



Agenda

ISO 20022 Technical Committee

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|-----------------|--|-------------|-----------------|
| Date | Tuesday 26 November 2019 | Time | 3.00pm – 5.00pm |
| Location | ASX Offices, 20 Bridge Street, Sydney Or by webinar | | |

1. Welcome, Agenda

2. Minutes from last meeting, open actions

3. Matters for Consideration (Business and Technical Matters)

- a) Focus Group update – Settlement, Demand Reporting, Payments (ESPPSC)
- b) Review of member feedback on published 'to-be' messages
- c) Demand reporting
- d) Settlement processing for unit failure
- e) Corporate actions – code change, diary adjustments
- f) 'To-be' messages for review

4. Standing agenda items

- a) CHES Replacement project update by ASX
- b) ISO 20022 project update by ASX
- c) Update from Business Committee

5. Next meeting - Tuesday 10 December 2019 - Sydney

Technical Committee Members

| Company | Name | Job Title | Apologies |
|-------------------------------------|---------------------|--------------------------------------|-----------|
| Advanced Share Registry Limited | Frances Sumich | Accounts Manager | |
| Australian Payments Network Limited | Andy White | Chief Executive Officer | |
| Australian Payments Network Limited | Paul Creswick | Security Standards Manager | |
| Bank of America Merrill Lynch | Andree Hindmarsh | Head of GMOT Australia | |
| Bank of America Merrill Lynch | Jimmie Alam | | |
| Bell Potter Securities | Dean Surkitt | Managing Director Retail | |
| BNP Paribas Securities | Penelope Stafford | Manager Settlements | |
| BNP Paribas Securities | Wayne Murphy | Project Manager | Apology |
| Boardroom Limited | Tony Robinson | Senior Software Engineer | |
| Boardroom Limited | Michael Mullins | CIO | |
| Broadridge (Australia) | Manoj Mathew | Business Analyst | |
| Broadridge (Australia) | Mabel Chow | Development and Support Manager | |
| Broadridge (Australia) | Tomas Kliman | Senior Project Manager | |
| Broadridge (Australia) | James Marsden | APAC Business Development & Strategy | |
| Broadridge (Australia) | Andy King | Product Specialist | |
| Broadridge (Australia) | Anil Wali | Product Specialist | |
| Broadridge (Australia) | Alok Ranjan | Technology Specialist | |
| Broadridge (Australia) | Beverley Chatterton | Senior Business Analyst | |
| Broadridge (Australia) | Richard Widjaya | | |
| CBA Equities | Carolyn Webb | Test Manager | Apology |
| CBA Equities | Darcy Wright | | |
| Chi-X Australia | Mike Aikins | Head of Solution Development | |

| Company | Name | Job Title | Apologies |
|--------------------------|--------------------|--|-----------|
| Chi-X Australia | Suketu Adhvaryu | Senior Solution Architect | |
| Citicorp | Miles O'Connor | Director, Direct Custody & Clearing Securities Markets & Securities Services | |
| Citicorp | Andrew Gibson | Director – Head of Direct Custody and Clearing Australia & NZ | |
| Citicorp | Brett Dennis | Head of Operations – Party Clearing | |
| Citicorp | Lyll Herron | | |
| Citicorp | Janice O'Brien | Markets & Securities Services Technology | |
| Citicorp | Matthew Warner | | |
| Citicorp | Ashok Kumar Balusa | | |
| Citicorp | Glenn Pahilan | | |
| Citicorp | Stephen Rylands | Product Manager | |
| Citicorp | Pradeep Pandian | | |
| CMC Markets Stockbroking | Nikola Vanstan | Change Manager | |
| Computershare | Paul Walton | Senior Manager, Corporate Actions & New Business Development – Investor Services | |
| Computershare | Leanne Bailey | Senior Business Analyst Product & Innovation | |
| Computershare | Laik Tan | | |
| Computershare | Carl Christensen | Senior Project Manager | |
| Computershare | Nicki Priem | Projects Manager, Technology Services | |
| Deutsche Securities | Kevin Novini | | |
| Digital Asset | Fil Mackay | Senior Product Architect | |
| Digital Asset | Louise Boreham | Senior Product Manager | |
| FinClear Technology | Craig Gray | Product Manager – Australia and NZ | |
| FinClear Technology | Nikki Gleisner | Account Manager | |
| FinClear Technology | Allan Morris | | |
| FinClear Technology | Craig Day | | |
| FinClear Technology | Ravinder Jabbal | | |

| Company | Name | Job Title | Apologies |
|-----------------------------|--------------------|--|-----------|
| GBST | Sue Schafer | Product Owner | |
| GBST | Khanh Le | Systems Architect | |
| GBST | Valter Di Girolamo | Senior Business Analyst | |
| Goldman Sachs | Josh Rice | | |
| Goldman Sachs | Nidhi Luthra | | |
| Goldman Sachs | Kenichi Shirasuna | | |
| Goldman Sachs | Calvin Lo | | |
| HSBC Securities Services | Gregory Wilkin | Head of HSBC Operations | |
| HSBC Securities Services | Salih Kulic | Manager Custody | |
| HSBC Bank Australia Limited | Michael Macintosh | Senior Client Account Manager, Global Liquidity and Cash Management | |
| HSBC Bank Australia Limited | Anna Fratini | Senior Product Manager – High Value Payments, Clearing and Financial Institutions, | |
| HSBC Bank Australia Limited | Phyllis Yeung | Senior Analyst | |
| HSBC | Herman Wong | Manager, Change Management & Delivery | |
| HSBC | Edmond Chan | Senior IT Development Manager | |
| HSBC | Cherrie Xu | Head of IT Securities Service | |
| HSBC | Asri Asat | Optimisation Manager, Securities Service | |
| HSBC | Chang Gao | Business Consultant | |
| JP Morgan | Ed Lawson | Settlements Manager | |
| JP Morgan | Danny Ng | Middle and Back office AD Manager | |
| JP Morgan | Scott Oakland | Direct Custody Product Manager Australia | |
| JP Morgan | Ned Miglez | | |
| JP Morgan | Daniel Smith | VP, Network Management | |
| JP Morgan | Lesley Henderson | Technology Product team | |
| Link Group | Ian Batterham | Business Applications Architect | |
| Link Group | Stephen Dear | Project Manager | |
| Link Group | Paris Kermanshahi | Project Manager / IT Release Manager | |

| Company | Name | Job Title | Apologies |
|---------------------------|---------------------|--|------------------|
| Link Group | Elvira Hajdarpasic | Technical Analyst | |
| Link Group | Srinivas Mogula | Technical Analyst | |
| Link Group | Wing Wong | Solution Architect | |
| Macquarie Group | Paul Bragg | Senior Manager Equities Clearing ANZ | Apology |
| Macquarie Group | Merrilyn Auton | Manager Operations | |
| Morgans Financial | Matt Neaubauer | Team Leader, Service Management Team | |
| Morgans Financial | Ed Strike | IT Manager | |
| Morgan Stanley | Dorothy Wilson | Executive Director, Morgan Stanley Wealth Management, ISG Technology | |
| Morgan Stanley | Kirsty Venters | VP, Prime Brkrng Funding & Fin Tech | |
| Morgan Stanley | Oscar Dela Cruz | | |
| Morgan Stanley | Andrew Fielder | | |
| Morgan Stanley | Gordon Davies | VP/Firmwide Ops | |
| Morgan Stanley | Andrew Sime | | |
| Morgan Stanley | Emily Krezlewski | Operations | |
| NAB Asset Management | Suresh Chinnappa | Senior Manager ASO Process Enhancement | |
| NAB Asset Management | Mark Zahorjanski | Lead Overnight Custody Support | Apology |
| National Stock Exchange | Yemi Oluwi | Head of Technology | |
| Nomura Research Institute | John Ryan | Senior Consultant | |
| Nomura Research Institute | Ashish Jha | | |
| Nomura Research Institute | Sayantana Majumdar | Chief Manager, Business Solutions | |
| Nomura Research Institute | Diptesh Chakraborty | Solution Architect | |
| Northern Trust | Yen Pan Chung | Senior BA, Market Advocacy and Innovation Research | |
| Northern Trust | Pawel Kalbrun | | |
| Northern Trust | Tracey Murphy | | |
| RBC | Jeanette Broome | Head of Operations Engineering team AsiaPac | |
| RBC | Jaime Chia | Head of Application Support Australia | |

| Company | Name | Job Title | Apologies |
|-----------------------|---------------------------|---|-----------|
| Securitease | Anton Smith | Director | |
| Securitease | Chris Werry | Business Analyst | |
| Securitease | Angela Losurdo | Business Systems Analyst | |
| Securitease | David Hinkley | General Manager | |
| Securitease | Joanne Gresslehner | Project Manager | |
| SWIFT Standards | Nicole Jolliffe | Senior APAC Standards consultant | |
| Sydney Stock Exchange | Leo Zhang | Manager – Market Control | |
| Sydney Stock Exchange | Omar Obol | Market Control Officer | |
| Sydney Stock Exchange | Antony Tolfts | Listing Director | |
| Third Party Platform | Lindsay Grugeon | | |
| UBS | Phillip Drury | Phillip Drury, Executive Director, Equities IT | |
| UBS | Neil Martin | IT – Project Management | |
| Wealthhub Securities | James Channon | Manager, Capital Markets Trading | |
| Wealthhub Securities | Alona Ma | Associate – Broking Operations Specialist | |
| Wealthhub Securities | Sandeep Samireddy Gari | | |

ASX Management

| Name | Job Title | Apologies |
|---------------------------|--|-----------|
| Tim Hogben | Chief Operating Officer (Chair of the Technical Committee) | |
| Gary Hobourn | Senior Economic Analyst, Regulatory and Public Policy | Apology |
| Con Korkofigas | Senior Legal Counsel and Senior Manager | |
| Tegan Jones | Senior Legal Counsel | |
| Rohan Cush | Senior Legal Counsel | |
| Adriana Wild Taylor | Legal Counsel | |
| Cliff Richards | Executive General Manager, Equity Post Trade Services | Apology |
| Katie McDermott | General Manager, Equity Post Trade Services | |
| Karen Webb | Senior Manager, Equity Post Trade Services | |
| Russell Eyre | Enterprise Architect | |
| Anne-Marie Fisher-Taylor | Project Subject Specialist | |
| Fraser Moodie | Manager, Integration and Connectivity, CHES Replacement | |
| Frederik Van den Weghe | Head of Product, Equity Post Trade | |
| Chris Boyes | Lead Business Analyst | |
| Ashley D'Souza | Senior Business Analyst | |
| Priscilla Ferri de Barros | Lead Business Analyst, Equity Post Trade | |
| Sachin Zope | Senior Business Analyst, Equity Post Trade | Apology |