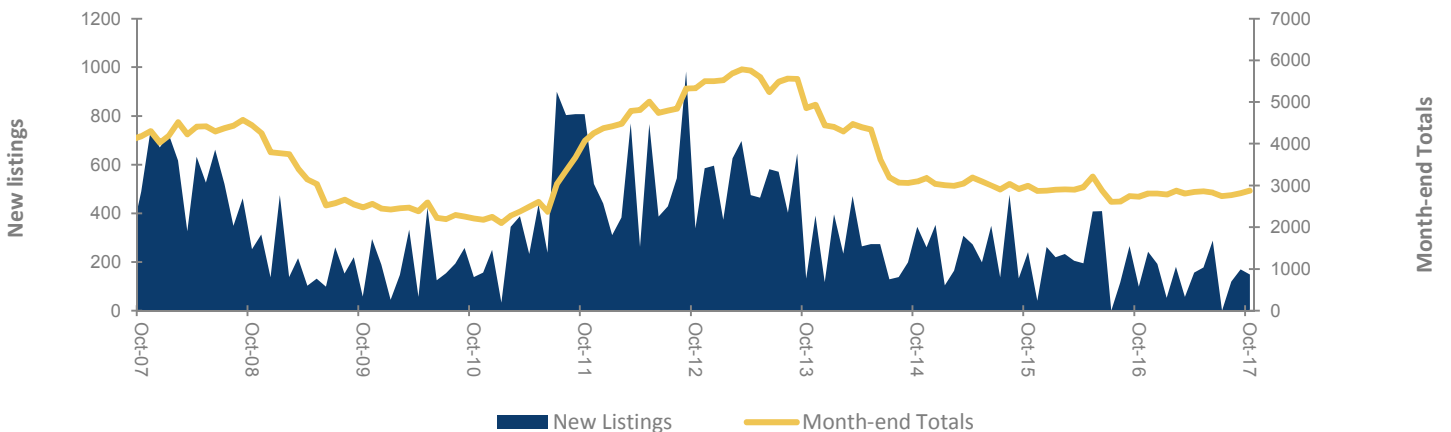
Value Traded by Product Type  
Current Month



Warrant Turnover - Value



Warrants - New Listings & Month-end Totals



# Warrants Monthly Update - October 2017

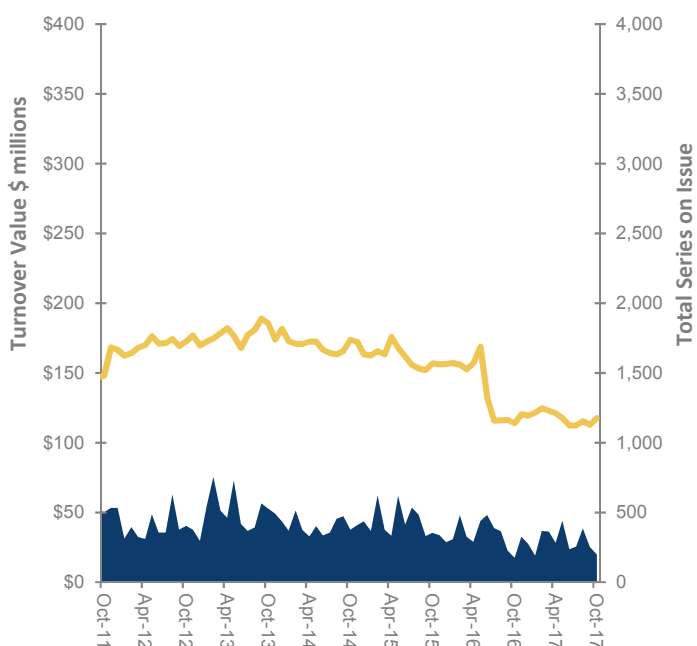


Month of: Oct-17

Warrant Type by Issuer		CITIWARRANTS	CBA	MACQUARIE	RBS	RBS PLC	UBS AG	UBS	WESTPAC	TOTAL	%
Instalments	Vanilla	284					135		14	433	15.0%
	Rolling	164	28							192	6.7%
	Self funding	218		24			184		128	554	19.2%
Endowments											
Structured Inv. Products											
DLC Warrants *				2			1			3	0.1%
Bonus Certificates		190								190	6.6%
Trading	Equity	32						2		34	1.2%
	Index	16								16	0.6%
	Currency										
	Commodity										
Knock-out	KO Equity	26								26	0.9%
	KO Index										
MINIs	Equity	1,282								1,282	44.5%
	Index	106								106	3.7%
	Commodity	43								43	1.5%
<b>TOTAL</b>		<b>2,361</b>	<b>28</b>	<b>26</b>			<b>320</b>	<b>2</b>	<b>142</b>	<b>2,879</b>	

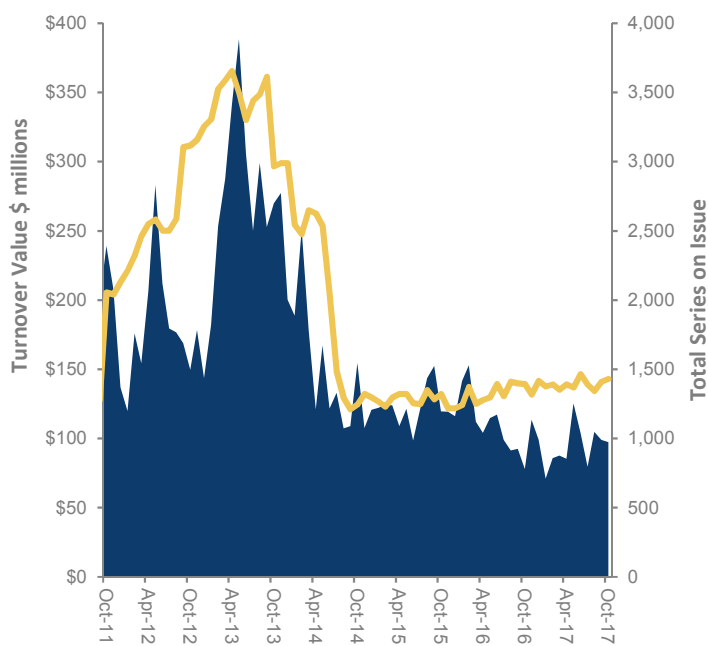
\* Dual Listed Company Warrant

Instalments



Value Total on Issue

MINI's



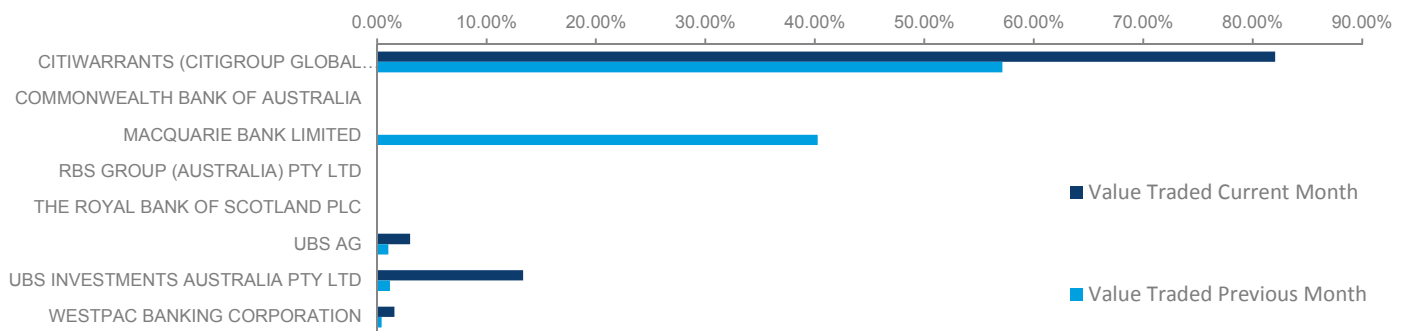
Value Traded Total on Issue

# Warrants Monthly Update - October 2017



Month of: Oct-17

Issuer	Monthly Value Traded			
	Daily Average (in \$,000)	vs YTD (\$m)	Mkt Share	YTD Mkt Share
CITIWARRANTS (CITIGROUP GLOBAL MARKET	5,468	1,246.88	82.0%	88.6%
COMMONWEALTH BANK OF AUSTRALIA	-	-		
MACQUARIE BANK LIMITED	-	-		
RBS GROUP (AUSTRALIA) PTY LTD	-	0.37		0.0%
THE ROYAL BANK OF SCOTLAND PLC	-			
UBS AG	202	59.35	3.0%	4.2%
UBS INVESTMENTS AUSTRALIA PTY LTD	890	67.97	13.4%	4.8%
WESTPAC BANKING CORPORATION	105	32.25	1.6%	2.3%
	<b>6,666</b>	<b>1,406.83</b>		

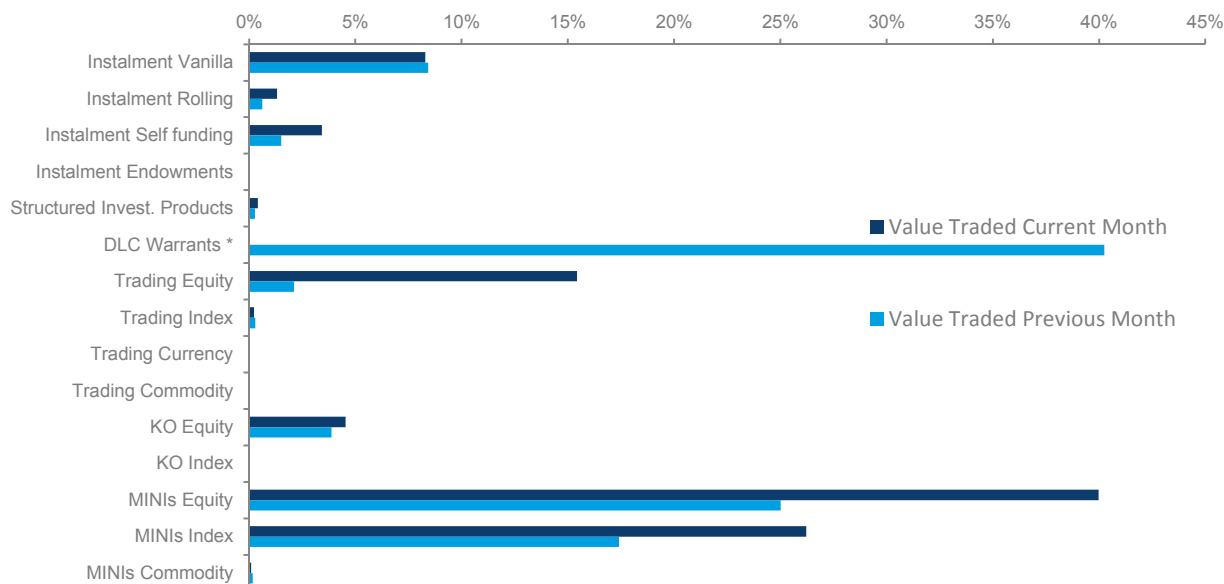


Product Type	Daily Average (in \$,000)	Monthly Value Traded		
		vs Prev 12 Mnth	Mkt Share	Prev 12 Mnth Mkt Share
Instalment Vanilla	554	-23.8%	8.3%	14.2%
Instalment Rolling	88	38.5%	1.3%	1.2%
Instalment Self funding	229	2.8%	3.4%	4.3%
Instalment Endowments	-	#DIV/0!		
Structured Invest. Prod.	27	-22.8%	0.4%	0.7%
DLC Warrants *	0	-100.0%	0.0%	6.9%
Trading Equity	1,031	314.4%	15.4%	4.8%
Trading Index	16	-71.4%	0.2%	1.1%
Trading Currency	-	#DIV/0!		
Trading Commodity	-	#DIV/0!		
KO Equity	303	53.6%	4.5%	3.8%
KO Index	2	-92.1%	0.0%	0.6%
MINIs Equity	2,669	19.4%	40.0%	43.5%
MINIs Index	1,751	83.9%	26.2%	18.5%
MINIs Commodity	7	-42.5%	0.1%	0.2%
	<b>6,677</b>	<b>30.1%</b>		

# Warrants Monthly Update - October 2017



Month of: Oct-17



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## Most Activity Traded Underlying by Value this month

Underlying	Value (\$ths)	MKT%
XJO	36,899	25.1%
FX1	19,585	13.3%
CBA	9,514	6.5%
FMG	9,155	6.2%
ANZ	6,169	4.2%
BHP	5,784	3.9%
RIO	3,945	2.7%
AMC	3,934	2.7%
WBC	3,170	2.2%
NAB	2,676	1.8%
<b>TOP10</b>	<b>100,830</b>	<b>68.6%</b>
<b>TOTAL</b>	<b>146,902</b>	<b>100.0%</b>

## Most Activity Traded Warrant by Value this month

Underlying	Value (\$ths)	MKT%
FX1WUA	19,585	13.33%
XJOKOS	11,420	7.77%
XJOKOK	4,154	2.83%
AMCBOE	3,852	2.62%
XJOMOY	3,842	2.62%
FMGMOE	2,843	1.93%
XJOKOU	2,655	1.81%
FMGKOD	2,523	1.72%
XJOMOM	2,345	1.60%
SYISSB	1,671	1.14%
<b>TOP10</b>	<b>54,890</b>	<b>37.37%</b>
<b>TOTAL</b>	<b>146,902</b>	<b>100.00%</b>

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