



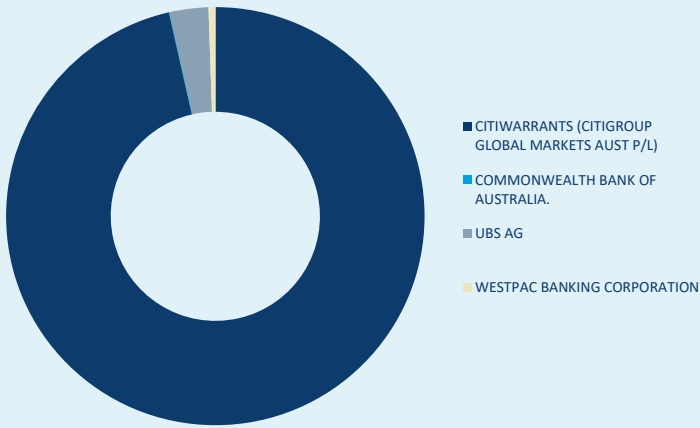
# Spotlight: Warrants



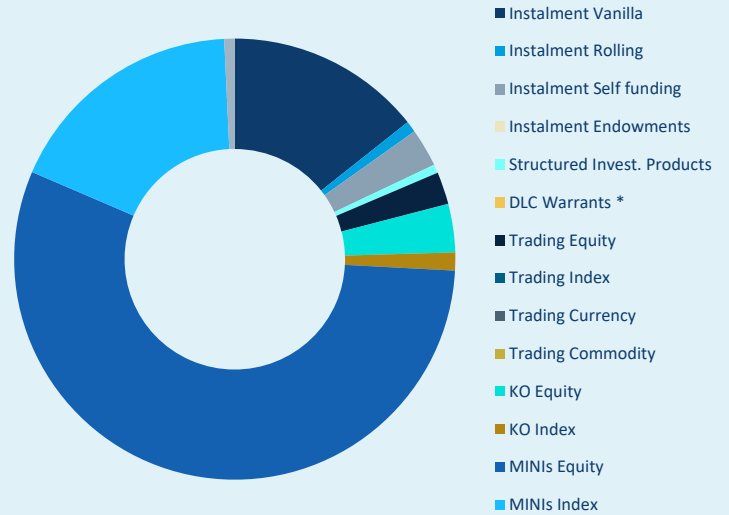
Warrants Summary - August 2018

Transaction days: 23 / Period ending: 31 August 2018

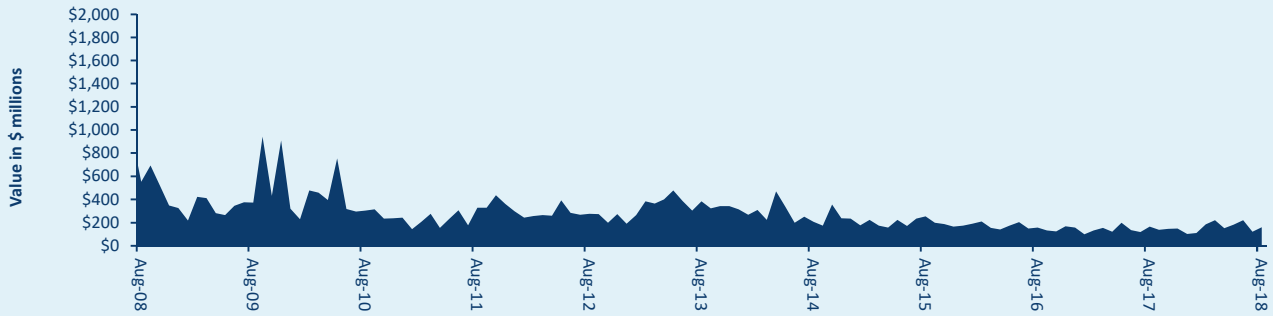
Value Traded by Issuer



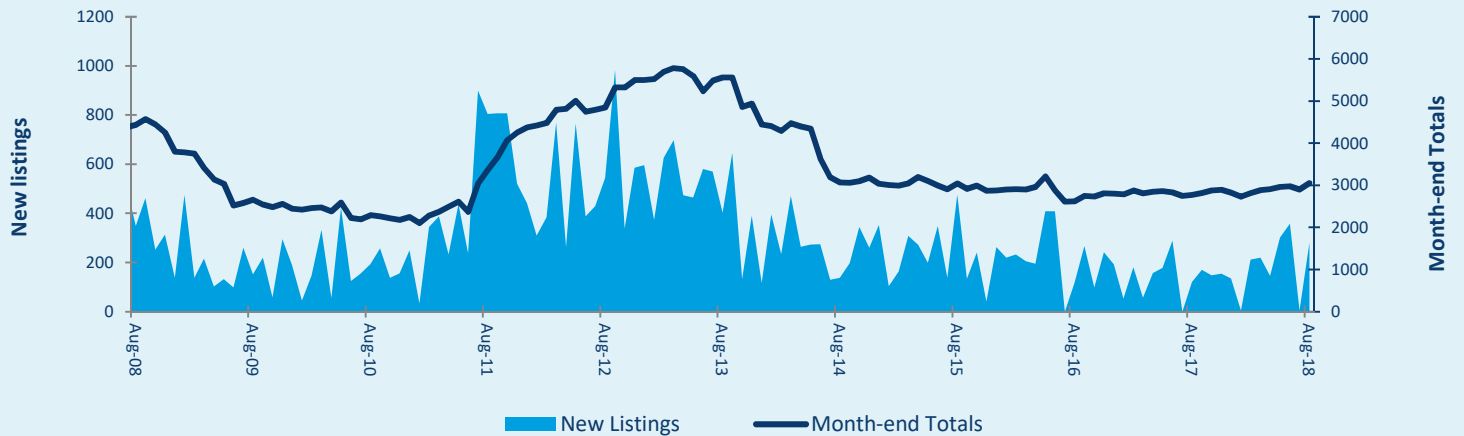
Value Traded by Product Type



Warrant Turnover - Value



Warrants - New Listings & Month-end Totals





# Spotlight:

## Warrants



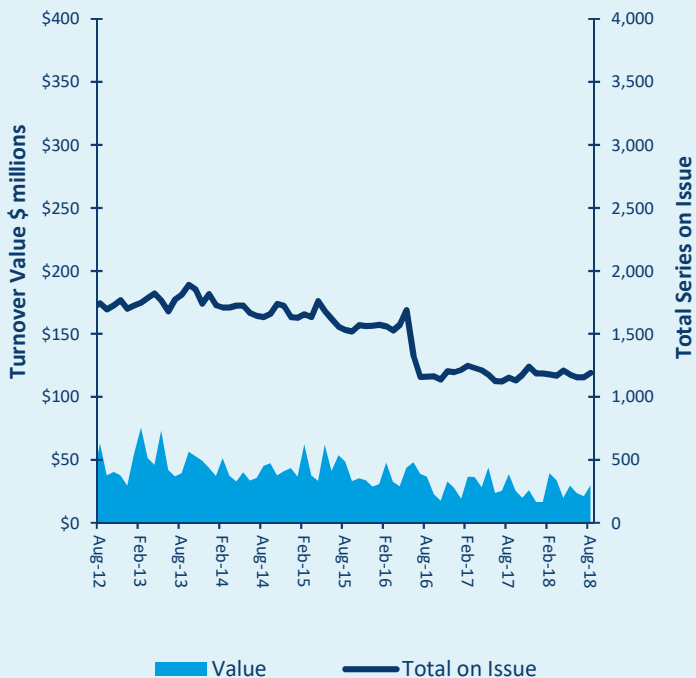
Warrants Summary - August 2018

Transaction days: 23 / Period ending: 31 August 2018

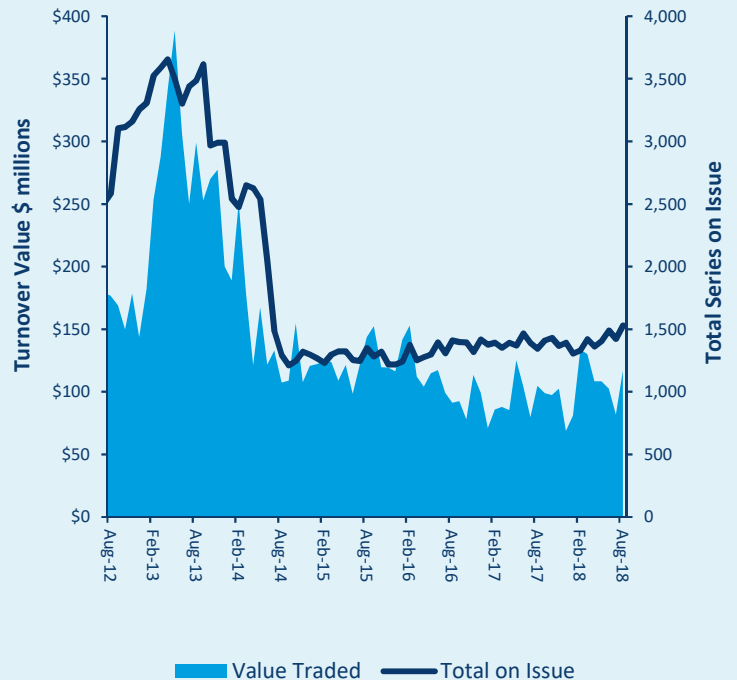
| Warrant Type by Issuer   |              | CITIWARRANTS | CBA       | MACQUARIE | RBS | RBS PLC | UBS AG     | UBS      | WESTPAC    | TOTAL        | %     |
|--------------------------|--------------|--------------|-----------|-----------|-----|---------|------------|----------|------------|--------------|-------|
| Instalments              | Vanilla      | 370          |           |           |     |         | 149        |          | 4          | 523          | 17.1% |
|                          | Rolling      | 151          | 22        |           |     |         |            |          |            | 173          | 5.7%  |
|                          | Self funding | 232          |           |           |     |         | 148        |          | 117        | 497          | 16.3% |
| Endowments               |              |              |           |           |     |         |            |          |            |              |       |
| Structured Inv. Products |              |              |           |           |     |         |            |          |            |              |       |
| DLC Warrants *           |              |              |           |           |     |         |            |          |            |              |       |
| Bonus Certificates       |              | 235          |           |           |     |         |            |          |            | 235          | 7.7%  |
| Trading                  | Equity       | 32           |           |           |     |         |            | 2        |            | 34           | 1.1%  |
|                          | Index        | 38           |           |           |     |         |            |          |            | 38           | 1.2%  |
|                          | Currency     |              |           |           |     |         |            |          |            |              |       |
|                          | Commodity    |              |           |           |     |         |            |          |            |              |       |
| Knock-out                | KO Equity    | 28           |           |           |     |         |            |          |            | 28           | 0.9%  |
|                          | KO Index     |              |           |           |     |         |            |          |            |              |       |
| MINIs                    | Equity       | 1,363        |           |           |     |         |            |          |            | 1,363        | 44.6% |
|                          | Index        | 119          |           |           |     |         |            |          |            | 119          | 3.9%  |
|                          | Commodity    | 48           |           |           |     |         |            |          |            | 48           | 1.6%  |
| <b>TOTAL</b>             |              | <b>2,616</b> | <b>22</b> |           |     |         | <b>297</b> | <b>2</b> | <b>121</b> | <b>3,058</b> |       |

\* Dual Listed Company Warrant

### Instalments



### MINI's





# Spotlight:

## Warrants

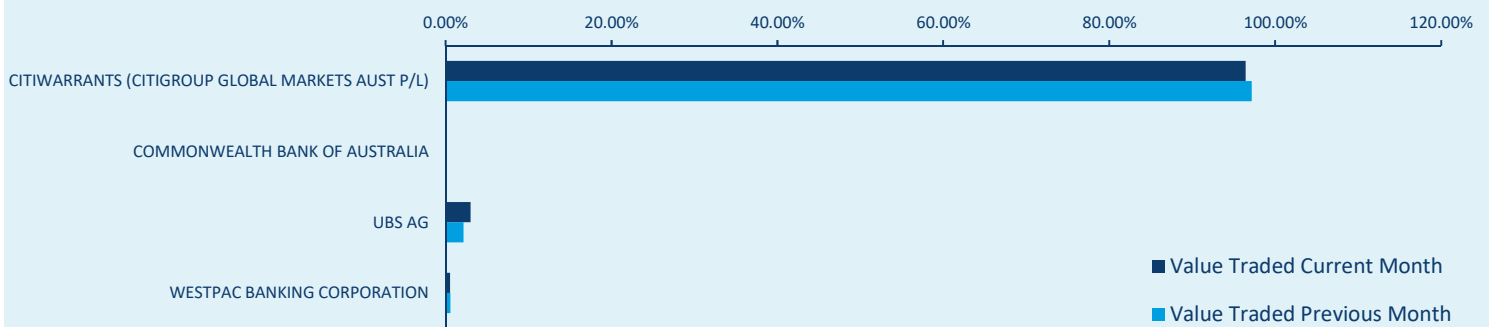


Warrants Summary - August 2018

Transaction days: 23 / Period ending: 31 August 2018

### Monthly Value Traded

| Issuer   | Daily Average | vs YTD (\$m)  | Mkt Share | YTD Mkt Share |
|--|---------------|---------------|-----------|---------------|
|  | (in \$,000)   |               |           |               |
| CITIWARRANTS (CITIGROUP GLOBAL MARKETS AUST P/L) | 6,673         | 149.49        | 96.5%     | 94.0%         |
| COMMONWEALTH BANK OF AUSTRALIA.                  | 1             | -             | 0.0%      |               |
| UBS AG   | 209           | 7.22          | 3.0%      | 4.5%          |
| WESTPAC BANKING CORPORATION                      | 36            | 2.41          | 0.5%      | 1.5%          |
|  | <b>5,474</b>  | <b>117.65</b> |           |               |



| Product Type             | Monthly Value Traded      |                 |           |                        |
|--------------------------|---------------------------|-----------------|-----------|------------------------|
|                          | Daily Average (in \$,000) | vs Prev 12 Mnth | Mkt Share | Prev 12 Mnth Mkt Share |
| Instalment Vanilla       | 992                       | -20.9%          | 14.3%     | 17.5%                  |
| Instalment Rolling       | 57                        | -39.6%          | 0.8%      | 1.3%                   |
| Instalment Self funding  | 193                       | -27.5%          | 2.8%      | 3.7%                   |
| Instalment Endowments    | -                         |                 |           |                        |
| Structured Invest. Prod. | 44                        | -24.5%          | 0.6%      | 0.8%                   |
| DLC Warrants *           | -                         | -100%           |           | 0.0%                   |
| Trading Equity           | 164                       | -60.6%          | 2.4%      | 5.8%                   |
| Trading Index            | 3                         | -96.2%          | 0.0%      | 1.0%                   |
| Trading Currency         | -                         |                 |           |                        |
| Trading Commodity        | -                         |                 |           |                        |
| KO Equity                | 243                       | -44.7%          | 3.5%      | 6.1%                   |
| KO Index                 | 92                        | 4399.1%         | 1.3%      | 0.0%                   |
| MINIs Equity             | 3,849                     | 33.4%           | 55.6%     | 40.3%                  |
| MINIs Index              | 1,229                     | -26.1%          | 17.8%     | 23.2%                  |
| MINIs Commodity          | 54                        | 610.5%          | 0.8%      | 0.1%                   |
|                          | <b>6,919</b>              | <b>-3.3%</b>    |           |                        |

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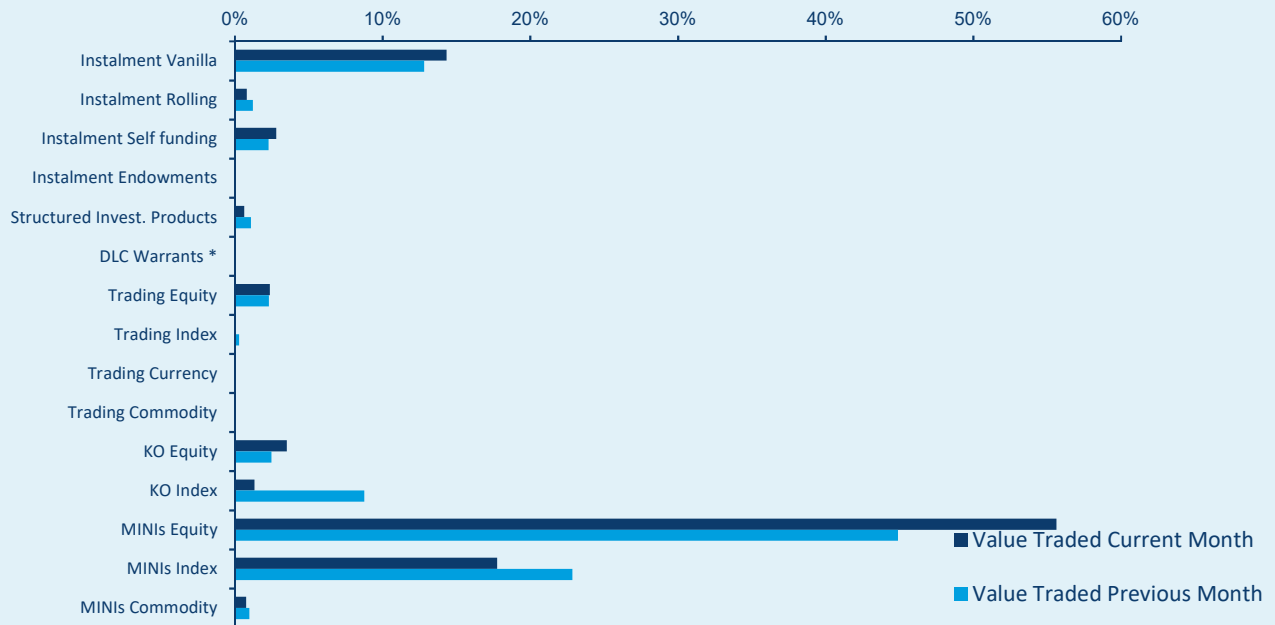


# Spotlight: Warrants



Warrants Summary - August 2018

Transaction days: 23 / Period ending: 31 August 2018



### Most Activity Traded Underlying by Value this month

| Underlying   | Value (\$ths) | MKT%         |
|--------------|---------------|--------------|
| XJO          | 35,314        | 29.3%        |
| CBA          | 10,331        | 8.6%         |
| BHP          | 8,537         | 7.1%         |
| RIO          | 4,854         | 4.0%         |
| WBC          | 4,081         | 3.4%         |
| FMG          | 3,705         | 3.1%         |
| NAB          | 2,849         | 2.4%         |
| MQG          | 2,458         | 2.0%         |
| A2M          | 2,401         | 2.0%         |
| WPL          | 2,377         | 2.0%         |
| -            | -             | -            |
| <b>TOP10</b> | <b>76,907</b> | <b>63.9%</b> |

### Most Activity Traded Warrant by Value this month

| Underlying   | Value (\$ths) | MKT%          |
|--------------|---------------|---------------|
| XJOBOL       | 5,538         | 4.60%         |
| XJOBOM       | 5,020         | 4.17%         |
| XJOKOU       | 4,672         | 3.88%         |
| XJOMOX       | 2,910         | 2.42%         |
| XJOKOR       | 2,365         | 1.96%         |
| BHPKOU       | 2,178         | 1.81%         |
| XJOMOP       | 1,673         | 1.39%         |
| CBALOI       | 1,621         | 1.35%         |
| XJOKOI       | 1,597         | 1.33%         |
| XJOKOH       | 1,349         | 1.12%         |
| -            | -             | -             |
| <b>TOP10</b> | <b>28,922</b> | <b>24.02%</b> |



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