

## ASX TEST SFTP ACCOUNT REQUEST FORM

Requestor Details		
Name:		Firm Mnemonic:
Company:		
Address:		
City:	State:	Post Code:
Phone:	Email:	
Request Type		
<input type="checkbox"/> Add Account	<input type="checkbox"/> Delete Account *	<input type="checkbox"/> Modify Account *
* Provide Account ID in space provided		

Account Type	Multiple Services Account	Single Service Account	Number Required
ASX OTC	<input type="checkbox"/>	<input type="checkbox"/>	
ASX Clear (Futures)	<input type="checkbox"/>	<input type="checkbox"/>	
ASX Energy	<input type="checkbox"/>	<input type="checkbox"/>	
ASX WMI	<input type="checkbox"/>	<input type="checkbox"/>	
ASX DBOR	N/A	<input type="checkbox"/>	
<i>Additional Information:</i>			
Source IP Address			
ASX Energy Product Type (required if Account Type – ASX Energy)			
Australian Electricity / Gas	<input type="checkbox"/>		
New Zealand Electricity / Gas	<input type="checkbox"/>		



Authorised Signatories	Signatory 1	Signatory 2
Signature:		
Name:		
Role:		
Date:		

## Account Request Form Instructions

The Account Request Form is used to request account (Login ID) creation for access to the ASX Production Secure FTP (SFTP) service for the purpose of retrieving ASX generated files for selected services. For more information on the ASX SFTP service and generation of SSH keys refer to the ASX SFTP External User Guide.

### Request Type

When specifying the Request Type, note the following:

When deleting or modifying an account, the account name must be provided on the form

When modifying an account, the requester needs to indicate the modification in the 'Additional Information' section, i.e. adding or removing a service

### Account Type and Services

The SFTP service utilises SSH public and private key combinations for secure access. The requester (ASX customer) generates the SSH key combination. The SSH private key is retained by the requester and the public SSH key is provided to ASX. An SSH key combination can be utilised by more than one account.

The naming convention utilised for SFTP accounts is:

- A three character customer code
- The ASX product/service  
*Example: XYZ\_OTC or XYZ\_DBOR*

The following account types are available:

**Multiple Service Account** - This is a generic account to access multiple ASX services (e.g. both ASX OTC and ASX Clear Futures)

The naming convention utilised for this SFTP account will be a three character customer code followed by 'Download' (e.g. XYZ\_Download)

**Single Service Account** - This is an account to access a specific ASX service, i.e. specific accounts for when different business units require segregated access to particular ASX Services.

The naming convention utilised for this SFTP account will be a three character customer code followed by the product/service:

XYZ\_OTC – OTC Clearing Service

XYZ\_ETD – ASX Clear (Futures)

ASXENERGY\_XYZ – ASX Energy Reporting

XYZ\_DBOR – Daily Beneficial Ownership Reporting

XYZ\_WMI – Warrants Management Interface



**Number Required** - Multiple customer defined accounts can be requested for a particular service, i.e. accounts for automated processes and an account for an operational team to troubleshoot the service via an SFTP client. Each connecting device (i.e. primary and backup server) will require an SSH key, however one account can have more than one SSH key. Alternately, more than one instance of a Multiple Service Account may be requested. Additional information to be provided includes:

- The specific accounts IDs requiring configuration for additional services
- The SSH public key to account relationship where multiple public keys are provided.

### Source IP Address

The customer's public IP address must be provided. The public IP address will in most cases be the customer's firewall/router NAT address that they will pass through to the ASX SFTP Service. When requesting accounts for primary and backup sites, two public IP addresses will need to be provided.

### Authorised Signatories

The Account Request Form must be signed by two Authorised Signatories of the customer making the request.

### Submitting

Send the completed form with the SSH public key(s) via email to [cts@asx.com.au](mailto:cts@asx.com.au).

Test SFTP Form