



Register of ASX 24 Operating Rule Waivers

16 to 31 May 2024

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX 24 Operating Rules rules. Waivers are published bi-monthly and include information such as :

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

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Rule Number	1000(g)
Date	23/05/2024
Participant	Rolling Capital Management Pty Ltd
Waiver Number	WPA240004-001
Decision	The application be granted to allow RCM to provide the amended Prescribed Guarantor Clearing Participant Guarantee form under the ASX24 Operating Rules.
Basis For Decision	<p>Underlying Policy The underlying policy of the Rule is that the Guarantor Clearing Participant provides a guarantee to the Market Operator and to each other Trading Participant of the Market Operator, that it will guarantee the performance of all Futures and/or Option Contracts traded by that Trading Participant through its mnemonic pursuant to the rules of the Market Operator. The Guarantor Clearing Participant must also cause all such contracts to be registered with the Market Operator's Approved Clearing Facility in the Clearing Guarantor's name. This includes trades completed on market (via NTP) and off market (via Trade Accept). This guarantee ensures the Market Operator is not left with any uncleared trades, as the Guarantor Clearing Participant is required to accept and clear all trades meeting the above criteria.</p> <p>Present Application Based solely on the information provided, ASX Limited grants Rolling Capital Management Pty Ltd a waiver from ASX24 Operating Rule Procedure 1000(g) to allow it to provide the amended Prescribed Guarantor Clearing Participant Guarantee form under the ASX24 Operating Rules. There is no change to the Guarantor Clearer's obligations under the amended guarantee.</p>

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