

ASX has created a Community in the online portal SWIFT MyStandards for the purpose of sharing published ISO 20022 usage guidelines for ReferencePoint Corporate Action notifications. ASX generally uses SWIFT MyStandards to publish ISO 15022 and 20022 usage guidelines as used by ASX and its customers for various services including Austraclear, ASX Collateral, CHESS Replacement and Corporate Action Notifications.

The following instructions provide an overview of

- how to set up as a new user and access MyStandards;
- how to request access to the ASX ReferencePoint ISO 20022 Corporate Actions Community and view usage guidelines;
- where to find more information.

A. New User set up

- 1. The url address for MyStandards is <u>https://www2.swift.com/mystandards/#/</u>. Save this url as a favourite so you can easily access the website in future. A sample of the page is shown below.
- 2. If you have an existing account on swift.com then login from this page. You can then skip to paragraph 8 of these instructions.

Sign in v	with your swift.c	com credentials	
	Email address Your email address Password Your swift.com password	Forgot password?	Don't have an account? How to create a swift.com user account Registration User Guide swift.com security guidelines Create account

3. If you are a new user on swift.com, you can create a new account - click on the "Create account" button then "Create account"

SWIFT		<		
Sign in	with your swift	com crede	ontiale	
Sign in	with your switt.			
	Email address Your email address			Don't have an account? How to create a swift.com user account
	Password	Forgot password?		Registration User Guide
	Your swift.com password			Switcom security guidelines
				Create account
	Sign in			

4. You will then be prompted to enter your personal details on a registration screen, as shown below. Note that password requirements are strong – you will be prompted for the minimum requirements. Please use your work email address to register. ASX will not provide access to the ASX ReferencePoint ISO 20022 Corporate Actions Community for personal email accounts (e.g. gmail).

Register on MyStandards			
Last name			
email			
Password			
Confirm password			
Challenge	206 RKQ M7		
Type the Challenge			
Register			
Register			

- 5. Enter your details and click on the "Register" button.
- 6. You will then be sent an email from SWIFT to confirm registration. Open the email and click on the registration link in the email.
- You will be taken to a Registration request page click on "submit" to create the account and use MyStandards. You may be prompted to provide additional information to allow for additional access verification by SWIFT.

- 8. You can then login using the details just created.
- 9. On the login page enter your email and password details and click on Sign in. You will then be prompted to enter the 2 step verification code sent to your nominated mobile phone. You may need to request the verification be sent again, as it sometimes fails on first attempt. Click on the link under the Verify Code box - "Click here to resend your code". You can also tick the box to confirm "Trust my device".

Sign in with your MyStandards credentials	Setup a Login-seal to protect yourself against phishing attacks
Email address I Password Forgot password? Your swift.com password Sign in	Don't have an account? swift.com security guidelines Create account
2-Step Verification	
Enter the verification code sent to your mobile phone: Verify Code Trust my device. We won't ask you for a code again in the next 30 days on this device. Click here to resend your code	
If you are blocked from using all of your 2 step verification	For information about how trust and privacy are managed o

channels: Reset 2-Step Verification (requires approval) For information about how trust and privacy are managed on this website Refer to the Privacy Statement

10. You will then see the MyStandards home page.



B. Request membership of ASX ReferencePoint ISO 20022 Corporate Actions Community and view usage guidelines

1. To find the ASX ReferencePoint ISO 20022 Corporate Actions Community, login to MyStandards and then go to the Groups tab and search for "ASX".

MyStandards Standards made simple	Search the platform			
		Max Operation		
	ASX			
			ASX	
28	0	24	59	•
Collect	tions	Communities	Mem	pers

2. Click on the Communities tab, and you will then see the group called "ASX ReferencePoint ISO 20022 Corporate Actions Community". Click on the "Request Access" button.

News	Sub-groups	Usage Guidelines	Communities	Portals	Releases	Members	Comments
ASX Collate	eral Management						
ASX ISO 2	0022 Technical Comn	nittee					
ASX Refere	encePoint ISO 20022	Corporate Actions					

Alternatively you can email ASX to request access at email address: <u>ASXCorporateInformation.STP@asx.com.au</u>.

3. Once you have requested access and it has been granted, you will then see the Community available in your own profile by clicking on the person symbol in the tool bar, then clicking on "My Profile", or you can search for the ASX group again.



4. You will see the following screen when you click on the ASX ReferencePoint ISO 20022 Corporate Actions Community link.



5. Click on the heading "ASX_AU_CHS_evnt_STP". You will then see the individual usage guidelines published in the collection, with one guideline per event. The usage guidelines are listed by guideline name, by number. The list of all usage guidelines and the relevant corporate action event is available in the ReferencePoint ISO 20022 Real-Time Corporate Actions Manual publishing on this <u>page</u>. The event type is also visible once you click on the usage guideline.

ASX ASX Techni	AU_CHS_evnt_STP cal version: v.3 imported					+ New Version	+ Follow	6	🛓 Export 🗸	8
Usage Guidelines	Technical Versions	Dictionary	Annotation Structures							
700_001_05_seev_03	1_001_08									
702_001_04_seev_03	1_001_08									
703_001_07_seev_03	1_001_08									
704_001_07_seev_03	1_001_08									
705_001_06_seev_03	1_001_08									
706_001_05_seev_03	1_001_08									
707_001_07_seev_03	1_001_08									
708_001_07_seev_03	1_001_08									
711_001_04_seev_03	1_001_08									
712_001_05_seev_03	1_001_08									-

To open a guideline, click on the name.

6. Once you click on the link for a usage guideline you will land on the screen below. The "content" tab will allow you to view details of the guideline on screen.
You can also export the guideline as either pdf, xml or excel by clicking on the download tab.
The event type can be found in the annotations

Standards Releases Business Dor 8 * ~ ASX ASX 703 001 07 seev 031 001 08 Compare La Export ASX_AU_CHS_evnt_STP (Technical version: 3 , Format: MX) PDF Excel Result View Sample Messages Content Impact Analysis Comments XML Schema □ View only restricted elements □ Hide removed elements □ Show xml tags Corporat tion Notification V08 (seev.031.001.08 search message (min 2 chars) Q X V A Annota ASX ISO Mes age Definition Min Max Restrictions Name . ISO Base Mes age: seev_031 V 😑 Corporate Action Notification V08 (seev.031.001.08) A 10 Message Name: evnt_703_001_07 > o Pagination 0 0 1 · Message Description: Dividend - Cash Dividend (DVCA) Message Definition: Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered > o Notification General Information 1 1 4 > o Previous Notification Identification 0 1 44 a choice of currency Business Service: evnt_703_001_07_lp 0 0 > o Instruction Identification 1 · Sender: ASX > O Other Document Identification 0 0 • Receiver: Users > o Events Linkage 0 0 ✓ ▲ Annotation > o Corporate Action General Information 1 1 4 BAH Mapping > -- Account Details 1 1 40 · Business Message Identifier: Message Identification 0 > o Intermediate Security 1 0 Related Business Message Identifier: Not Applicable

You can open the hierarchical elements of the message by clicking on the arrows on the left hand side. This will also give you an element view with additional notes available on the right hand side, sample below. If a field is not used, or restricted, it is indicated by the red circle. You can filter the usage guideline per the tabs available at the top of the screen

search message (min 2 chars)			Q X	✓ ▲ Annotation				
lame	Min	Мах	Restrictions 😮	ASX Message Element Specification				
Corporate Action Notification V08 (seev.031.001.08)	A *			ASX Element Name: Corporate Action ID				
> o Pagination	0	1	0	 ASX Element Definition: Unique numeric identifier of a Corporate Action allocated by ASX. 				
> • Notification General Information	1	1	*∆	✓ Multiplicity				
> • Previous Notification Identification	0	1	4∆.	[11]				
> o Instruction Identification	0	1	0	√ Туре				
> • Other Document Identification	0	*	0	Max35Text (based on string)				
> o Events Linkage	0	*	0	• minLength: 1				
 Corporate Action General Information 	1	1	*∆	· maxeenga. oo				
 Corporate Action Event Identification 	1	1	A	✓ Definition				
 Official Corporate Action Event Identification 	0	1	A (!)	Reference assigned by the account servicer to unambiguously identify a corporate action even				
 Class Action Number 	0	1	0	∨ XML Tag				
> Event Processing Type	0	1	[!] 🏡	CorpActnEvtId				
> <u></u> Event Type	1	1	▲ ₩	✓ Path				
> <u></u> Mandatory Voluntary Event Type	1	1	4∆	/Document/CorpActnNtfctn/CorpActnGnlInf/CorpActnEvtId				
> • Underlying Security	1	1	4∆					

C. More information on MyStandards

right hand menu

More information on the MyStandards functionality is available from the Help button on the upper

🛓 🛓 🏓 0 MyStandards Standards made simple Search the platform Q Standards Releases Business Domains Groups * - Getting Started **T** Start a tour of MyStandards Watch Mystandards Introduction Watch Readiness Portal Introduction What's new -**Release Notes Training Videos Online Editor - Annotations** Online Editor 1/3 - Change Type ③ 03:55 Online Editor 2/3 - Create Data Types @05:01 Online Editor now allows to create, edit and delete annotations. It also allows to create, edit and delete annotations structures. Online Editor 3/3 - Advanced topics @ 06:45 Responder 1/3 - Creating a scenario ③06:33 Responder Responder 2/3 - Enable feature in Readiness (0 03:28 Responder 3/3 - End-user exprerience (003:08 This release introduces a new feature on MvStandards called