



AGENDA

Technical Committee – CHES messaging & ISO 20022 Project

Timing: Tuesday 13 December 2016, commencing at 3.30pm

Location: ASX offices, 20 Bridge Street, Sydney
Conference call (details below)

1. Welcome
2. Update - December Business Committee meeting
3. Objectives and Charter
4. ISO 20022 consultation responses
5. Global ISO 20022 adoption
6. High level gap analysis overview
7. Market infrastructure harmonisation for the adoption of ISO 20022
8. ISO 20022 adoption and engagement roadmap
9. Next steps

Conference call details:

Guest Code:	4104887995
--------------------	------------

Call one of the following numbers, as appropriate:

Australia International toll free	1 800 448 693
Australia, Brisbane Local	+61 (0) 7 3015 0544
Australia, Melbourne Local	+61 (0) 3 8687 0554
Australia, Sydney Local	+61 (0) 2 8017 5796
Japan International toll free	0120 759 200
Japan Mobile International toll free	0120 538 611
Japan, Osaka Local	+81 (0) 6 4560 2417
Japan, Tokyo Local	+81 (0) 3 4588 9606

ATTENDEES

MEMBERS		
Company	Name	Job Title
Bank of America Merrill Lynch	Andree Hindmarsh (by phone)	Head of GMOT Australia
Bell Potter Securities	Dean Surkitt	Managing Director Retail
BNP Paribas Securities	Narelle Rutter	Head of Local Custody & Clearing Operations
BNP Paribas Securities	Wayne Murphy	Project Manager
Broadridge (Australia)	John Greenhow	General Manager, Australia
Broadridge (Australia)	Manoj Mathew	Business Analyst
CBA Equities	Joel Cox	Technical Business Analyst
CBA Equities	Carolyn Webb	Test Manager
CBA Equities	Ben Lowe	Manager Settlements
Chi-X Australia	Mike Aikins	Chief Technology Officer
Citicorp	Brett Dennis (by phone)	Head of Operations – Party Clearing
Citicorp	Vlad Medvedev (by phone)	
Citicorp	Lyall Herron	
Computershare	Paul Walton	Senior Manager, Corporate Actions & New Business Development – Investor Services
Computershare	Leanne Bailey	Senior Business Analyst Product & Innovation
Dion Global Solutions	Craig Gray (by phone)	Product Manager – Australia and New Zealand
HSBC Securities Services	Gregory Wilkin	Head of HSBC Operations
GBST	Andrew Murdoch (by phone)	Product Specialist, GBST Capital Markets
GBST	Sue Schafer (by phone)	Product Owner
Goldman Sachs	Darrel Schwarz	Technology Vice President
Goldman Sachs	Nichole Alexander	Operations Vice President
JP Morgan	Ed Lawson	Settlements Manager
JP Morgan	Danny Ng	Middle and Back office AD Manager
JP Morgan	Scott Oakland	Direct Custody Product Manager Australia
Link Market Services	Sue Julian	National Manager, Corporate Actions
Link Group	Ian Batterham	Business Applications Architect
Morgan Stanley	Oscar Dela Cruz (by phone)	
Morgan Stanley	Andrew Fielder (by phone)	
Morgan Stanley	Gordon Davies (by phone)	VP/Firmwide Ops
Morgan Stanley	Kristy Venters (by phone)	
Morgan Stanley	Heinz Aufner	

MEMBERS		
Company	Name	Job Title
	(by phone)	
NAB Asset Management	Suresh Chinnappa (by phone)	Head of Custody Service Operations
National Stock Exchange	Scott Evans (by phone)	General Manager & Company Secretary
National Stock Exchange	Lina Lim	Senior Manager, Information Technology
Securitease	Anton Smith	Director
Share Investing	Phil Barnes	Platform Manager
Stockbrokers & Financial Advisers Association Limited	Andrew Green	Chief Executive
Stockbrokers & Financial Advisers Association Limited	Danielle Henderson (by phone)	Chair of SAA CHES working group
SWIFT Standards	Mieko Morioka (by phone)	Senior APAC Standards consultant
SWIFT Standards	Nicole Jolliffe	Senior APAC Standards consultant
SWIFT	Chermaine Lee	SWIFT Project Coordinator
Sydney Stock Exchange	Jadeah Dogias	Supervisor – Market Control
Sydney Stock Exchange	Leo Zhang	Business Analyst
UBS	Phillip Drury	Phillip Drury, Executive Director, Equities IT
UBS	Duncan Earl	Executive Director, Head of Change, UBS Group Operations
Wealthhub Securities	James Channon	Manager, Capital Markets Trading

ASX Management	
Name	Job Title
Tim Hogben	Group Executive, Operations (Chair of the Technical Committee)
Amanda Harkness	Group General Counsel and Company Secretary
Nicholas Wiley	Deputy General Counsel Post Trade
Cliff Richards	General Manager, Equity Post Trade Services
Karen Webb	Manager, Equity Post Trade Services
Rodd Kingham	Senior Manager, Equity Post Trade Services
Russell Eyre	Enterprise Architect
Anne-Marie Fisher-Taylor	Architect CSD Development
Darcy Wright	Senior Business Analyst, Equity Post Trade
Gary Hobourn	Senior Economic Analyst, Regulatory and Public Policy

APOLOGIES

MEMBERS		
Company	Name	Job Title
Australian Payments & Clearing Association (APCA)	Andy White	General Manager, Payments Community
Citicorp	Miles O'Connor	Director, Direct Custody & Clearing Securities Markets & Securities Services
Morgan Stanley	Dorothy Wilson	Executive Director Morgan Stanley Wealth Management, ISG Technology
Sydney Stock Exchange	David Lawrence	Company Secretary