

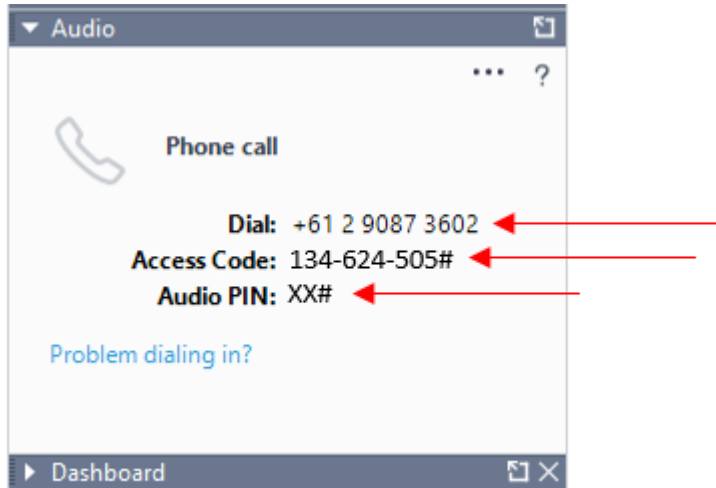


ISO 20022 Technical Committee Meeting

17 April 2018

Joining by webinar

Please note that ASX is now using the GoToWebinar platform for this meeting. Details provided by email. Once you have registered and clicked on the link to join the webinar you will need to join the audio by phone, not PC. Each attendee will have a unique PIN assigned, as shown below. Please follow any instructions to check your system, PC.



Attendee Participation

Join audio:

- Choose Telephone and dial using the information provided
- You will need the unique 2 digit audio pin to ask questions.

Agenda

1. Agenda overview

2. Minutes from last meeting, open actions

3. CHESSE Replacement project update by ASX

4. ISO 20022 project update by ASX

5. Update from Business Committee (verbal)

6. Matters for Consideration:

6a Review 'as-is' mapping change profile

6b Publication of 'as is' collection

6c Overview of key changes for the 'to-be' collection

6d Case Study: consolidated netting messages

6e 'To-be' collections and message naming convention

6f Business Application Header (BAH) elements

Next meeting

Forward agenda overview

6 February 2018
(Sydney)

- ✓ Member survey feedback
- ✓ SWIFT standards update
- ✓ Securities and cash movements
- ✓ Simplify status messages
- ✓ ISO 20022 mapping feedback

17 April 2018
(Melbourne)

- Consultation Paper overview, plan ahead
- Review 'as-is' mapping - change profile
- Publication of 'as-is' collection
- Overview of key changes for the 'to-be' collection
- Case study – consolidated netting messages
- 'To-be' collections and message naming convention
- BAH elements

22 May 2018
(Sydney)

- Topics dependent on ASX project plan; detailed 'to-be' mapping
- Re-mapping of EIS 164 to secl.001
- MITI revisited
- Type Models (s6 equivalent)

26 June 2018
(Sydney)

- Topics dependent on ASX project plan; detailed 'to-be' mapping

31 July 2018
(Melbourne)

- Consultation Paper responses
- Topics dependent on ASX project plan; detailed 'to-be' mapping

4 September 2018
(Sydney)

- Topics dependent on ASX project plan; detailed 'to-be' mapping

9 October 2018
(Melbourne)

- Topics dependent on ASX project plan; detailed 'to-be' mapping

27 November 2018
(Sydney)

- Topics dependent on ASX project plan; detailed 'to-be' mapping

2019

- Topics dependent on ASX project plan; detailed 'to-be' mapping

2019

- Topics dependent on ASX project plan; detailed 'to-be' mapping

2. Minutes and actions

Minutes for 6 February 2018 meeting available on [CHESS Replacement web page](#), including all open, closed action items. Open action items:

| No. | Meeting Date | Open Action Items | Owner | Due Date | Status |
|-----|--------------|--|-------|-------------|----------------------|
| 20 | 2 May 2017 | Provide details of a proposed plan including potential topics for the remaining training webinars for feedback, including identification of business vs. technical topics. | ASX | On hold | On hold |
| 49 | 6 Feb 2018 | ASX to confirm additional 'as-is' usage guidelines that could be published in Q2 and members to provide expression of interest in reviewing this set. | ASX | 17 Apr 2018 | Refer agenda item 6b |

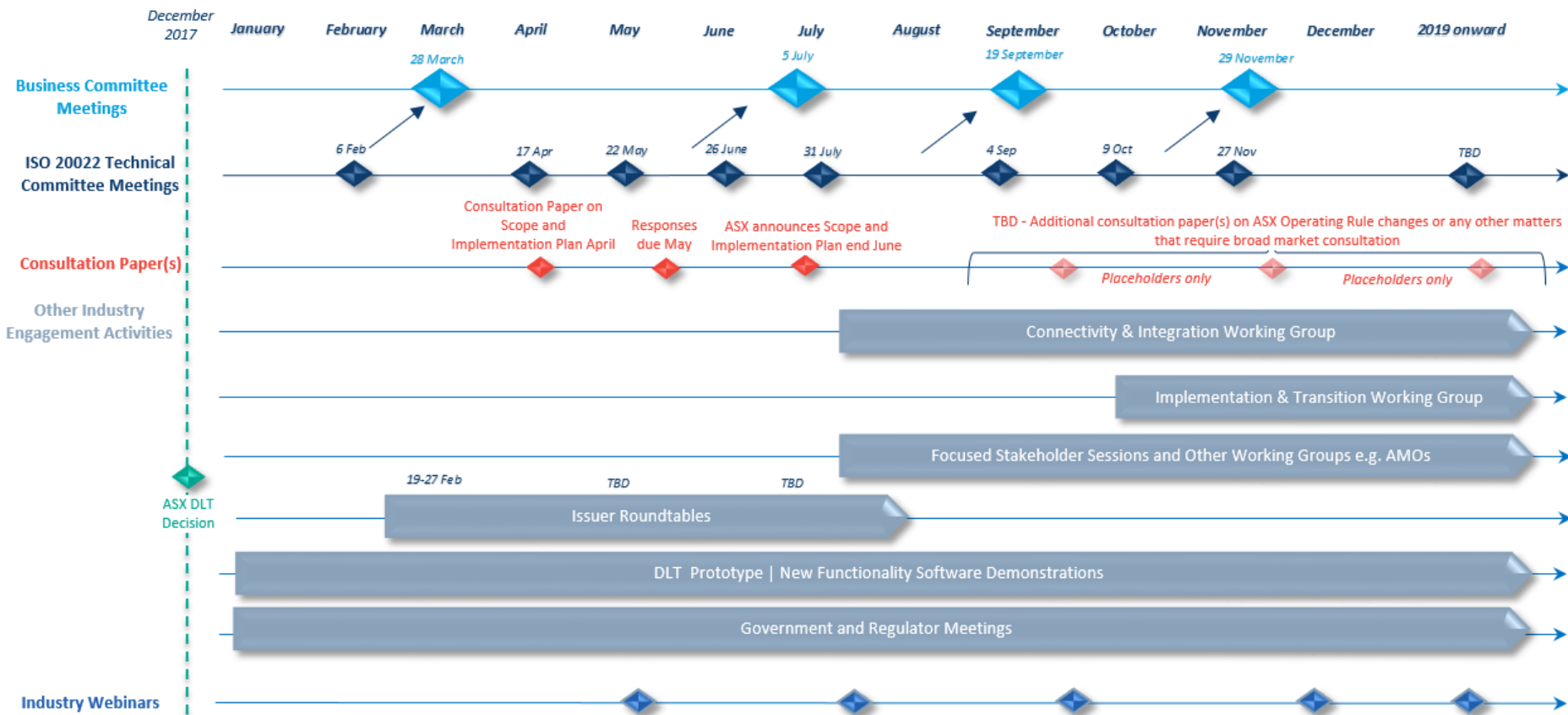
3. CHESS Replacement Project update

Consultation Paper overview

- Provide Technical Committee members with a verbal overview of the consultation paper
- The high level focus of the consultation paper is on:
 - Functional and non-functional scope of replacement system
 - Implementation planning
- The consultation paper is not a technical specification document. Further technical details will be progressively published during the lifecycle of the project
- The consultation paper will be published in April and stakeholders will have six weeks to provide their feedback
- **This update is being provided on a confidential basis, prior to finalisation of the paper**

3. CHES Replacement Project update

Engagement plan 2018+



4. ISO 20022 project update by ASX

'As-is' mapping:

- All 'as-is' usage guidelines now finalised
- Part of 'as-is' collection to be published, per agenda item 6b
- Current scope of work with SWIFT standards team is complete per schedule

'To-be' mapping:

- Work has commenced on mapping changes to align with Day 1 scope
- Iterative process, dependent upon ASX project team work schedule
- Expect to share draft 'to be' guidelines with Technical Committee members first for discussion, highlight key changes, recommendations
- Formal documentation to be released progressively to broader community
- Continued SWIFT standards engagement – support for Technical Committee, assistance with New Message creation & registration, change requests (to ISO, and re T2S messages)
- Will allow for early format testing by customers/vendors of messages

4. Update from SEG, T2S Evaluation team

- **Securities Standards Evaluation Group – ISO 20022 (global)**

Agenda: upcoming registrations, reviews, updates from each evaluation team

Meeting update: February, March 2018

- **T2S Evaluation Team (sub group of SEG) (global)**

Formed to specifically review the T2S sponsored new messages for registration; makes recommendation to SEG

Meeting update: February, March 2018

5. Update from Business Committee

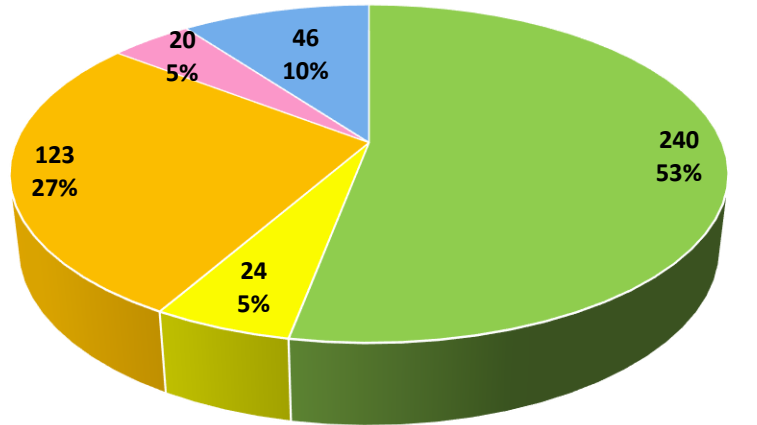
6. Matters for consideration

6a Review 'as-is' mapping change profile

ISO 2022 Compliance – ASX ISO 2022 supplementary data usage – 'as-is' FINAL

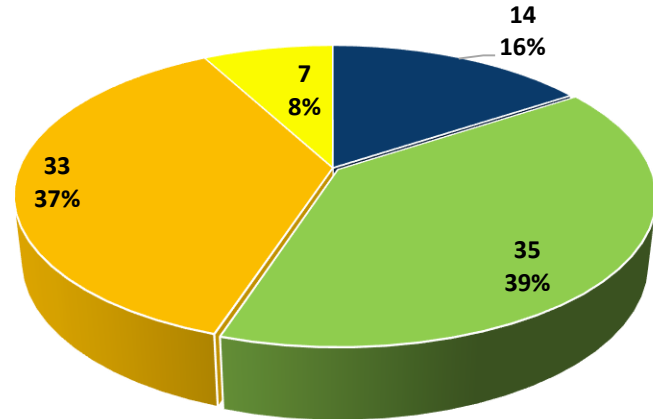
2018 FINAL 'as-is' scope (453 CHESSE EIS messages)

EIS equivalent ISO 2022 usage guidelines



■ No Supp. ■ CR T2S ■ CR ISO ■ Descscope ■ New message candidates

89 unique ISO 2022 Messages



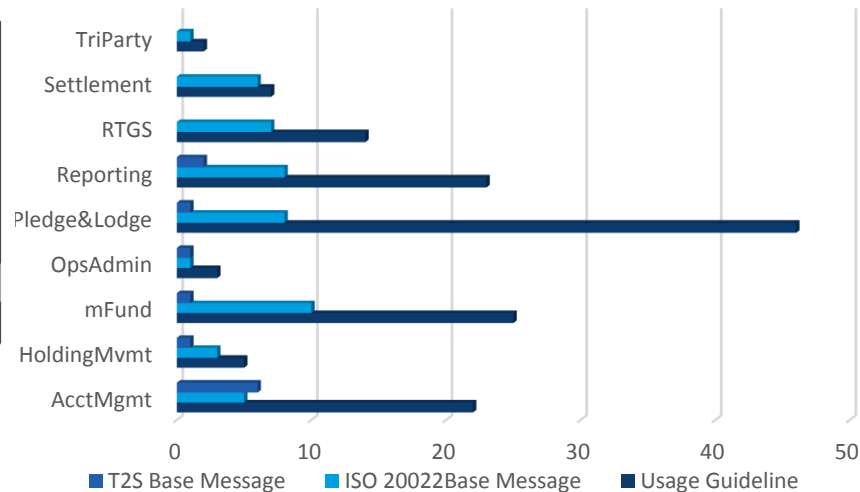
■ New Messages ■ No Supp. ■ CR ISO ■ CR T2S

6a Review 'as-is' mapping change profile

ISO 2022 Compliance – ASX ISO 2022 supplementary data usage – 'as-is' FINAL

Message status overview – H2 2018 scope (CHESS 453 messages)

| EIS | New Messages | Descoped | No Supp. mapping | CR - Change Request candidates | | | mapped EIS messages |
|--------------------|--------------|-----------|------------------|--------------------------------|------------|------------|---------------------|
| | | | 0 element | 1 element | 2 elements | more>= 3 | |
| CR T2S | | | | 11 | 4 | 9 | |
| CR ISO | | | | 57 | 28 | 38 | |
| Total EIS | 46 | 20 | 240 | 68 | 32 | 47 | 453 |
| Total EIS % | 10% | 4% | 53% | 15% | 7% | 10% | 100% |



- 53% of 'as-is' is 100% ISO compliant
- 15% of 'as-is' need 1 element to be 100% ISO compliant
- 46 UG's in Pledge&Lodge relate to 8 (1 T2S) base messages
21 require Linkage as an element which would make 16 100% ISO compliant
- Stamp duty has been agreed to be removed which was potentially 28 requests for 9 UGS and 4 ISO base messages

6b Publication of 'as-is' collections

Release of ASX catalogue of 'as-is' usage guidelines developed with SWIFT Standards team

- Technical Committee asked at Nov 17 meeting which (if any) 'as-is' message sets should be published for review
- Some interest for Securities Transformation (Holding Movement), Takeover (Collateral), and other registry flows
- Many prefer to review only 'to-be' ISO guidelines which reflect CHES Replacement processes and Day 1 scope

➤ ASX publishing 2017 catalogue of 300+ 'as-is' usage guidelines in MyStandards community for information only

All 'as-is' guidelines included except those inactive or in development by CHES i.e. (RTGS, mFund)

Technical Committee Review collection of 'as-is' guidelines remain available

'As-is' guidelines grouped by 'to-be' collection names but retain EIS Message Ids

e.g. EIS201 in ASX_AU_CHS_acct collection as EIS201_R1_reda_018_001_01

➤ ASX is not seeking feedback on the as-is catalogue

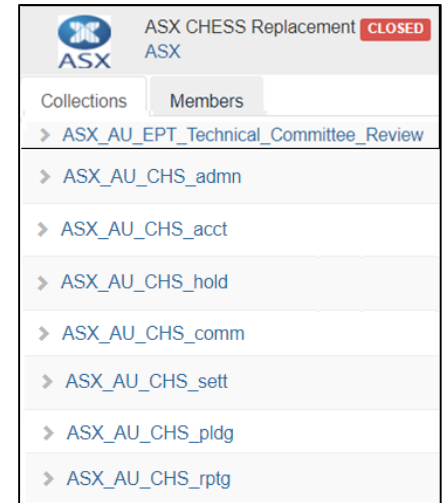
'As-is' represents a baseline for 'to-be' catalogue and will not be maintained

Any reviews are out of interest for the TC member

ASX won't respond to MyStandards comments

TC members are reminded the 'as-is' guidelines are not CHES replacement specifications

And no assumptions should be made that these resemble 'to-be' guidelines



6c Overview of key changes for the 'to-be' collection

ISO 2022 messaging protocol for CHES Replacement

'To-be' messaging simplified from 'as-is' ISO guidelines

- Applying ASX recommendations from 2017 TC meetings
- 'To-be' message names and usage, EIS Message numbers replaced e.g. Netted Obligation Report (sett.102) consolidates like data sets of 134 Netted Obligation & 138 Netted Trade(s)
- 'To-be' guideline annotations:
 - ASX ISO Message Definition (replaces EIS MT Message Definition) 'To-be' Message Name per MyStandard collections and Message Number EIS Numbers for as-is mapping i.e. 134_01, 138_01
 - ASX New Data Requirement ('to-be' field definition) Similar detail to EIS field definitions, No equivalent EIS Bit Position {min,max} length, Format and Cardinality as for EIS fields (C/N and M/O)
 - New Data Requirement ('as-is' ISO mandatory values) Required data with no corresponding EIS fields

Annotation: ASX ISO Message Definition
- ISO Base Message: secl.010.001.03
- Message Name: sett.102
- Message Description: Netted Obligation Report
- Message Version: 001_01
- Message Definition: To notify a Participant of their netted settlement obligation as a result of netting one or more target trades that are no longer scheduled for settlement.
- Sender: ASX
- Receiver: Clearing Participant
- Prerequisite: A scheduled trade eligible for netting.
- EIS Number: 134_01, 138_01

Annotation: ASX New Data Requirement
- Bit Position: n/a
- Field Name: Target Transaction Identifier
- Size: {16}
- Format: C
- Cardinality: M
- Field Definition: The identifier of the transaction which is the target of the required action, e.g. a settlement date update, a cancellation message.
- Comments: Field not present in EIS134 message definition but added as part of EIS138

Annotation: New Data Requirement
- Title: Receiving Depository
- Type: Mandatory ISO 2022 Element not present in EIS
- Description: Receiving Depository is mandated in ISO 2022 for a delivering instruction and has been defaulted to CHES BIC.

6c Overview of key changes for the 'to-be' collection

ISO 2022 Messaging protocol for CHES Replacement

'To-be' messaging simplified from 'as-is' ISO guidelines

- Rationalised related messaging using XML
e.g. Target Transaction Id occurs [1..*] in Netted Obligation Report
- Removed duplicate field mapping when message values redundant
e.g. Single BP21 Processing Timestamp mapping to BAH Creation Date
→ Only carry-forward required EIS fields in 'to-be' Guidelines.
- Financial Instrument
 - ASX as sender will include both ISIN and ASX Code
 - Either format accepted for other senders
- Party Identification - choice of BIC or UIC(PID)
BIC8 or BIC11 character, connected (and unconnected if not SWIFTNet)
Requirement for sharing BIC for selected UIC to be discussed
- Align Settlement Amount sign with industry practice ...

```
<Sup:NtdTrdDtIs>  
  <Sup:TtIMsgCnt>2</Sup:TtIMsgCnt>  
  <Sup:TrgtTxId>9142000096975500</Sup:TrgtTxId>  
  <Sup:TrgtTxId>9142000096985500</Sup:TrgtTxId>  
</Sup:NtdTrdDtIs>
```

Annotation:Business Rule
- Identification: Creation Date Time
- Description: Use same value as in BAH Creation Date

```
<FinInstrmId>  
  <ISIN>AU000000BHP4</ISIN>  
  <OthrId>Id>BHP</Id>  
  <Tp><Prtry>INFO</Prtry></Tp></OthrId>  
</FinInstrmId>
```

```
<Fr>...<Othr><Id>00001</Id></Othr>...</Fr>  
<To>...<AnyBIC>ABCD AU2LSYD</AnyBIC>...</To>
```


6c Overview of key changes for the 'to-be' collection

ISO 20022 Messaging protocol for CHES Replacement

Align Settlement Amounts to industry practice for posting

- Explicit Credit (cash in) and Debit (cash out)
Replaces negative override used by CHES
- Credit Debit Indicator from the perspective of Party1 in Delivering (DELI) or Receiving (RECE) Settlement Party
- Cash movement generally opposite to Securities Movement Type (DELI/RECE) but units and cash can move in same direction
- In sample, RECE (receives) Securities Movement of 5000 units and DBIT (decrease) Settlement Amount of AUD 5000.00 for Receiving Settlement Party1 (ABCD AU2LMEL)
- Special cases: Zero Settlement Amount a Credit (increase) Zero units a DELI (delivery) by Participant

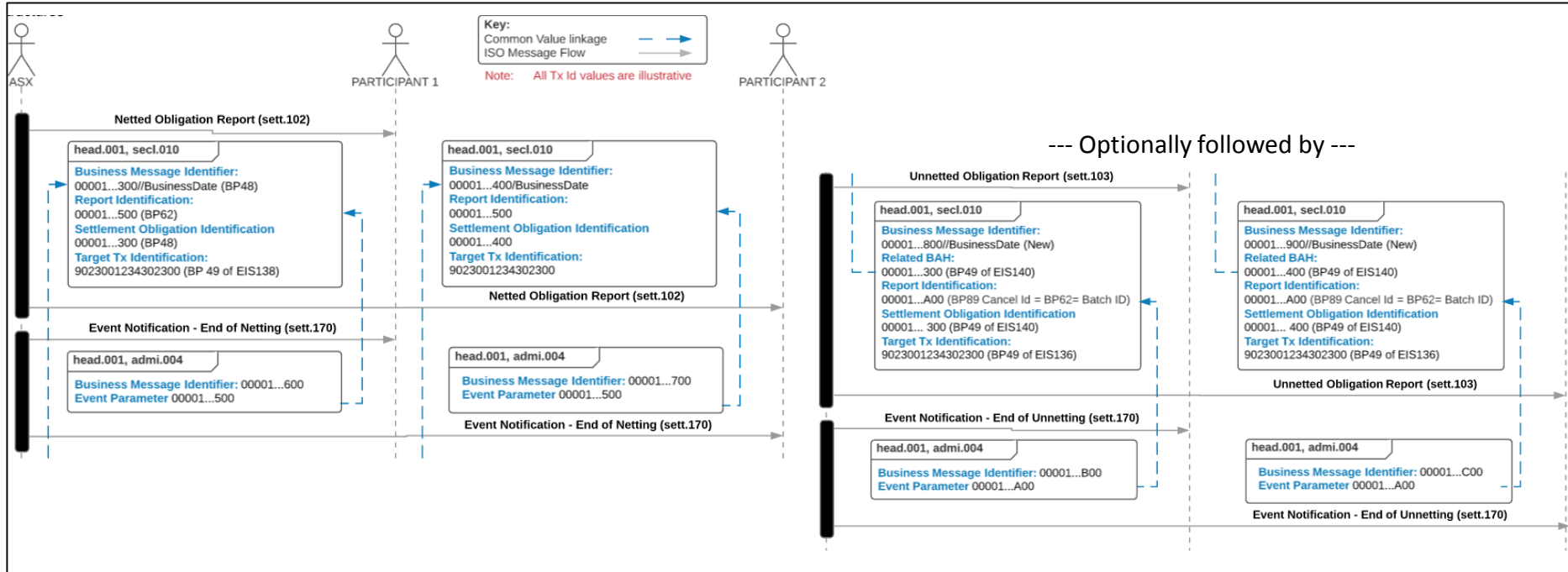
```
<Qty><Unit>5000</Unit></Qty>
<SttlmAmt>
  <Amt Ccy="AUD">50000.00</Amt>
  <CdtDbtInd>DBIT</CdtDbtInd>
</SttlmAmt>...
<SciesMvmntTp>RECE</SciesMvmntTp>...
<SttlmPties>
  <RcvgSttlmPties>...
  <Pty1>...<AnyBIC>ABCD AU2LMEL</AnyBIC></Pty1>
</RcvgSttlmPties>
  <DlrgSttlmPties>...
  <Pty1>...<Id>08332</Id><Issr>XASX</Issr></Pty1>
</DlrgSttlmPties>
</SttlmPties>
```

Annotation: New Data Requirement

- Title: Security Movement Type
- Type: Mandatory ISO 20022 Element not present in EIS
- Description: Value is DELI when Clearing Participant is the Delivering Settlement Party, and RECE when Clearing Participant is the Receiving Settlement Party.

6d Case Study: Consolidated netting messages

Tx Id model: Netted Obligation Report (sett.102) , Unnetted Obligation Report (sett.103) with Netting Event Notification (sett.170)



6d Consolidated netting messages

Sample sett.102 (Netted Obligation Report) with to-be mark-up

Settlement Amount

Changed from ISO as-is

| ISO Guideline | to-be: ASX_AU_CHS_sett_102_001_01_secl_001_03.xsd | as-is: ASX_AU_CHS_sett_EIS134_secl_001_03.xsd |
|---------------|---|--|
| Document | sett.102 <RptId>0000123456789000</RptId> <RptDtAndTm>2018-03-05T02:55:00.001Z</RptDtAndTm> <PgNb>1</PgNb><LastPgInd>true</LastPgInd> <SttlmOblgtId>000012222333400</SttlmOblgtId> <ISIN>AU000000WPL2</ISIN><Id>WPL</Id><Prtry>INFO</Prtry> <IntnDdt>2008-03-07</IntnDdt> <Qty><Unit>1000</Unit></Qty> <SttlmAmt><Amt Ccy="AUD">97000.00</Amt Ccy="AUD"> <CdtDbtInd>DBIT</CdtDbtInd><SttlmAmt> <PlcOfTrad>VARI</PlcOfTrad> <SciesMvmntTp>RECE</SciesMvmntTp><Pmt>APMT</Pmt> <RcvgSttlmPties><Dpstry>XASXAU2S</Dpstry> <Pty1>ABCD AU2LSYD<Pty1></RcvgSttlmPties> <DlvrSttlmPties><Dpstry>XASXAU2S</Dpstry> <Pty1>08332<Issr>XASX</Issr></Pty1> <TtlMsgCnt>2</TtlMsgCnt> <TrgtTxId>9142000096975500</TrgtTxId> <TrgtTxId>9142000096985500</TrgtTxId> | EIS134 <RptId>0000123456789000</RptId> <RptDtAndTm>2018-03-05T02:55:00</RptDtAndTm> <PgNb>1</PgNb><LastPgInd>true</LastPgInd> <SttlmOblgtId>000012222333400</SttlmOblgtId> <Id>WPL</Id><Prtry>INFO</Prtry> <IntnDdt>2008-03-07</IntnDdt> <Qty><Unit>1000</Unit></Qty> <SttlmAmt><Amt Ccy="AUD">97000.00</Amt Ccy="AUD"> <CdtDbtInd>CRDT</CdtDbtInd><SttlmAmt> <PlcOfTrad>VARI</PlcOfTrad> <Pmt>APMT</Pmt> <RcvgSttlmPties><Dpstry>XASXAU2S</Dpstry> <Pty1>01402<Pty1><Issr>XASX</Issr></Pty1> <DlvrSttlmPties><Dpstry>XASXAU2S</Dpstry> <Pty1>08332<Issr>XASX</Issr></Pty1> <134:TtlMsgCnt>2</TtlMsgCnt> --- <EIS138:TrgtTxId>9142000096975500</TrgtTxId> --- <EIS138:TrgtTxId>9142000096985500</TrgtTxId> |

Both ISIN and ASX Code

ASX New Data Requirement

BIC format Party Id for single UIC

Multiple Netted Trades in single ISO message

UTC & subseconds

Credit if Party1 receives cash, Debit if pays cash

Was Credit unless cash in same direction as units

6e 'To-be' collections and message naming convention

'To-be' Collection Name and Message Id

The message will be grouped by Business Process

| To- be' Business Process | Collections | Message Range | |
|-----------------------------|-------------|---------------|-----|
| Account Management | acct | 001 | 100 |
| Settlement | sett | 101 | 200 |
| Holding Movement | hold | 201 | 300 |
| Pledge and Lodge Management | pldg | 301 | 400 |
| mFund Service | mfnd | 401 | 500 |
| Reporting | rptg | 601 | 700 |
| Common | comm | 801 | 850 |
| Operations Admin | adm | 851 | 899 |

Examples of New Message Id:

| Current Message Id | Collection | Id | New Message Id |
|--------------------|------------|-----|----------------|
| BAH001 | comm | 001 | comm_801 |
| EIS164 | sett | 101 | sett_101 |
| EIS134 and EIS138 | sett | 102 | sett_102 |
| EIS136 and EIS140 | sett | 103 | sett_103 |
| EIS542 | sett | 170 | sett_170 |
| EIS201 | acct | 001 | acct_001 |
| EIS202 | acct | 002 | acct_002 |

* More Collections can be added during the CHES Replacement Project

6e 'To-be' collections and message naming convention

Usage Guideline name convention

Message Name convention

<Institution_Country_Service>_<Collection_MessageID>_<Release_Version>_<ISO 20022 base message>

ASX_AU_CHS_hold_201_001_01_sese_023_001_07

- ❖ Institution_Country_Service – ASX_AU_CHS
- ❖ Collections and Message Id– hold_201
- ❖ Release and Version– 001_01
- ❖ Name of ISO message – sese_023_001_07

6f 'To-be' Business Application Header (BAH)

Business Application Header (BAH) elements

| BAH | Content |
|------------------------------------|-------------------------------------|
| From | 01402 |
| To | 00001 |
| Business Message Identifier (TxId) | 01402000000201112280000000000123400 |
| Message Definition Identifier | sese.023.001.07 |
| Business Service | hold_201_001_01_!p |
| Creation Date | 2017-10-23T07:43:22.002Z |

- ❖ **UIC Code: 01402**
 - ❑ **User Identification Code:** 5 numeric - users must insert their own User Identification Code
- ❖ **BIC: CTBAU2SXXX**
 - ❑ **Business Party prefix:** 4an- CTBA
 - ❑ **Country code (ISO 3166-1):** 2a- AU
 - ❑ **Business Party suffix:** 2an- 2S
 - ❑ **Branch Identifier:** 3an- XXX

From: It is always a choice between UIC (User Identification Code) or BIC

To: It is always a choice between UIC (User Identification Code) or BIC

<Othr><Id>

```
<AppHdr xmlns="urn:iso:std:iso:z...>
  <Fr>
    <OrgId>
      <Id>
        <Othr>
          <Id>01402</Id>
        </Othr>
      </OrgId>
    </Fr>
  <To>
    <OrgId>
      <Id>
        <Othr>
          <Id>00001</Id>
        </Othr>
      </OrgId>
    </To>
  </AppHdr>
```

<AnyBIC>

```
<AppHdr xmlns="urn:iso:std:iso:2002:tech:xs:ne...>
  <Fr>
    <OrgId>
      <Id>
        <AnyBIC>CTBAU2S</AnyBIC>
      </OrgId>
    </Fr>
  <To>
    <OrgId>
      <Id>
        <AnyBIC>XASXAU2S</AnyBIC>
      </OrgId>
    </To>
  </AppHdr>
```

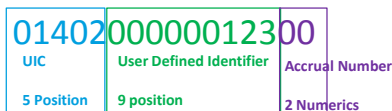
6f 'To-be' Business Application Header (BAH)

Transaction Id format

'As- is' Transaction Id format

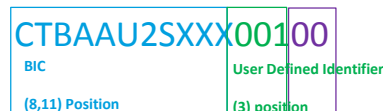
A Transaction Id is composed of **16 characters**.

- ❖ **UIC (5 numerics)** - users must insert their own User Identification Code
- ❖ **User Defined Identifier (9 characters)** - The user may insert any data in this area. It must be unique across all other transactions submitted
- ❖ **Accrual Number (2 numerics)** - This sub-field must contain numeric zeros. (CHES may generate non-zero accrual number)



| BAH | Content |
|---|---|
| From | 01402 |
| To | 00001 |
| Business Message Identifier (TxId) | 0140200000020111228000000000123400 |
| Message Definition Identifier | sese.023.001.07 |
| Business Service | hold_201_001_01_!p |
| Creation Date | 2017-10-23T07:43:22.002Z |

'To-be' Participant Identification is a choice between UIC and BIC



- ❖ Transaction Id {16,16} isn't enough to support Any BIC and New Unique Transaction Id created by ASX.

6f 'To-be' Business Application Header (BAH)

'To-be' Transaction Id recommendation

'To-be' Transaction Id: Adoption of ISO20022 Transaction Id data type of {1,35} using delimiter (|- vertical line)

UIC

01402 | 123456 | 00

Participant Identification User Defined Identifier Accrual Number

BIC

CTBAAU2S | AABCC12345 | 00

Participant Identification User Defined Identifier Accrual Number

- ✓ The vertical line (|) will delimit the required Participant Identification, User Defined Identifier and Accrual Number.
- ✓ While minimum equal 1, ASX will define minimum field information and format.
- ✓ Users need to be able to support current and other formats of Transaction Id (16 characters and others variations).
- ✓ Accrual Number will be applied only if applicable.
- ✓ This format facilitates Migration.

ASX recommendation: adoption of Transaction Id data type of {1,35} using delimiter (|- vertical line)

6f 'To-be' Business Application Header (BAH)

'To-be' Transaction Id recommendation

'To-be' Transaction Id: Adoption of ISO20022 Transaction Id data type of {1,35} restricting to {35,35}

UIC

| | |
|----------------------------|----------------|
| 01402000000 | 000000 |
| Participant Identification | User Defined I |
| 11 first position | 22 position |

THIS SLIDE

| |
|----------------|
| 0000123400 |
| Accrual Number |
| 2 Numerics |

REPLACED

✓ The first 11 position

- ❖ UIC {5,5} is le
- ❖ In case of BIC

✓ User Defined Ident
0000000000000000

✓ And, Accrual Number of 2 numeric

02000000

. Example: CTBAU2SXXX

zeros must be entered. Ex:

ASX recommendation: adoption of Transaction Id data type (35,35) and format above.

6f 'To-be' Business Application Header (BAH)

Business Service, Transaction Id structure and Business Service model

'To-be' Business Service structure

<Collection_MessageId>_<Release_Version>_<Environment>

hold_201_001_01_!p

- ❖ **Collection Name and Message Id** – hold_201
- ❖ **Release and Version** - 001_01
- ❖ **Environment** – !p (e.g. production)

| BAH | Content |
|------------------------------------|-------------------------------------|
| From | 01402 |
| To | 00001 |
| Business Message Identifier (TxId) | 01402000000201112280000000000123400 |
| Message Definition Identifier | sese.023.001.07 |
| Business Service | hold_201_001_01_!p |
| Creation Date | 2017-10-23T07:43:22.002Z |

Identification of Message usage through the BAH:

- ✓ **Business Service:** replace legacy EIS Message
- ✓ **Related Business Message Identifier:** links to previous message
- ✓ **Related Business Service:** identify initiating event
- ✓ **Element inside the message:** Identify business event

ASX recommendation: use of collection name and message name in the Business Service.

6f 'To-be' Business Application Header (BAH)

Business Service Model, Transaction Id structure and Business Service model

| | |
|--------------|----------|
| Request | hold.201 |
| Status | hold.202 |
| Acknowledge | hold.204 |
| Confirmation | hold.203 |

Transfer Request

| BAH | | BAH- Related | |
|-------------------|----------|--------------|--------|
| Tx Id | BizSvc | Tx Id | BizSvc |
| 100 | hold.201 | n/a | n/a |
| Transfer. Request | | n/a | |

| BAH | | BAH- Related | |
|-----------------|----------|-------------------|----------|
| Tx Id | BizSvc | Tx Id | BizSvc |
| 300 | hold.202 | 100 | hold.201 |
| Transfer.Status | | Transfer. Request | |

| BAH | | BAH- Related | |
|-------------------|----------|-------------------|----------|
| Tx Id | BizSvc | Tx Id | BizSvc |
| 200 | hold.201 | 100 | hold.201 |
| Transfer. Request | | Transfer. Request | |

* Status Element

The Approvals can not be identified by business service
Solution: Use of the element status inside the message.

Authorisation

hold.202
sese.024-STATUS
405. Registry to CHES
Transfer Authorisation

| BAH | | BAH- Related | |
|-----------------|----------|-------------------|----------|
| Tx Id | BizSvc | Tx Id | BizSvc |
| 400 | hold.202 | 200 | hold.201 |
| Transfer.Status | | Transfer. Request | |

* Status element

Rejection

hold.202
sese.024-STATUS
407. Registry to CHES
Transfer Rejection

| BAH | | BAH- Related | |
|-----------------|----------|-------------------|----------|
| Tx Id | BizSvc | Tx Id | BizSvc |
| 400 | hold.202 | 200 | hold.201 |
| Transfer.Status | | Transfer. Request | |

* Status element

hold.203
sese.025-CONFIRMATION

174. Effected Registry to
CHES Transfer

hold.204
admi.007- ACK ACCEPT

426. Applied Registry
Response

hold.202
sese.024-STATUS
076. Rejected Registry to
CHES Movement

hold.204
admi.007- ACK ACCEPT

426. Applied Registry
Response

| BAH | | BAH- Related | |
|-----------------|----------|-----------------|----------|
| Tx Id | BizSvc | Tx Id | BizSvc |
| 600 | hold.203 | 400 | hold.202 |
| Transfer.Confir | | Transfer.Status | |

| BAH | | BAH- Related | |
|-------------|----------|-----------------|----------|
| Tx Id | BizSvc | Tx Id | BizSvc |
| 700 | hold.204 | 400 | hold.202 |
| ACK. ACCEPT | | Transfer.Status | |

* Status element

| BAH | | BAH- Related | |
|-------------|----------|-----------------|----------|
| Tx Id | BizSvc | Tx Id | BizSvc |
| 700 | hold.204 | 400 | hold.202 |
| ACK. ACCEPT | | Transfer.Status | |

* Status element



Closing

Next meeting

- Noted actions from this meeting
- 'As-is' collection to be published for member information
- Draft minutes from this meeting will be distributed for review
- Next meeting to be held Tuesday 22 May 2018 – Sydney, webinar

Matters for consideration:

> tbc

- More information on CHES Replacement & Technical Committee documents:

<http://www.asx.com.au/services/chess-replacement.htm>

Or email ASX project team: CHESReplacement@asx.com.au

Thank you.