



Register of ASX Settlement Operating Rule Waivers

16 to 30 June 2024

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX Settlement Operating rules. Waivers are published bi-monthly and include information such as :

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

**For all product enquiries, please contact:
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Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.4
Date	18/06/2024
ASX Code	VEU
Listed Company	VANGUARD ALL-WORLD EX-US SHARES INDEX ETF
Waiver Number	WLC240103-001
Decision	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants Vanguard Investments Australia Ltd (the 'Issuer') a waiver of ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <p>1.1 The waiver applies only to the funds listed below in respect of the relevant dates set out below:</p> <p>1.1.1 Vanguard All-World Ex-US Shares Index ETF (ASX: VEU) on 24 June 2024, 23 September 2024, and 23 December 2024;</p> <p>1.2 In relation to the above relevant dates, if there are any changes to such relevant dates, the waiver will apply to those revised dates, provided that the Issuer:</p> <p>1.2.1 notifies ASX Settlement of the change to the relevant dates at least two (2) business days prior to the change; and</p> <p>1.2.2 ASX Settlement does not object to the change within a period of one (1) business day from the date it receives the notification.</p> <p>1.3 The Issuer issues a market release informing investors that no conversions (transmutations) for the purpose of ASX Settlement Operating Rule 13.9.4 will be processed during the relevant dates.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in the Schedule is within 12 business hours of ASX Settlement transmitting the Message.</p> <p>Present Application The Waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a distribution or other corporate action made by a Fund as a result of differences in time zones and public holidays between Australia and the United States. Such disparity may create an opportunity for a holder who is not entitled to receive a distribution to inappropriately gain access to that distribution as units in the Funds cannot be tagged to clarify whether they are trading with or without the distribution.</p>

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Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.4
Date	18/06/2024
ASX Code	VTS
Listed Company	VANGUARD US TOTAL MARKET SHARES INDEX ETF
Waiver Number	WLC240104-001
Decision	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants Vanguard Investments Australia Ltd (the 'Issuer') a waiver of ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <p>1.1 The waiver applies only to the funds listed below in respect of the relevant dates set out below:</p> <p>1.1.1 Vanguard US Total Market Shares Index ETF (ASX: VTS) on 1 July 2024, 30 September 2024, and 24 December 2024.</p> <p>1.2 In relation to the above relevant dates, if there are any changes to such relevant dates, the waiver will apply to those revised dates, provided that the Issuer:</p> <p>1.2.1 notifies ASX Settlement of the change to the relevant dates at least two (2) business days prior to the change; and</p> <p>1.2.2 ASX Settlement does not object to the change within a period of one (1) business day from the date it receives the notification.</p> <p>1.3 The Issuer issues a market release informing investors that no conversions (transmutations) for the purpose of ASX Settlement Operating Rule 13.9.4 will be processed during the relevant dates.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in the Schedule is within 12 business hours of ASX Settlement transmitting the Message.</p> <p>Present Application The Waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a distribution or other corporate action made by a Fund as a result of differences in time zones and public holidays between Australia and the United States. Such disparity may create an opportunity for a holder who is not entitled to receive a distribution to inappropriately gain access to that distribution as units in the Funds cannot be tagged to clarify whether they are trading with or without the distribution.</p>

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Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.4
Date	21/06/2024
ASX Code	VUK
Listed Company	VIRGIN MONEY UK PLC
Waiver Number	WLC240095-001
Decision	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants Virgin Money UK PLC (the 'Company') a waiver from ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the transmutation of:</p> <p>1.1.1 CDIs into ordinary shares ('Shares') for the period from 5.00pm AEST on 26 June 2024 to 8.00am AEST on 1 July 2024; and</p> <p>1.1.2 Shares into CDIs for the period from 5.00pm BST on 26 June 2024 to 8.00am BST on 1 July 2024, the 'Relevant Period';</p> <p>1.2 If there is any change to the Relevant Period, the waiver will apply to the revised Relevant Period, provided that the Company:</p> <p>1.2.1 Notifies ASX Settlement Pty Limited ('ASXS') of the change to the Relevant Period at least two business days before the start of the Relevant Period (the 'Notification'); and</p> <p>1.2.2 ASXS does not object to the change within a period of one business day from the date it receives the Notification; and</p> <p>1.3 The Company issues a market release informing investors that no transmutions will be processed during the Relevant Period.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS transmitting the Message.</p> <p>Present Application The waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a dividend made by the Company as a result of differences in time zones and ex entitlement periods between two different jurisdictions. Such disparity may create an opportunity for a holder who is not entitled to receive a dividend to inappropriately gain access to that dividend as issuances can't be tagged to clarify whether they are trading with or without the dividend.</p>

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Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.9
Date	21/06/2024
ASX Code	VUK
Listed Company	VIRGIN MONEY UK PLC
Waiver Number	WLC240095-002
Decision	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants Virgin Money UK PLC (the 'Company') a waiver from ASX Settlement Operating Rule 13.9.9 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the transmutation of:</p> <p>1.1.1 CDIs into ordinary shares ('Shares') for the period from 5.00pm AEST on 26 June 2024 to 8.00am AEST on 1 July 2024; and</p> <p>1.1.2 Shares into CDIs for the period from 5.00pm BST on 26 June 2024 to 8.00am BST on 1 July 2024, the 'Relevant Period';</p> <p>1.2 If there is any change to the Relevant Period, the waiver will apply to the revised Relevant Period, provided that the Company:</p> <p>1.2.1 Notifies ASX Settlement Pty Limited ('ASXS') of the change to the Relevant Period at least two business days before the start of the Relevant Period (the 'Notification'); and</p> <p>1.2.2 ASXS does not object to the change within a period of one business day from the date it receives the Notification; and</p> <p>1.3 The Company issues a market release informing investors that no transmutions will be processed during the Relevant Period.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASXS to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p>Present Application The waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a dividend made by the Company as a result of differences in time zones and ex entitlement periods between two different jurisdictions. Such disparity may create an opportunity for a holder who is not entitled to receive a dividend to inappropriately gain access to that dividend as issuances can't be tagged to clarify whether they are trading with or without the dividend.</p>

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