



ASX Information Services

ReferencePoint ISO 20022 Corporate Actions - Frequently Asked Questions

Version 1.2



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Introduction

ReferencePoint ISO 20022 Corporate Actions delivers corporate action notifications as announced by issuers on securities. The information is delivered in ISO 20022 format from SWIFT over SWIFTNet and from ASX via an internet VPN connection, an ASX Net connection or an ALC connection.

This forms part of ASX's broader STP Corporate Actions initiative which saw ASX roll out Phase 1 of this initiative in September 2014 with the introduction of a new process for the announcement of a sub-set of corporate actions (Dividends, Interest Payments, Reconstructions (Consolidations, Splits), and Return of Capital) via new ASX Online forms for Issuers, and the automated capture of data contained in each announcement, in a structured format, to facilitate straight-through processing (STP) for ASX and its customers.

ASX commenced work in 2018 on the second phase of the STP Corporate Actions project, which is scheduled for final release by March 2021. This second phase will support STP for the remaining corporate action events disseminated by ASX including: buy backs; conversion and expiry of convertible securities; entitlement offers; in-specie distributions; name and ticker code changes; schemes of arrangement; security purchase plans; takeover bids and security transfers in connection with a deed of company arrangement. ASX will also introduce ASX Online forms for the announcement of new issues of securities, including requests seeking quotation of those securities (as covered by the current Appendix 3B to the ASX Listing Rules).

In addition, a number of enhancements identified by ASX and key stakeholders will be applied to the existing ASX Online forms.

Frequently Asked Questions (FAQs) and Answers

General

1. Will all messages in the product contain the following tags (tags used in the DTCC ISO 20022 product)?

- a. <ns0:CorpActnNtfctn>
- b. <env:Body>
- c. <env:Envelope>

Answer:

No. These tags are not required by SWIFT and therefore will not be in the ASX ISO 20022 product messages.

2. Will the new product be sending out a specific cancellation message for each of the following?

- a. An issuer decides not to proceed with an event.
- b. An issuer changes the dates of the event.

Answer:

ASX product will send a withdrawal [WITH] message for scenario “a”. The cancellation notification will be sent via seev.039. A “Cancellation Reason Code” describing the reason for the cancellation of the event is included in the notification.

The following are the “Cancellation Reason Code” data field valid values:

- Withdrawal [WITH] – Cancellation due to the corporate action being cancelled by the issuer. This value will be used if the issuer has advised by announcement that the corporate action is cancelled.
- Processing [PROC] – Cancellation due to a processing error. This value will be used if ASX cancels a corporate action. The cancellation may be due to a technical or processing error.

For scenario “b”, the applicable product notification will depend on the timing of the advice from the issuer. If the advised change is within ASX acceptable notification periods, then a REPL message will be disseminated with the updated details. If the advised timing does not meet ASX notification periods and the event cannot be maintained, the issuer will be asked to advise cancellation of the event. Then the notification will be same as for scenario “a”.

3. Does the replace (REPL) message contain the previous message reference?

Answer:

A previous notification id data field/qualifier “<PrvsNtfctnID>” is provided in the replacement (REPL) message. “<PrvsNtfctnId>” is a unique sequence increment.

All messages pertaining to the same CA Event (i.e. NEWM and all subsequent REPLs) will carry the same unique CA Event ID via “<CorpActnEvtId>”. This can be used identify all the messages pertaining to a given CA Event.

4. Why is the “ASX Code” not used as an official ISO 20022 Code?**Answer:**

The ASX Code is a proprietary code, whereas the ISIN is an ISO standardised security identifier. From July 2020 the notifications will include both the ASX Code and the ISIN.

5. Will an ISIN be published for all dividend plan type and Scrip Dividend Distribution?**Answer:**

Yes, ISINs will be published for all dividend plan types and Scrip Dividend Distribution.

6. Will the replace (REPL) messages repeat all the information sent/contained from the new (NEWM) messages in addition to the changes? Can the REPL messages be sent with only the information that has actually changed?**Answer:**

All information contained (both changed and unchanged) in the NEWM notification will be sent in the REPL messages as per SWIFT ISO Standard.

7. Why do ASX messages not have the following tags that are available in the DTCC ISO 20022 product?

- <cdtBusinessData>
- <env:Body>
- <env:Envelope>

Answer:

These tags are not requested by SWIFT as standard in the ASX ISO 20022 product and hence will not be available. In lieu, the new IPLA (Alliance Access Integration Platform) envelope message ISO 20022 standard is used.

8. Will the boolean values “true” and “false” always be in lower case?**Answer:**

In the SWIFT schema, all boolean values are in lower case. ASX ISO 20022 messages dissemination will adhere to the SWIFT convention.

9. Do the ASX ISO 20022 messages have the equivalent additional information section like that of data type 8000 Text section in the MX seev.031 CE? Is there a mechanism that can help map the narrative field in MX seev.031 to Tag70E in MT564?**Answer:**

Yes. The top level of the MX message corresponds to “8000z” fields of the MT568 corporate action narrative. These are mapped to various clarifying descriptions that can be entered in the ASX market announcement form.

In theory, and used character set permitting, this extra information can be mapped to the MT564 because the tag70E fields are repeatable. Hence, the text could continue in the next iteration. It is however better to map this information to the corresponding field in the MT568, and link the message to the structured MT564 via the linkages block.

10. Do ASX or SWIFT provide a conversion utility to convert from MX to MT format as MX supports 8000 characters while MT only supports 350 characters?

Answer:

Neither ASX nor SWIFT provide a conversion utility.

11. Is there a comparison MT table available for conversion reference between MT and MX message formats?

Answer:

No. For related information, refer to SWIFT document on mapping backwards guide available in the SWIFT website.

12. What is the expected behaviour for each <BizMsgIdr> message reference?

Answer:

IPLA (Alliance Access Integration Platform) will wrap the message. The value of this field is unique.

13. How many times can the Additional Information data field be used within a sequence?

Answer:

Refer to usage guidelines published on SWIFT MyStandards.

14. What are the different prefix labels found in CorpActnNtfctn/AddtlInf/NrrtvVrsn of Dividend Events?

Answer:

The mapping is outlined below.

/DVDT/ = for preference shares how the date that dividend/distribution rate is set

/DVBS/ = for preference shares how dividend/distribution base rate is set

/DVMN/ = for preference shares how dividend/distribution margin is set

/INFO/ = for any further information applicable to this dividend/distribution

/PYMT/ = for method of calculation of payment currency equivalent.

/FCYF/ = for how to obtain further information about the foreign currency election facility

/DVTR/ = for how to obtain further information about the foreign currency election facility

See usage guidelines for further information.

15. According to MyStandards, the message header should have the format Financial Institution Identification <FIId>, not the format Organisation Identification <OrgId>, correct?

Answer:

The MX AppHdr provides a choice to mention either <OrgID> or <FIId>. The format is still valid if only the <OrgID> is included. Currently, the DNs (address) of the sender and the receiver are configured in the AppHdr when creating the MX message in SWIFT Alliance Access (SAA). If the <FIId> is included, the BICs of the sender and the receiver will also be included.

16. Does ASX provide the method to map the inward MX to sese.023 “sub-type” (Dividend – Combined, Interest, Return of Capital, Split & consolidation) contained in the MyStandard specification?

Answer:

No, ASX does not provide the inward mapping.

Below is a set of guides that may help. They provide information on how the output XML was constructed per the SWIFT ISO user guide (i.e. the <CorpActnOptnDtIs> is a repeating blocks of data representing each plan type as an example).

Below, we have provided clarification of the option so that it is easier to tell them apart in a combination scenario. These values will be mapped to CorpActnNtfctn/CorpActnOptnDtIs/AddtlInf/NrrtvVrsn/AddtlInf. The values in this text data field can be:

DVCA	Ordinary Cash
SPEC	Cash – Special Dividend/Distribution
DVSC	Scrip
NZDD	NSD supplementary dividend
DRIP	Dividend Reinvestment
BONU	Bonus
PLAN	Other Plan

In case of a combined event for DRIP, BONU or PLAN:

- the default option (i.e. whether Cash, DRP or BSP) will be indicated by CorpActnNtfctn/CorpActnOptnDtIs/DfltPrcgOrStgInstr/DfltOptnInd = true
- any conditions for plan participation will be indicated in CorpActnNtfctn/CorpActnOptnDtIs/AddtlInf/NrrtvVrsn/AddtlInf

Example:

For the DRP / BSP / Other plan information:

These are repeating blocks of information in the schema. Currently have "001", "002" etc. However, this doesn't give any reference to the type of plan. In AdditionalInformation field (/Document/CorpActnNtfctn/CorpActnOptnDtIs/AddtlInf/NrrtvVrsn/AddtlInf), the kind of option being referred to in the OptionNumber field is specified. This way, individual iteration can be identified.

Further breakdown of the Interest Payment CA – this follows the same flow as the dividend payment.

- Every CorporateActionOptionDetails will have a corresponding supplementary data section.
- In case of having multiple currency elections (applicable for both dividend and interest),

- There will be separate Option blocks for each currency (i.e. CorpActnNtfctn/CorpActnOptnDtls)
- The default currency option will be indicated by CorpActnNtfctn/CorpActnOptnDtls = true
- The primary currency is always mapped to CorpActnNtfctn/CorpActnOptnDtls/CcyOptn
- The non-primary currency options will contain
/Document/CorpActnNtfctn/CorpActnOptnDtls/CshMvmtDtls/AmtDtls/GrssCshAmt/@Cccy

17. What is the difference between Equivalent Local Amount Announcement Date and Equivalent Foreign Amount Announcement Date?

Answer:

- Equivalent Local Amount Announcement Date - Date when the equivalent amount in the local currency will be announced. **Online Form Field Number:** 2A.9b
- Equivalent Local Amount Announcement Date - Date when the equivalent amount in the foreign currency will be announced. **Online Form Field Number:** 2B.2c

18. Will ASX use the element “instruction” (i.e. instruction to a client)?

Answer:

ASX will not use the element “instruction”.

19. Will version information be included in the MyStandard schema naming convention?

Answer:

Yes, SWIFT will include the version information in the MyStandard schema naming convention to allow easy identification of the document.

Dividend Corporate Action

1. What are the relevant fields/tags in the ISO message that provide AIIR/Tax Component details?

Answer:

The following tags will be present for each of the tax component value made available by the Issuer.

- Proprietary ID to distinguish one tax component value from another -
CorpActnNtfctn/CorpActnOptnDtls/RateAndAmtDtls/GrssDvddRate/RateTpAndAmtAndRateSts/RateTp/Prtry/Id
- Tax Component Value -
CorpActnNtfctn/CorpActnOptnDtls/RateAndAmtDtls/GrssDvddRate/RateTpAndAmtAndRateSts/Amt
- Is the value Actual/Estimated? -
CorpActnNtfctn/CorpActnOptnDtls/RateAndAmtDtls/GrssDvddRate/RateTpAndAmtAndRateSts/RateSts/Cd

See usage guidelines for further details.

2. The data fields Sundry Other Income, Tax Deferred and Tax Free tags are defined as percentage rates. Will these be provided as amounts?

Answer:

See response in #1 above

3. Will tax related information data field values equal the Gross Rate?

Answer:

See response in #1 above

Additional Information:

The supplementary document (SplmtryData) provides a number for the tax data. Namely –

<FrnkAmtPerScty>
<UnfrnkAmtPerScty>
<CndtFrngIncmAmt>
<FrngSrcAmt>

4. How will trust distribution rates be disseminated? Will only Gross Amount be disseminated?

Answer:

Trust Distribution Amounts disseminated will be as per Trust Distribution announcement/lodgement.

5. If an issuer is taxing a dividend at source, will this rate be provided in the message (i.e. via <WhldgTaxRate>)?

Answer:

The Withholding Tax Rate information will be captured manually and disseminated in the message if available. Given the value for this data field is captured manually, usual availability will be in the update message.

6. What are the minimum criteria for each corporate action type to be disseminated?

Answer:

The minimum criteria for each corporate action to be disseminated in the ASX ISO 20022 product is based on the ASX corporate action completeness rule. The completeness rule is based on a list of data fields whose values must be available or present. Details of data fields included in the completeness rule are defined in the ASX ReferencePoint ISO 20022 Real Time Corporate Actions manual.

For corporate actions announced via the STP process, data fields included in the completeness rule are mandatory data items, items that must be completed by the Issuer for a successful lodgement process and subsequent announcement release in the ASX Market Announcement platform.

Mandatory data fields/items are denoted in the Appendices with an asterisk (*) before the relevant questions. Some questions that require a Yes/No answer may not have a corresponding xml field, but presence of data in related subsequent questions will be captured. Otherwise, the mandatory requirements of the usage guidelines are expected to have been met.

7. If the issuer answers “Yes” to question 2B.2 (below) in the Market Announcement Dividend Appendix but cannot provide the plan documentation as required in 2B.2a, what will be the message result?

	<i>the payment currency equivalent.</i>	
2B.2	*Does the entity offer all security holders a documented plan under which they may apply to receive their payment in a foreign currency?	Y/N

Answer:

If the issuer answers YES to 2B.2 question (e.g. dividend form), then CHOS will be provided in output (similar for interest payments).

Note:

- There is no output in xml for this question unless the issuer fills in 2B.2a or 2bB.2b (i.e. implicit).
- An XML output will still be produced even though the plan documentation as per 2B.2a (not a mandatory data field in the template) is not provided.

This data presentation is as per SWIFT standard.

8. In a dividend corporate action DVCA CHOSEvent where there are 2 cash options, against which option will all of the Tax Component Values be found?

Answer:

In the ASX ISO 20022 product, the option data block (i.e. CorpActnOptnDtIs) is repeated. All tax component values can be found in the Primary Cash Option (i.e. first Cash Option).

See response to #1 above and usage guidelines for details. Messages samples can be made available upon request.

9. How are the Supplementary Information Blocks organised for a Dividend event?

It is possible to have multiple Supplementary Envelopes.

- The first Supplementary envelope will contain the following nodes

Issuer - /Issr/

Tax Details - /TaxDtls/

Diary Adjustment Details - /DryAdjstmntDtls/

The PlcAndNm of the Supplementary Envelope(s) described above will be mapped as /Document/CorpActnNtfctn/CorpActnDtls

- There will be separate Supplementary Envelope(s) (in addition to the first Supplementary Envelope) for each Option and it will comprise of the following nodes.

Franking Details - /FrnkgDtls (applicable only for Cash Option in Primary Currency)

Entitlement Further Details - /EntlEvntDtls/

Date And Amount Details - /DtsAndAmtsDtls/

The PlcAndNm of the Suppelementary Envelope(s) described above will be mapped as /Document/CorpActnNtfctn/CorpActnOptnDtls[OptnNb="", Option Number

10. Is the <Payment Date> data field (CorpActnDtls/SciesMvmntDtls/DtDtls/PmtDt) enabled for all Option Events?

Examples - repeated for all option event types with appropriate dates

Ordinary Dividend – Payment Date

DRP – Payment Date

Answer:

The Payment Date for CASH options in
/CorpActnNtfctn/CorpActnOptnDtls/CshMvmntDtls/DtDtls/PmtDt/Dt/Dt

Payment Date for SECU options in
CorpActnNtfctn/CorpActnOptnDtls/SciesMvmntDtls/DtDtls/PmtDt/Dt/Dt

11. For a dividend corporate action where multiple options is available to the shareholder (i.e. payment in different currencies, payment of cash or scrip), will separate “Payment Date” data field be made available for each option in the one event?

Answer:

/CorpActnNtfctn/CorpActnOptnDtls/CshMvmntDtls/DtDtls/PmtDt/Dt/Dt and
CorpActnNtfctn/CorpActnOptnDtls/SciesMvmntDtls/DtDtls/PmtDt/Dt/Dt will always be mapped to the same date made available by the issuer in 2A.6 of the Dividend Form.

For Security Plan Options (DRP/BSP/Other Plan Options) the Plan Security Issue Date will be made available in

/CorpActnNtfctn/CorpActnOptnDtls/SctiesMvmntDtls/SctyDtls/IsseDt

12. Which data field will be used for the maximum number of securities required for DRP participation?

Answer:

/Document/CorpActnNtfctn/CorpActnOptnDtls/SctiesQty/MaxQtyTolnst/Unit is the data field to indicate the issuer nominated maximum number of shares for which a single security holder is able to participate in the DRP.
Dividend Online Form Field Number: 4A.10a; 4B.10a; 4C.11a.

13. Can you please describe the dividend corporate action message sequence disseminated if the total amount includes one/both/all of the following items?

Ordinary Dividend Amount
Special Dividend Amount
Scrip Dividend Amount
DRP
BSP
Other Share Plan
Other Option

Answer:

Please request sample messages.

14. When is the Security Movement applicable?

Answer:

The Security Movement Block CorpActnNtfctn/CorpActnOptnDtls/SctiesMvmntDtls is applicable in all of the following cases.

Cash Dividend with plan options such as DRP/BSP/Other
Pure Scrip Dividend without Cash Option

15. Will Deadline Dates be advised in the ASX ISO 20022 messages if an Issuer provides the data?

Answer:

Yes, the “Deadline Dates” will be provided in the ASX ISO 20022 if an Issuer answers the question provided in the corporate action market announcement form submitted.

16. Will Payment Dates for Capital Return and Dividend Payment be advised in the ASX ISO 20022 messages if an Issuer provides the data?

Answer:

Yes, the “Payment Dates” will be provided in the ASX ISO 20022 if an Issuer answers the question provided in the corporate action market announcement form submitted.

17. What does the element “new securities issuance indicator” maps to in the market announcement form (i.e. for DRP, date when new securities will be issued)?

Answer:

This element has mapping to all plan types (DRP/BSP/Other) and option type (SCRIP). The following are the market announcement form fields' names:

DRP - <4A.8_*Will DRP +securities be a new issue?>
 BSP - <4B.8_*Will BSP +securities be a new issue?>
 Other - <4C.9_*Will Plan +securities be a new issue?>
 Scrip - <3C.5_*Will the scrip dividend/distribution +securities be a new issue?>

The path is in each of the repeating CorpActnOptnDtIs:

<CorpActnOptnDtIs/SctiesMvmntDtIs/newSctiesIssncInd>

18. What does the element “foreign exchange terms” maps to in the market announcement form – since there are several FX data fields within the schema?

Answer:

For Normal(Ordinary)/Special/Supplementary dividend amounts, if the declared currency is not AUD, then:

In the market announcement form, (foreign exchange term) /Document/CorpActnNtfcIn/CorpActnOptnDtIs/CshMvmntDtIs/FXDtIs is mapped to issuer exchange rate (2A.9C).

19. How will the elements in the “additional info” like conditions, narrative and others be used? If issuers provide the values, will these be disseminated in the ASX ISO 20022 product?

Answer:

As per the market announcement lodgement process, issuer can select one or more values for conditions attached to the corporate action. Listed below are the values available. Selection of more than one value is separated by slashes (i.e. S/D).

S	Security Approval	F	FIRB Approval
C	Court Approval	D	ASIC Class Order
A	Lodgement of court order with ASIC	T	ATO Ruling
B	ACCC Approval	O	Other

This repetitive text field contains the following:

First Line: Is this the “Estimated” or “Actual Date”?

Second Line: Are the “conditions met” or are “the conditions not met” or “conditions waived” or “not available”

Third Line: “Date for Determination (in context:ASX)”

Fourth Line and following: Description of the Conditions, or “not available”.

20. How will Errors and Exceptions to the Dividend Payment with DRP sent to users be handled in the ASX ISO 20022 product? How would ASX handle the exception alert (negative feedback) for undelivered MX messages?

Answer:

All MX messages from ASX are validated against SWIFT schemas before they are sent out to the SWIFT gateway. A network delivery acknowledgement from SWIFT is sent to ASX after messages are sent.

- Where a subscriber fails to receive/process the MX messages and/or error is encountered in the receiving end, ASX will not be alerted.
- Other exceptions are to be handled by individual subscribers.

21. Dividend Payment Foreign Currency Rate: Why is the foreign rate disseminated in the Cash Movement data field?

Wouldn't it be more appropriate for to be disseminated in the Gross Dividend Rate data field as it will allow a more precise match of the foreign amount with the AUD amount.

Answer:

The mapping is per SWIFT recommendation which is in line with the MX standard.

Reconstruction (Share Consolidation/Share Split)

1. Will the effective date data field value always be provided in the message?

Answer:

Yes. The effective date data field value will always be provided in the ASX ISO 20022 message. The Effective Date is derived from the Record Date which is a mandatory data field to be filled by Issuers when submitting the corporate action market announcement form.

2. Is the “Ex-Date” mapped to the effective date in the dividend market announcement form?

Answer:

Yes, the “Ex-Date” = Effective Date in the market announcement form.

3. Will the last day of trading information be provided for both the original security (security before the reconstruction event) and the deferred delivery security (post reconstruction/reconstructed security trading on a deferred delivery basis)?

Answer:

- a. The last day of trading data field value for the original security (pre-reconstruction security) will be provided in the message.
- b. There is no separate or specific last day of trading data field for deferred delivery security (post reconstruction security).

As an alternative reference data field value, the Issue Date data field value will be used.

4. How do the two (2) debit and two (2) credit indicators work with reference to the life cycle of a corporate action event?

Answer:

The two (2) debit and two (2) credit indicators provide information about the security’s movement linked to the corporate action. Provided below is the replace (REPL) sequence:

- a. Iteration 1 – DBIT of the original ISIN, with the **Last day for trading in the pre-reorganised securities in** CorpActnNtfctn/CorpActnOptnDtls/SciesMvmntDtls/DtDtls/LastTradgDt/Dt/Dt
- b. Iteration 2 – CRDT of the temporary ISIN, also with the effective date in CorpActnNtfctn/CorpActnOptnDtls/SciesMvmntDtls/DtDtls/AvlblDt/Dt/Dt
- c. Iteration 3 – DBIT of the temporary ISIN, with the issue date in CorpActnNtfctn/CorpActnOptnDtls/SciesMvmntDtls/DtDtls/LastTradgDt/Dt/Dt
- d. Iteration 4 – CRDT of the original ISIN, also with **Start of Normal Trading Date in**

CorpActnNtfctn/CorpActnOptnDtIs/SctiesMvmntDtIs/DtDtIs/AvlblDt/Dt/Dt

Note that on creation, in some cases the <NEW> XML for iterations 2 & 3 security details are not established until ASX provides the temporary ASX Code. With the provision of the temporary ASX Code, iterations 2 & 3 will become complete in the <REPL> XML.

Examples are available in the ReferencePoint ISO 20022 Real Time Corporate Actions Manual.

Capital Return

1. Will Record Date and Payable Date be provided in the ASX ISO 20022 message?

Answer:

Yes. Both the Record (RcrdDt) and Payable (PmtDt) dates for this corporate action event type will be available.

2. Is the meeting date available as a separate data field – similar to a consolidation or reverse stock split (SPLR)?

Answer:

There is no separate meeting date data field in Capital Return corporate action event. Meeting date information is contained in the conditions table – <InfCond> section.

3. How does the restrictions indicator work for the Return of Capital?

Answer:

In the market announcement form, the Issuer must answer the question “Is the return of capital conditional”?

If the answer is Yes, then XML element is shown as <RstrctnInd<true>/RstrctnInd>.

- a. When it is **True** in the form, the user is required to complete section <2.1A_Conditions applicable to the return of capital>
- b. When it is a **No**, then <2.1A> is not applicable, these then map to the following elements in the schema:


```
<InfConds>
<ns0:UpdDesc>S</ns0:UpdDesc>
<ns0:UpdDt>yyyy-mm-dd</ns0:UpdDt>
<ns0:AddlInf>1</ns0:AddlInf>
<ns0:AddlInf>condition comment</ns0:AddlInf>
</ns0:InfConds>
```

4. The amount per security for Capital Return corporate action is currently mapped to <CshMvmntDtIs> which is different to the Dividend/Interest Payment corporate actions mapping of <RateAndAmtDtIs>. Will the amount per +security Capital Return mapping be synchronised with the Dividend/Interest payment mapping to be in the <RateAndAmtDtIs> block of data?

Examples:

- ASX Capital Return data field: 3.7*Return of Capital amount per +security – CorpActnOptnDtIs/CshMvmntDtIs/RateandAmtDtIs/GrssDvddRate/Amt
- ASX Dividend data field: 2A.9*Total +dividend/distribution amount per +security (in primary currency) – CorpActnOptnDtIs/RateandAmtDtIs/GrssDvddRate/Amt
- ASX Interest Rate data field: 2A.10*Interest Payment amount per +security – CorpActnOptnDtIs/RateandAmtDtIs/GrssDvddRate/Amt

Answer:

Based on SWIFT's recommendation, ASX will retain current Capital Return mapping to <CshMvmntDtIs>.

Interest Payment

1. **Where is the Ex-Date for Interest Payment corporate action event reflected in the ASX ISO 20022 message?**

Answer:

The Ex-Date for this corporate action event is mapped to <ExDvddDt> data field.

2. **What is the maximum number of characters in the Interest Accrued Number of Days data field InterestAccruedNumberOfDays “<IntrstAcrdNbOfDays>”?**

Answer:

In the <IntrstAcrdNbOfDays>, the maximum number of characters is 3. There is an ASX system validation to prevent data entry of values greater than 999 days.

3. **What is the mapping for 128F status information? Is this data field additional tax information?**

Answer:

The 128F status information is mapped to /Document/CorpActnNtfctn/CorpActnOptnDtIs/TaxtnConds

Valid Values:

- 0 128F Foreign Exempt [FNEX]
- 1 Not 128F Foreign Exempt [NTFE]
- 2 128F Status Not Advised [UKWN]
- 3 Not Applicable

4. **Up to how many decimal places will the element “interest rate used for payment” be disseminated?**

Answer:

The ASX system will only disseminate a number with up to 8 decimal places although the SWIFT data field provides for 13 decimal places,

5. **Where does the element “Face Value” come from as it is not in the market announcement form?**

Answer:

The element “Face Value” is sourced from the ASX database security/instrument record. This is a manual input value, not from the market announcement form lodgement.

6. **How will ASX disseminate a franked interest payment?**

Answer:

In the ASX database structure, the type of distribution corporate action event depends on security type classification. Instruments classified as debt securities in the ASX database that do declare events with values that are franked (usually convertible notes) are disseminated as Interest Payment notifications.

Glossary

Acronym	Description
IPLA	Alliance Access Integration Platform <ul style="list-style-type: none"><li data-bbox="584 344 1390 376">• It is a gateway that compile the SWIFT message before dissemination.
SAA	SWIFT Alliance Access
DN	Distinguished Name <ul style="list-style-type: none"><li data-bbox="584 450 1198 486">• User (Requestor/Responder) address on SWIFTNet.

ASX Contact Details

Product Licensing

Customers enquiring about testing this ASX service or signing up for permanent access, should contact ASX Information Services via the following contact details:

Information.Services@asx.com.au

Content & Technical Support

Subscribers with data content and production problem queries can contact the ASX Customer Technical Support team for customer support from 06:00 am Monday through to 8:00 am Saturday (AEST) on the following numbers:

1800 663 053
+61 2 9227 0372

OR via email to:

cts@asx.com.au

